

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 11/10/2014 through 11/21/2014**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TRITON PACIFIC INVESTMENT CORP INC	LOS ANGELES, CA	11/18/2014	00718263-01	Pending
BOARD OF CHURCH EXTENSION OF DISCIPLES OF CHRIST INC	INDIANAPOLIS, IN	11/17/2014	00718149-02	Registered
UNITED CHURCH OF CHRIST CORNERSTONE FUND INC	CLEVELAND, OH	11/17/2014	00718150-02	Registered
FS ENERGY & POWER FUND	PHILADELPHIA, PA	11/10/2014	00717243-01	Pending
<b>Number of Registration Filings: 4</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
NEPHROS INC	RIVER EDGE, NJ	11/13/2014	00717414-08	Not Disallowed
MONROE COUNTRY CLUB INC	MONROE, WI	11/10/2014	00717242-14	Exempt
<b>Number of Exemption Filings: 2</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BROOKFIELD INVESTMENT FUNDS BROOKFIELD REAL ASSETS SECURITIES FUND CLASS Y	WEST ALLIS, WI	11/18/2014	00718260-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD REAL ASSETS SECURITIES FUND CLASS I	WEST ALLIS, WI	11/18/2014	00718259-03	Registered
BROOKFIELD INVESTMENT FUNDS BROOKFIELD REAL ASSETS SECURITIES FUND CLASS C	WEST ALLIS, WI	11/18/2014	00718258-03	Registered

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BROOKFIELD INVESTMENT FUNDS BROOKFIELD REAL ASSETS SECURITIES FUND CLASS A	WEST ALLIS, WI	11/18/2014	00718257-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1238 US LOW VOLATILITY STRATEGY PORTFOLIO SERIES 9	LISLE, IL	11/18/2014	00717913-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1358 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 90	MONUMENT, CO	11/17/2014	00718178-05	Registered
ADVISORS DISCIPLINED TR 1369 AEROSPACE DEFENSE OPPORTUNITIES PORTFOLIO SERIES 2014 1	MONUMENT, CO	11/17/2014	00718179-05	Registered
BLACKROCK FUNDS NJ MUNICIPAL MONEY MARKET PORTFOLIO SERVICE SHARES	WILMINGTON, DE	11/17/2014	00717985-03	Registered
BLACKROCK FUNDS OH MUNICIPAL MONEY MARKET PORTFOLIO INSTITUTIONAL SHARES	WILMINGTON, DE	11/17/2014	00717986-03	Registered
BLACKROCK LIQUIDITY FUNDS CA MONEY FUND INSTITUTIONAL SHARES	WILMINGTON, DE	11/17/2014	00717984-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1219 GUGGENHEIM SHORT DURATION HIGH YIELD TR SERIES 38	LISLE, IL	11/17/2014	00718182-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1242 GLOBAL WATER EQUITIES PORTFOLIO SERIES 27	LISLE, IL	11/17/2014	00718184-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1242 GUGGENHEIM EUROPEAN CAPITAL STRENGTH PORTFOLIO SERIES 6	LISLE, IL	11/17/2014	00718185-05	Registered

