

**WI Securities Law and Franchise Law Filings
for 11/10/2014 through 11/21/2014**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MONROE COUNTRY CLUB INC	MONROE, WI	11/10/2014	00717242-14	Exempt
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BROOKFIELD INVESTMENT FUNDS BROOKFIELD REAL ASSETS SECURITIES FUND CLASS I	WEST ALLIS, WI	11/18/2014	00718259-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD REAL ASSETS SECURITIES FUND CLASS Y	WEST ALLIS, WI	11/18/2014	00718260-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD REAL ASSETS SECURITIES FUND CLASS A	WEST ALLIS, WI	11/18/2014	00718257-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD REAL ASSETS SECURITIES FUND CLASS C	WEST ALLIS, WI	11/18/2014	00718258-03	Registered
INVESTMENT MANAGERS SERIES TR PALMER SQUARE LONG SHORT CREDIT FUND CLASS A SHARES	MILWAUKEE, WI	11/14/2014	00717801-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR PALMER SQUARE LONG SHORT CREDIT FUND CLASS I SHARES	MILWAUKEE, WI	11/14/2014	00717800-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
STONE RIDGE TR STONE RIDGE GLOBAL EQUITY VARIANCE RISK PREMIUM MASTER FUND CLASS M	MILWAUKEE, WI	11/14/2014	00717802-03	Registered
STONE RIDGE TR STONE RIDGE GLOBAL EQUITY VARIANCE RISK PREMIUM MASTER FUND CLASS I	MILWAUKEE, WI	11/14/2014	00717803-03	Registered
INVESTMENT MANAGERS SERIES TR 361 GLOBAL LONG SHORT EQUITY FUND INVESTOR CLASS	MILWAUKEE, WI	11/13/2014	00717411-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR 361 GLOBAL LONG SHORT EQUITY FUND CLASS I	MILWAUKEE, WI	11/13/2014	00717412-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR 361 GLOBAL LONG SHORT EQUITY FUND CLASS Y	MILWAUKEE, WI	11/13/2014	00717410-03	Pending-Cleared
Number of Investment Company Filings: 11				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				