

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 10/13/2014 through 10/24/2014**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CORPORATE CAPITAL TR 2 A	ORLANDO, FL	10/20/2014	00714723-01	Pending
CORPORATE CAPITAL TR 2 T	ORLANDO, FL	10/20/2014	00714722-01	Pending
NORTHSTAR HEALTHCARE INCOME INC	NEW YORK, NY	10/20/2014	00714724-01	Pending
INVESCO SENIOR LOAN FUND CLASS IB SHARES	ATLANTA, GA	10/16/2014	00714282-01	Registered
INVESCO SENIOR LOAN FUND CLASS IC SHARES	ATLANTA, GA	10/16/2014	00714283-01	Registered
Number of Registration Filings: 5				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
STAFFORD CROSSING COMMUNITY CHURCH INC	FREDERICKSBURG, VA	10/17/2014	00714623-08	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 463 TX EX MUNICIPAL INCOME TR 10 20 YEAR SERIES 15	WHEATON, IL	10/21/2014	00714718-05	Registered
BLACKROCK ALLOCATION TARGET SHARES BATS SERIES A PORTFOLIO	WILMINGTON, DE	10/21/2014	00715706-03	Registered
FT 5071 DORSEY WRIGHT RELATIVE STRENGTH TOP 50 SERIES 16	WHEATON, IL	10/21/2014	00714714-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5072 CYCLICAL STRENGTH PORTFOLIO SERIES 7	WHEATON, IL	10/21/2014	00714719-05	Pending-Cleared
FT 5083 DIVIDEND STRENGTH PORTFOLIO SERIES 25	WHEATON, IL	10/21/2014	00714704-05	Pending-Cleared
FT 5087 GLOBAL BOND INCOME CLOSED END PORTFOLIO SERIES 16	WHEATON, IL	10/21/2014	00714716-05	Pending-Cleared
FT 5090 NATURAL GAS PORTFOLIO SERIES 23	WHEATON, IL	10/21/2014	00714717-05	Pending-Cleared
FT 5091 1ST TR ETF ALLOCATION PORTFOLIO SERIES 11	WHEATON, IL	10/21/2014	00714720-05	Pending-Cleared
FT 5092 INCOME DIVIDEND EQUITY ALLOCATION IDEA PORTFOLIO SERIES 5	WHEATON, IL	10/21/2014	00714711-05	Pending-Cleared
FT 5100 MUNICIPAL INCOME & DIVIDEND GROWERS PORTFOLIO SERIES 3	WHEATON, IL	10/21/2014	00714703-05	Pending-Cleared
FT 5129 EUROPEAN FINANCIALS PORTFOLIO SERIES	WHEATON, IL	10/21/2014	00714721-05	Registered
FT 5131 STRATEGIC INCOME ADVANTAGE SELECT CLOSED END PORTFOLIO SERIES 43	WHEATON, IL	10/21/2014	00714715-05	Registered
SMART TR CAPITAL INNOVATIONS GLOBAL INFRASTRUCTURE & MLP TR SERIES 7	PARSIPPANY, NJ	10/21/2014	00714712-05	Pending-Cleared
SMART TR TAX FREE INCOME TR SERIES 18	PARSIPPANY, NJ	10/21/2014	00714713-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1243 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 89	MONUMENT, CO	10/20/2014	00714685-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1311 UBIQUITOUS PORTFOLIO SERIES 2014 2	MONUMENT, CO	10/20/2014	00714627-05	Registered
ADVISORS DISCIPLINED TR 1313 STEELPATH MLP & INCOME PORTFOLIO SERIES 2014 4 OFI STEELPATH PORTFOLIO	MONUMENT, CO	10/20/2014	00714628-05	Registered
ADVISORS DISCIPLINED TR 1317 DIVIDEND RULER COVERED CALL PORTFOLIO 15 MONTH SERIES 2014 4Q	MONUMENT, CO	10/20/2014	00714687-05	Registered
ADVISORS DISCIPLINED TR 1362 BEST IDEAS STRATEGY PORTFOLIO SERIES 2014 4Q HIMCO PORTFOLIO	MONUMENT, CO	10/20/2014	00714686-05	Pending-Cleared
ADVISORS INNER CIRCLE FUND 2 HANCOCK HORIZON QUANTITATIVE LONG SHORT FUND CLASS C SHARES	BOSTON, MA	10/20/2014	00715703-03	Registered