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 1248 GUGGENHEIM US CAPITAL STRENGTH PORTFOLIO SERIES 6	LISLE, IL	11/17/2014	00718183-05	Registered
INCAPITAL UNIT TR SERIES 60 PROSPECT ENERGY & POWER INCOME PORTFOLIO SERIES 1	CHICAGO, IL	11/17/2014	00718180-05	Registered
INCAPITAL UNIT TR SERIES 61 PROSPECT INCOME FINANCE PORTFOLIO SERIES 2	CHICAGO, IL	11/17/2014	00718181-05	Registered
MORGAN STANLEY NY MUNICIPAL MONEY MARKET TR RESERVE CLASS	NEW YORK, NY	11/17/2014	00718209-03	Registered
NORTHERN LIGHTS FUND TR POWER DIVIDEND INDEX FUND CLASS C SHARES	HAUPPAUGE, NY	11/17/2014	00718195-03	Registered
NORTHERN LIGHTS FUND TR POWER INCOME FUND CLASS C	HAUPPAUGE, NY	11/17/2014	00718196-03	Registered
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN ABSOLUTE RETURN BOND FUND ADVISER CLASS	LOS ANGELES, CA	11/17/2014	00718204-03	Registered
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN ABSOLUTE RETURN BOND FUND INVESTOR CLASS	LOS ANGELES, CA	11/17/2014	00718205-03	Registered
ADVISORS INNER CIRCLE FUND CAMBIAR INTERNATIONAL SMALL CAP FUND INSTITUTIONAL CLASS SHARES	BOSTON, MA	11/14/2014	00717408-03	Registered
AMERICAN CENTURY STRATEGIC ASSET ALLOCATIONS INC AMERICAN CENTURY MULTI ASSET INCOME FUND R CLASS	KANSAS CITY, MO	11/14/2014	00717553-03	Pending-Cleared

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AMERICAN CENTURY STRATEGIC ASSET ALLOCATIONS INC AMERICAN CENTURY MULTI ASSET INCOME FUND R6 CLASS	KANSAS CITY, MO	11/14/2014	00717554-03	Pending-Cleared
AMERICAN CENTURY STRATEGIC ASSET ALLOCATIONS INC AMERICAN CENTURY MULTI ASSET INCOME FUND A CLASS	KANSAS CITY, MO	11/14/2014	00717549-03	Pending-Cleared
AMERICAN CENTURY STRATEGIC ASSET ALLOCATIONS INC AMERICAN CENTURY MULTI ASSET INCOME FUND C CLASS	KANSAS CITY, MO	11/14/2014	00717550-03	Pending-Cleared
AMERICAN CENTURY STRATEGIC ASSET ALLOCATIONS INC AMERICAN CENTURY MULTI ASSET INCOME FUND INSTITUTIONAL CLASS	KANSAS CITY, MO	11/14/2014	00717551-03	Pending-Cleared
AMERICAN CENTURY STRATEGIC ASSET ALLOCATIONS INC AMERICAN CENTURY MULTI ASSET INCOME FUND INVESTOR CLASS	KANSAS CITY, MO	11/14/2014	00717552-03	Pending-Cleared
DEUTSCHE INTERNATIONAL FUND INC DEUTSCHE INTERNATIONAL FUND CLASS R6 SHARES	CHICAGO, IL	11/14/2014	00717548-03	Pending-Cleared
DEUTSCHE MARKET TR DEUTSCHE ALTERNATIVE ASSET ALLOCATION FUND CLASS R6 SHARES	CHICAGO, IL	11/14/2014	00717546-03	Pending-Cleared
DEUTSCHE MARKET TR DEUTSCHE SELECT ALTERNATIVE ALLOCATION FUND CLASS R6 SHARES	CHICAGO, IL	11/14/2014	00717547-03	Pending-Cleared
FT 5119 INTERNATIONAL HIGH DIVIDEND EQUITY PORTFOLIO SERIES 18	WHEATON, IL	11/14/2014	00717806-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 5120 BALANCED INCOME PORTFOLIO SERIES 46	WHEATON, IL	11/14/2014	00717807-05	Registered
FT 5126 DIVIDEND INCOME PLUS PORTFOLIO SERIES 16	WHEATON, IL	11/14/2014	00717804-05	Registered
FT 5127 NORTH AMERICAN SHALE PORTFOLIO SERIES 8	WHEATON, IL	11/14/2014	00717812-05	Registered
FT 5144 DIVIDEND & INCOME PLUS CLOSED END PORTFOLIO SERIES 54	WHEATON, IL	11/14/2014	00717808-05	Pending-Cleared
FT 5146 RICHARD BERNSTEIN ADVISORS CYCLICAL SECTOR INCOME PORTFOLIO SERIES 2	WHEATON, IL	11/14/2014	00717810-05	Pending-Cleared
FT 5181 CAPITAL STRENGHT BUY WRITE PORTFOLIO SERIES 19	WHEATON, IL	11/14/2014	00717809-05	Registered
FT 5185 DOW JONES GLOBAL TITANSSM PORTFOLIO SERIES 15	WHEATON, IL	11/14/2014	00717805-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR PALMER SQUARE LONG SHORT CREDIT FUND CLASS A SHARES	MILWAUKEE, WI	11/14/2014	00717801-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR PALMER SQUARE LONG SHORT CREDIT FUND CLASS I SHARES	MILWAUKEE, WI	11/14/2014	00717800-03	Pending-Cleared
SMART TR HIGH 20 DIVIDEND STRATEGY TR SERIES 9	PARSIPPANY, NJ	11/14/2014	00717811-05	Registered
STONE RIDGE TR STONE RIDGE GLOBAL EQUITY VARIANCE RISK PREMIUM MASTER FUND CLASS I	MILWAUKEE, WI	11/14/2014	00717803-03	Registered
STONE RIDGE TR STONE RIDGE GLOBAL EQUITY VARIANCE RISK PREMIUM MASTER FUND CLASS M	MILWAUKEE, WI	11/14/2014	00717802-03	Registered

