

**WI Securities Law and Franchise Law Filings
for 10/27/2014 through 11/7/2014**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TR FOR PROFESSIONAL MANAGERS BLAIR WILLIAM DIRECTIONAL MULTIALTERNATIVE FUND CLASS I	MILWAUKEE, WI	11/4/2014	00716983-03	Registered
TR FOR PROFESSIONAL MANAGERS BLAIR WILLIAM DIRECTIONAL MULTIALTERNATIVE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	11/4/2014	00716982-03	Registered
TR FOR PROFESSIONAL MANAGERS BLAIR WILLIAM DIRECTIONAL MULTIALTERNATIVE FUND CLASS N	MILWAUKEE, WI	11/4/2014	00716984-03	Registered
ANGEL OAK FUNDS TR ANGEL OAK FLEXIBLE INCOME FUND INSTITUTIONAL CLASS SHARES	WEST ALLIS, WI	10/31/2014	00716820-03	Registered
ANGEL OAK FUNDS TR ANGEL OAK FLEXIBLE INCOME FUND CLASS A SHARES	WEST ALLIS, WI	10/31/2014	00716821-03	Registered
BROWN ADVISORY FUNDS BROWN ADVISORY EMERGING MARKETS SMALL CAP FUND INVESTOR SHARES	MILWAUKEE, WI	10/31/2014	00716806-03	Registered

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Firm Name	Location	Date Received	File Number	Status
BROWN ADVISORY FUNDS BROWN ADVISORY EMERGING MARKETS SMALL CAP FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	10/31/2014	00716805-03	Registered
BROWN ADVISORY FUNDS BROWN ADVISORY MULTI STRATEGY FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	10/31/2014	00716809-03	Registered
BROWN ADVISORY FUNDS BROWN ADVISORY STRATEGIC BOND FUND INVESTOR SHARES	MILWAUKEE, WI	10/31/2014	00716804-03	Registered
BROWN ADVISORY FUNDS BROWN ADVISORY TOTAL RETURN FUND INVESTOR SHARES	MILWAUKEE, WI	10/31/2014	00716808-03	Registered
BROWN ADVISORY FUNDS BROWN ADVISORY TOTAL RETURN FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	10/31/2014	00716807-03	Registered
HEARTLAND GROUP INC HEARTLAND MID CAP VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	10/29/2014	00716575-03	Pending-Cleared
HEARTLAND GROUP INC HEARTLAND MID CAP VALUE FUND INVESTOR CLASS	MILWAUKEE, WI	10/29/2014	00716576-03	Pending-Cleared
Number of Investment Company Filings: 13				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TPCI LP	MILWAUKEE, WI	11/4/2014	00716952-07	Filed
FRED BERGAMONT APARTMENTS LLC	MILWAUKEE, WI	11/3/2014	00716949-07	Filed
ARLINGTON HOLDINGS LP	MADISON, WI	10/30/2014	00716943-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PLAINFIELD SQUARE DEVELOPMENT PARTNERS LLC	MILWAUKEE, WI	10/30/2014	00716944-07	Filed
Number of Regulation D Notice Filings: 4				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Endurance House International, LLC / Endurance House	Middleton, WI	11/5/2014	608469	Registered
Number of Franchise Filings: 1				