ADVISORS INNER CIRCLE FUND 2 HANCOCK HORIZON QUANTITATIVE LONG SHORT FUND INSTITUTIONAL CLASS SHARES	BOSTON, MA	10/20/2014	00715704-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1229 ALTERNATIVE INCOME PORTFOLIO SERIES 3	LISLE, IL	10/20/2014	00714688-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1230 FINANCIALS PORTFOLIO SERIES 3	LISLE, IL	10/20/2014	00714689-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1230 GLOBAL GROWTH PORTFOLIO SERIES 2	LISLE, IL	10/20/2014	00714690-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1230 RISING RATE DEFENSIVE EQUITY PORTFOLIO SERIES 2	LISLE, IL	10/20/2014	00714691-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1230 US HOUSING RECOVERY PORTFOLIO SERIES 4	LISLE, IL	10/20/2014	00714692-05	Pending-Cleared
SCHWAB CAPITAL TR SCHWAB FUNDAMENTAL GLOBAL REAL ESTATE INDEX FUND	SAN FRANCISCO, CA	10/20/2014	00715702-03	Registered
ADVISORS DISCIPLINED TR 1312 GLOBAL INFLATION GROWTH PORTFOLIO SERIES 2014 2	MONUMENT, CO	10/17/2014	00714626-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1228 MULTINATIONAL TITANS PORTFOLIO SERIES 7	LISLE, IL	10/17/2014	00714625-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1228 PRECIOUS METALS & MINERS PORTFOLIO SERIES 7	LISLE, IL	10/17/2014	00714588-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1228 TECHNOLOGY PORTFOLIO SERIES 15	LISLE, IL	10/17/2014	00714629-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1228 US 50 DIVIDEND STRATEGY PORTFOLIO SERIES 2	LISLE, IL	10/17/2014	00714589-05	Registered
RBB FUND INC ALTAIR SMALLER CO FUND	WILMINGTON, DE	10/17/2014	00714524-03	Registered
CAUSEWAY CAPITAL MANAGEMENT TR CAUSEWAY INTERNATIONAL SMALL CAP FUND INVESTOR CLASS	LOS ANGELES, CA	10/16/2014	00714281-03	Registered
CAUSEWAY CAPITAL MANAGEMENT TR CAUSEWAY INTERNATIONAL SMALL CAP FUND INSTITUTIONAL CLASS	LOS ANGELES, CA	10/16/2014	00714280-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 462 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 15	WHEATON, IL	10/15/2014	00714424-05	Registered
ADVISORS SERIES TR SHENKMAN FLOATING RATE HIGH INCOME FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	10/15/2014	00714459-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO CA TAX FREE INCOME FUND CLASS C SHARES	HOUSTON, TX	10/15/2014	00714244-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO CA TAX FREE INCOME FUND CLASS B SHARES	HOUSTON, TX	10/15/2014	00714240-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO CA TAX FREE INCOME FUND CLASS Y SHARES	HOUSTON, TX	10/15/2014	00714235-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO PA TAX FREE INCOME FUND CLASS Y SHARES	HOUSTON, TX	10/15/2014	00714242-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO PA TAX FREE INCOME FUND CLASS A SHARES	HOUSTON, TX	10/15/2014	00714246-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO PA TAX FREE INCOME FUND CLASS C SHARES	HOUSTON, TX	10/15/2014	00714239-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO PA TAX FREE INCOME FUND CLASS B SHARES	HOUSTON, TX	10/15/2014	00714236-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO ENDEAVOR FUND CLASS R SHARES	HOUSTON, TX	10/15/2014	00714238-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO SELECT CO FUND CLASS R SHARES	HOUSTON, TX	10/15/2014	00714237-03	Registered
AIM TX EX FUNDS INVESCO TX EX FUNDS INVESCO NY TAX FREE INCOME FUND CLASS B SHARES	HOUSTON, TX	10/15/2014	00714243-03	Registered
AIM TX EX FUNDS INVESCO TX EX FUNDS INVESCO NY TAX FREE INCOME FUND CLASS A SHARES	HOUSTON, TX	10/15/2014	00714241-03	Registered
AIM TX EX FUNDS INVESCO TX EX FUNDS INVESCO NY TAX FREE INCOME FUND CLASS C SHARES	HOUSTON, TX	10/15/2014	00714245-03	Registered