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VIRTUS ALTERNATIVE SOLUTIONS TR VIRTUS ALTERNATIVE TOTAL SOLUTION FUND CLASS R6	GREENFIELD, MA	11/14/2014	00717407-03	Pending-Cleared
ADVISORS DISCIPLINED TR 1322 ADVISORS CORE EQUITY STRATEGY PORTFOLIO SERIES 2014 4Q	MONUMENT, CO	11/13/2014	00717922-05	Registered
ADVISORS DISCIPLINED TR 1323 MULTI SOURCE INCOME PORTFOLIO SERIES 2014 4Q	MONUMENT, CO	11/13/2014	00717924-05	Registered
ADVISORS DISCIPLINED TR 1324 INTERNATIONAL HIGH 30 DIVIDEND PORTFOLIO SERIES 2014 4Q	MONUMENT, CO	11/13/2014	00717923-05	Registered
ADVISORS DISCIPLINED TR 1325 DOW VALUE 10 PORTFOLIO SERIES 2014 4Q	MONUMENT, CO	11/13/2014	00717946-05	Registered
ADVISORS DISCIPLINED TR 1327 DIVIDEND ADVANTAGE PORTFOLIO SERIES 2014 4Q	MONUMENT, CO	11/13/2014	00717921-05	Registered
ADVISORS DISCIPLINED TR 1330 VALUE ARCHITECTS RECURRING REVENUE SCORE PORTFOLIO SERIES 2014 2	MONUMENT, CO	11/13/2014	00717925-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1241 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO 2 YEAR SERIES 10	LISLE, IL	11/13/2014	00717926-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1241 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 27	LISLE, IL	11/13/2014	00717927-05	Registered
INVESTMENT MANAGERS SERIES TR 361 GLOBAL LONG SHORT EQUITY FUND CLASS Y	MILWAUKEE, WI	11/13/2014	00717410-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR 361 GLOBAL LONG SHORT EQUITY FUND CLASS I	MILWAUKEE, WI	11/13/2014	00717412-03	Pending-Cleared

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INVESTMENT MANAGERS SERIES TR 361 GLOBAL LONG SHORT EQUITY FUND INVESTOR CLASS	MILWAUKEE, WI	11/13/2014	00717411-03	Pending-Cleared
OPPENHEIMER GLOBAL MULTI ASSET INCOME FUND CLASS A SHARES	DENVER, CO	11/13/2014	00717945-03	Pending-Cleared
OPPENHEIMER GLOBAL MULTI ASSET INCOME FUND CLASS C SHARES	DENVER, CO	11/13/2014	00717944-03	Pending-Cleared
OPPENHEIMER GLOBAL MULTI ASSET INCOME FUND CLASS I SHARES	DENVER, CO	11/13/2014	00717943-03	Pending-Cleared
OPPENHEIMER GLOBAL MULTI ASSET INCOME FUND CLASS R SHARES	DENVER, CO	11/13/2014	00717942-03	Pending-Cleared
OPPENHEIMER GLOBAL MULTI ASSET INCOME FUND CLASS Y SHARES	DENVER, CO	11/13/2014	00717941-03	Pending-Cleared
OPPENHEIMER ULTRA SHORT DURATION FUND CLASS I SHARES	DENVER, CO	11/13/2014	00717940-03	Pending-Cleared
PRINCIPAL FUNDS INC GLOBAL REAL ESTATE SECURITIES FUND CLASS R 6	DES MOINES, IA	11/12/2014	00717265-03	Pending-Cleared
PRINCIPAL FUNDS INC INCOME FUND CLASS R 6	DES MOINES, IA	11/12/2014	00717260-03	Pending-Cleared
PRINCIPAL FUNDS INC LARGECAP GROWTH FUND I CLASS R 6	DES MOINES, IA	11/12/2014	00717263-03	Pending-Cleared
PRINCIPAL FUNDS INC MIDCAP VALUE FUND 3 CLASS R 6	DES MOINES, IA	11/12/2014	00717261-03	Pending-Cleared
PRINCIPAL FUNDS INC SMALLCAP GROWTH FUND I CLASS R 6	DES MOINES, IA	11/12/2014	00717264-03	Pending-Cleared

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PRINCIPAL FUNDS INC SMALLCAP VALUE FUND 2 CLASS R 6	DES MOINES, IA	11/12/2014	00717262-03	Pending-Cleared
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 GLOBAL EQUITY FUND CLASS I	BOSTON, MA	11/11/2014	00717255-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 GLOBAL EQUITY FUND CLASS A	BOSTON, MA	11/11/2014	00717253-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 INCOME ALLOCATION FUND CLASS R6	BOSTON, MA	11/11/2014	00717251-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 INCOME ALLOCATION FUND CLASS C	BOSTON, MA	11/11/2014	00717252-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 INCOME ALLOCATION FUND CLASS I	BOSTON, MA	11/11/2014	00717250-03	Registered
HANCOCK JOHN FUNDS 2 HANCOCK JOHN FUNDS 2 INCOME ALLOCATION FUND CLASS A	BOSTON, MA	11/11/2014	00717254-03	Registered
ADVISORS DISCIPLINED TR 1319 STRATEGIC FOUNDATION OF GROWTH PORTFOLIO SERIES 2014 2	MONUMENT, CO	11/10/2014	00717215-05	Registered
ADVISORS DISCIPLINED TR 1320 EUROPEAN SELECT PORTFOLIO SERIES 2014 4Q ISI INC PORTFOLIO	MONUMENT, CO	11/10/2014	00717214-05	Registered
ADVISORS DISCIPLINED TR 1321 VALUE ARCHITECTS NEW MEDIA SCORE PORTFOLIO SERIES 2014 4Q	MONUMENT, CO	11/10/2014	00717213-05	Registered