AIM TX EX FUNDS INVESCO TX EX FUNDS INVESCO NY TAX FREE INCOME FUND CLASS Y SHARES	HOUSTON, TX	10/15/2014	00714247-03	Registered
FT 5066 STRATEGIC INCOME SELECT CLOSED END PORTFOLIO SERIES 20	WHEATON, IL	10/15/2014	00714427-05	Registered
FT 5067 DEEP VALUE DIVIDEND PORTFOLIO SERIES 4	WHEATON, IL	10/15/2014	00714432-05	Registered
FT 5069 NASDAQ DIVIDEND ACHIEVERS 25 PORTFOLIO SERIES 3	WHEATON, IL	10/15/2014	00714430-05	Registered
FT 5070 LTD DURATION FIXED INCOME ETF PORTFOLIO SERIES 8	WHEATON, IL	10/15/2014	00714422-05	Registered
FT 5082 INTEREST RATE HEDGE & ETF PORTFOLIO SERIES 6	WHEATON, IL	10/15/2014	00714431-05	Registered
FT 5095 GBM MEXICAN EQUITY PORTFOLIO SERIES 2	WHEATON, IL	10/15/2014	00714425-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5104 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 32	WHEATON, IL	10/15/2014	00714428-05	Registered
FT 5105 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 46	WHEATON, IL	10/15/2014	00714423-05	Registered
FT 5125 DIVERSIFIED HIGH INCOME CLOSED END PORTFOLIO SERIES 18	WHEATON, IL	10/15/2014	00714426-05	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS LONG SHORT FUND CLASS A SHARES	CHICAGO, IL	10/15/2014	00714248-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS LONG SHORT FUND CLASS C SHARES	CHICAGO, IL	10/15/2014	00714249-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS LONG SHORT FUND CLASS IR SHARES	CHICAGO, IL	10/15/2014	00714250-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS LONG SHORT FUND CLASS R SHARES	CHICAGO, IL	10/15/2014	00714252-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS LONG SHORT FUND INSTITUTIONAL SHARES	CHICAGO, IL	10/15/2014	00714251-03	Registered
SMART TR DYNAMIC SECTOR INCOME TR SERIES 10	PARSIPPANY, NJ	10/15/2014	00714429-05	Registered
1ST TR COMBINED SERIES 461 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO INTERMEDIATE SERIES 7	WHEATON, IL	10/14/2014	00714309-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1240 ADVISORS CORPORATE TR HIGH YIELD BOND PORTFOLIO SERIES 14 HIMCO PORTFOLIO	MONUMENT, CO	10/14/2014	00714303-05	Registered
ADVISORS SERIES TR SCHARF GLOBAL OPPORTUNITY FUND RETAIL CLASS	MILWAUKEE, WI	10/14/2014	00714300-03	Registered
FT 5058 DIVERSIFIED FIXED INCOME ETF PORTFOLIO SERIES 20	WHEATON, IL	10/14/2014	00714313-05	Registered
FT 5065 SELECT DSIP PORTFOLIO 4TH QUARTER 2014 SERIES	WHEATON, IL	10/14/2014	00714311-05	Registered
FT 5068 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 18	WHEATON, IL	10/14/2014	00714310-05	Registered
FT 5096 EQUITY CLOSED END PORTFOLIO SERIES 33	WHEATON, IL	10/14/2014	00714315-05	Registered
FT 5106 BALANCED INCOME SELECT PORTFOLIO SERIES 48	WHEATON, IL	10/14/2014	00714296-05	Registered
INCAPITAL UNIT TR SERIES 57 NUVEEN LARGE CAP DIVIDEND PORTFOLIO 4Q 2014	CHICAGO, IL	10/14/2014	00714304-05	Registered
INCAPITAL UNIT TR SERIES 58 ARGUS MODERN INNOVATORS SERIES 3	CHICAGO, IL	10/14/2014	00714302-05	Registered
INVESTMENT MANAGERS SERIES TR OAK RIDGE LARGE CAP GROWTH FUND CLASS A	MILWAUKEE, WI	10/14/2014	00714317-03	Registered
INVESTMENT MANAGERS SERIES TR OAK RIDGE LARGE CAP GROWTH FUND CLASS Y	MILWAUKEE, WI	10/14/2014	00714318-03	Registered
INVESTMENT MANAGERS SERIES TR OAK RIDGE LARGE CAP GROWTH FUND CLASS C	MILWAUKEE, WI	10/14/2014	00714316-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR OAK RIDGE SMALL CAP GROWTH FUND CLASS A	MILWAUKEE, WI	10/14/2014	00714320-03	Registered
INVESTMENT MANAGERS SERIES TR OAK RIDGE SMALL CAP GROWTH FUND CLASS C	MILWAUKEE, WI	10/14/2014	00714319-03	Registered
INVESTMENT MANAGERS SERIES TR OAK RIDGE SMALL CAP GROWTH FUND CLASS K	MILWAUKEE, WI	10/14/2014	00714322-03	Registered
INVESTMENT MANAGERS SERIES TR OAK RIDGE SMALL CAP GROWTH FUND CLASS Y	MILWAUKEE, WI	10/14/2014	00714321-03	Registered
PROFESSIONALLY MANAGED PORTFOLIOS MUZINICH CREDIT OPPORTUNITIES FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	10/14/2014	00714294-03	Registered
SMART TR DEFENSIVE 50 EQUITIES TR SERIES 1	PARSIPPANY, NJ	10/14/2014	00714312-05	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO ALTERNATIVE STRATEGIES FUND CLASS R6 SHARES	HOUSTON, TX	10/13/2014	00714219-03	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO ALTERNATIVE STRATEGIES FUND CLASS A SHARES	HOUSTON, TX	10/13/2014	00714220-03	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO ALTERNATIVE STRATEGIES FUND CLASS C SHARES	HOUSTON, TX	10/13/2014	00714213-03	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO ALTERNATIVE STRATEGIES FUND CLASS Y SHARES	HOUSTON, TX	10/13/2014	00714221-03	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO ALTERNATIVE STRATEGIES FUND CLASS R5 SHARES	HOUSTON, TX	10/13/2014	00714217-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO ALTERNATIVE STRATEGIES FUND CLASS R SHARES	HOUSTON, TX	10/13/2014	00714215-03	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO MULTI ASSET INFLATION FUND CLASS C SHARES	HOUSTON, TX	10/13/2014	00714211-03	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO MULTI ASSET INFLATION FUND CLASS Y SHARES	HOUSTON, TX	10/13/2014	00714216-03	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO MULTI ASSET INFLATION FUND CLASS R SHARES	HOUSTON, TX	10/13/2014	00714212-03	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO MULTI ASSET INFLATION FUND CLASS R6 SHARES	HOUSTON, TX	10/13/2014	00714218-03	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO MULTI ASSET INFLATION FUND CLASS A SHARES	HOUSTON, TX	10/13/2014	00714210-03	Registered
AIM GROWTH SERIES INVESCO GROWTH SERIES INVESCO MULTI ASSET INFLATION FUND CLASS R5 SHARES	HOUSTON, TX	10/13/2014	00714214-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO UNCONSTRAINED BOND FUND CLASS R5 SHARES	HOUSTON, TX	10/13/2014	00714206-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO UNCONSTRAINED BOND FUND CLASS C SHARES	HOUSTON, TX	10/13/2014	00714205-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO UNCONSTRAINED BOND FUND CLASS Y SHARES	HOUSTON, TX	10/13/2014	00714209-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO UNCONSTRAINED BOND FUND CLASS A SHARES	HOUSTON, TX	10/13/2014	00714204-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO UNCONSTRAINED BOND FUND CLASS R SHARES	HOUSTON, TX	10/13/2014	00714208-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO UNCONSTRAINED BOND FUND CLASS R6 SHARES	HOUSTON, TX	10/13/2014	00714207-03	Registered
Number of Investment Company Filings: 102				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AP 830 N MILWAUKEE INVESTORS LLC	CHICAGO, IL	10/21/2014	00714710-07	Filed
ICONIQ STRATEGIC PARTNERS 2 LP	SAN FRANCISCO, CA	10/21/2014	00714708-07	Filed
NORTHGATE VENTURE PARTNERS 7 LP	DANVILLE, CA	10/21/2014	00714707-07	Filed
NUCURRENT INC	CHICAGO, IL	10/21/2014	00714709-07	Filed
ROCK FALLS HOTEL GROUP LLC	VERONA, WI	10/21/2014	00714694-07	Filed