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ADVISORS DISCIPLINED TR 1326 STRATEGIC HIGH 80 DIVIDEND PORTFOLIO SERIES 2014 4Q	MONUMENT, CO	11/10/2014	00717212-05	Registered
ADVISORS DISCIPLINED TR 1328 COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO 2 YR SERIES 2014 2	MONUMENT, CO	11/10/2014	00717211-05	Registered
ADVISORS DISCIPLINED TR 1329 TACTICAL INCOME CLOSED END PORTFOLIO SERIES 2014 4	MONUMENT, CO	11/10/2014	00717210-05	Registered
COMPASS EMP FUNDS TR COMPASS EMP REC ENHANCED VOLATILITY WEIGHTED FUND CLASS I	HAUPPAUGE, NY	11/10/2014	00717290-03	Registered
EQUINOX FUNDS TR EQUINOX BLUECREST SYSTEMATIC MACRO FUND CLASS I SHARES	HAUPPAUGE, NY	11/10/2014	00717279-03	Registered
FT 5101 SMID HIGH DIVIDEND PORTFOLIO SERIES 15	WHEATON, IL	11/10/2014	00717205-05	Registered
FT 5116 ETF GROWTH & INCOME NOV 14	WHEATON, IL	11/10/2014	00717204-05	Registered
FT 5117 PRIME INCOME & ETF PORTFOLIO NOVEMBER 2014 SERIES	WHEATON, IL	11/10/2014	00717207-05	Registered
FT 5138 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 46	WHEATON, IL	11/10/2014	00717208-05	Registered
FT 5141 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 51	WHEATON, IL	11/10/2014	00717206-05	Registered
FT 5142 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 46	WHEATON, IL	11/10/2014	00717202-05	Registered

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FT 5143 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 95	WHEATON, IL	11/10/2014	00717203-05	Registered
FT 5186 MLP CLOSED END FUND & ENERGY PORTFOLIO SERIES 40	WHEATON, IL	11/10/2014	00717209-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1239 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 55	LISLE, IL	11/10/2014	00717220-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1239 INFRASTRUCTURE & MLP PORTFOLIO OF CEFS SERIES 20	LISLE, IL	11/10/2014	00717217-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1239 NATIONAL MUNICIPAL PORTFOLIO OF CEFS SERIES 27	LISLE, IL	11/10/2014	00717218-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1239 SENIOR LOAN & INCOME PORTFOLIO OF CEFS SERIES 26	LISLE, IL	11/10/2014	00717219-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1240 FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 34	LISLE, IL	11/10/2014	00717216-05	Registered
MUTUAL FUND SERIES TR CATALYST GROESBECK GROWTH INCOME FUND CLASS A	HAUPPAUGE, NY	11/10/2014	00717289-03	Registered
MUTUAL FUND SERIES TR CATALYST INSIDER BUYING FUND CLASS I	HAUPPAUGE, NY	11/10/2014	00717285-03	Registered
MUTUAL FUND SERIES TR CATALYST INSIDER LONG SHORT FUND CLASS C	HAUPPAUGE, NY	11/10/2014	00717287-03	Registered

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MUTUAL FUND SERIES TR CATALYST MAP GLOBAL CAPITAL APPRECIATION FUND CLASS C	HAUPPAUGE, NY	11/10/2014	00717286-03	Registered
NORTHERN LIGHTS FUND TR BTS HEDGED INCOME FUND CLASS C SHARES	HAUPPAUGE, NY	11/10/2014	00717284-03	Registered
NORTHERN LIGHTS FUND TR NAVIGATOR TACTICAL FIXED INCOME FUND CLASS C SHARES	HAUPPAUGE, NY	11/10/2014	00717288-03	Registered
<b>Number of Investment Company Filings: 101</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
COMPAGNIE DE ST GOBAIN	LA DEFENSE, AA	11/18/2014	00718262-07	Filed
REDHAWK RESOURCES FUND 2 LP	DALLAS, TX	11/18/2014	00718261-07	Filed
AAVIN MEZZANINE FUND LP	CEDAR RAPIDS, IA	11/17/2014	00718203-07	Filed
ABS EMERGING MARKETS LP	GREENWICH, CT	11/17/2014	00718206-07	Filed
CRE 1048 PEARL STREET LLC	BOULDER, CO	11/17/2014	00718207-07	Filed
ROCKY CREEK PLANTATION ACQUISITIONS LLC	ATLANTA, GA	11/17/2014	00718202-07	Filed
AQR MANAGED FUTURES OFFSHORE FUND LTD	CAMANA BAY, AA	11/14/2014	00718060-07	Filed
AQR STYLE PREMIA OFFSHORE FUND LTD	CAMANA BAY, AA	11/14/2014	00718061-07	Filed
BERENS GLOBAL VALUE FUND LTD	GRAND CAYMAN, AA	11/14/2014	00717776-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
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CEPHEA VALVE TECHNOLOGIES INC	MT HAMILTON, CA	11/14/2014	00718062-07	Filed
GRIDBRIDGE INC	RALEIGH, NC	11/14/2014	00718064-07	Filed
VERITEQ	DELRAY BEACH, FL	11/14/2014	00718059-07	Filed
WHITE ELM CAPITAL OFFSHORE LTD	GREENWICH, CT	11/14/2014	00718063-07	Filed
BRIDGELINE DIGITAL INC	BURLINGTON, MA	11/13/2014	00717413-07	Filed
LANDMARK ACQUISITION FUND 8 LP	SIMSBURY, CT	11/13/2014	00717917-07	Filed
MAGNETAR STRUCTURED CREDIT FUND LP	EVANSTON, IL	11/13/2014	00717918-07	Filed
MORGAN STANLEY HEDGEPREMIER ALKEON GROWTH PARTNERS FUND LP	NEW YORK, NY	11/13/2014	00717915-07	Filed
MORGAN STANLEY HEDGEPREMIER MILLENNIUM STRATEGIC CAPITAL LP	NEW YORK, NY	11/13/2014	00717914-07	Filed
MORGAN STANLEY HEDGEPREMIER PINE RIVER FUND LP	NEW YORK, NY	11/13/2014	00717916-07	Filed
RESILIENCE FUND 4 LP	CLEVELAND, OH	11/13/2014	00717920-07	Filed
KKR GLOBAL INFRASTRUCTURE INVESTORS 2 LP	NEW YORK, NY	11/12/2014	00717892-07	Filed
APX DRILLING PARTNERS 2014 B LP	BUFFALO, NY	11/10/2014	00717201-07	Filed
CCM OPTIMAL FUND LLC	NASHVILLE, TN	11/10/2014	00717197-07	Filed
COACHMAN ENERGY 6 LP	GREENWOOD VILLAGE, CO	11/10/2014	00717291-07	Filed
HIGHCLERE INTERNATIONAL INVESTORS SMID FUND	WESTPORT, CT	11/10/2014	00717198-07	Filed

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INMAR INVESTMENT HOLDINGS LLC	BOSTON, MA	11/10/2014	00717294-07	Filed
JET CAPITAL CONCENTRATED OFFSHORE FUND LTD	GRAND CAYMAN, AA	11/10/2014	00717292-07	Filed
PACIFIC ASSET MANAGEMENT BANK LOAN FUND LP	NEWPORT BEACH, CA	11/10/2014	00717293-07	Filed
SACHEM HEAD OFFSHORE LTD	GRAND CAYMAN, AA	11/10/2014	00717200-07	Filed
<b>Number of Regulation D Notice Filings: 29</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Profile Franchising, LLC / Profile Sanford	Sioux Falls, SD	11/20/2014	608515	Registered
My House Fitness Franchise, Inc. / My House Fitness	Winter Springs, FL	11/20/2014	608522	Registered
CBD FRANCHISING, INC. / CLOSETS BY DESIGN	CYPRESS, CA	11/18/2014	608512	Registered
Bee & Tea FC, LLC / Bee & Tea	Chicago, IL	11/12/2014	608508	Registered
DIET CENTER WORLDWIDE INC	AKRON, OH	11/12/2014	608509	Registered
HM Franchise, Inc. / Hotel Makeover	Spring, TX	11/12/2014	608510	Registered
THE COFFEE BEANERY LTD / COFFEE BEANERY	FLUSHING, MI	11/11/2014	608503	Registered
LashDip Franchise International, LLC / LashDip	Las Vegas, NV	11/10/2014	608498	Registered
Phone Surgeons, LLC / Phone Surgeons	Newburgh, IN	11/10/2014	608497	Registered
<b>Number of Franchise Filings: 9</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	