5 STAR IN HOLDINGS LLC	MIDDLEBURY, IN	10/20/2014	00714632-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
9 POWER CLEAN WATER INC	GOLDEN, CO	10/20/2014	00714630-07	Filed
BEACH POINT LOAN FUND LP	SANTA MONICA, CA	10/20/2014	00714633-07	Filed
THACKERAY PARTNERS REALTY FUND 4 LP	DALLAS, TX	10/20/2014	00714631-07	Filed
CONTRARIAN CAPITAL 2 ASP FUND SERIES OF ALTERNATIVE STRATEGIES PLATFORM LLC	CHARLOTTE, NC	10/17/2014	00714571-07	Filed
CONTRARIAN CAPITAL ASP FUND SERIES OF ALTERNATIVE STRATEGIES PLATFORM LLC	CHARLOTTE, NC	10/17/2014	00714570-07	Filed
TURNER INVESTMENT FUND 11 LLC	ATLANTA, GA	10/17/2014	00714569-07	Filed
PERELLA WEINBERG PARTNERS ASSET BASED VALUE FUND LP	NEW YORK, NY	10/16/2014	00714509-07	Filed
ZYNERBA PHARMECEUTICALS INC	CHESTERBROOK, PA	10/16/2014	00714548-07	Filed
AVA INVESTORS LLC	KANSAS CITY, MO	10/15/2014	00714435-07	Filed
BLACK BEAR RESOURCES 4 LLC	LAKE ELMO, MN	10/15/2014	00714437-07	Filed
CARLYLE REALTY PARTNERS 7 2 ASP FUND SERIES OF ALTERNATIVE STRATEGIES PRIVATE EQUITY PLATFORM LLC	CHARLOTTE, NC	10/15/2014	00714284-07	Filed
CROWN CLEVELAND 14 JOINT VENTURE	CARROLLTON, TX	10/15/2014	00714438-07	Filed
OWL CREEK 2 LP	NEW YORK, NY	10/15/2014	00714433-07	Filed
PEARL UNLTD HOLDINGS INC	ATLANTA, GA	10/15/2014	00714436-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SEER CAPITAL PARTNERS ASP FUND SERIES OF ALTERNATIVE STRATEGIES PLATFORM LLC	CHARLOTTE, NC	10/15/2014	00714285-07	Filed
TYBOURNE EQUITY US FUND	GRAND CAYMAN, AA	10/15/2014	00714434-07	Filed
EMPIRE AT ARCADIA GLEN LLC	SCOTTSDALE, AZ	10/14/2014	00714306-07	Filed
INFORMATICS IN CONTEXT INC	BOSTON, MA	10/14/2014	00714301-07	Filed
MHEG FUND 42 LP	OMAHA, NE	10/14/2014	00714314-07	Filed
SCOUT SECURITY INC	CHICAGO, IL	10/14/2014	00714305-07	Filed
WINTERSET HOTEL GROUP LLC	WINTERSET, IA	10/14/2014	00714295-07	Filed
AMG PANTHEON PRIVATE EQUITY FUND LLC	NORWALK, CT	10/13/2014	00714291-07	Filed
CONTINUITY PARTNERS GROUP LLC	FAIRFIELD, IA	10/13/2014	00714292-07	Filed
JONES FINANCIAL CO LLLP	ST LOUIS, MO	10/13/2014	00714290-07	Filed
MIURA GLOBAL PARTNERS 2	NEW YORK, NY	10/13/2014	00714289-07	Filed
PECAN 3 D JOINT VENTURE	SAN ANTONIO, TX	10/13/2014	00714293-07	Filed
SEER CAPITAL PARTNERS 2 ASP FUND SERIES OF ALTERNATIVE STRATEGIES PLATFORM LLC	CHARLOTTE, NC	10/13/2014	00714286-07	Filed
SEQUELLA INC	ROCKVILLE, MD	10/13/2014	00714288-07	Filed
SUPERZOOM 1 LLC	NEW YORK, NY	10/13/2014	00714287-07	Filed
Number of Regulation D Notice Filings: 35				

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Ram Jack Systems Distribution, LLC / Ram Jack Foundation Solutions	Ada, OK	10/24/2014	608419	Registered
Curves International, Inc. / Curves Jenny Craig	Woodway, TX	10/24/2014	608418	Registered
VALBRIDGE PROPERTY ADVISORS FRANCHISING SYSTEMS, LLC / VALBRIDGE PROPERTY ADVISORS	NAPLES, FL	10/21/2014	608410	Registered
Mossy Oak Properties, Inc. / Mossy Oak Properties	West Point, MS	10/21/2014	608416	Registered
INFINIUM REALTY GROUP INC / HELP-U-SELL REAL ESTATE	SARASOTA, FL	10/20/2014	608409	Registered
Champs Chicken Franchising LLC	Holts Summit, MO	10/20/2014	608414	Registered
JACKSON HEWITT INC. / Jackson Hewitt Tax Service	PARSIPPANY, NJ	10/17/2014	608407	Registered
MMI-PEC / Pro Energy Consultants	Independence, OH	10/17/2014	608401	Registered
MMI-GOC, LLC / Geeks On Call	Independence, OH	10/17/2014	608400	Registered
MMI BUSINESS BROKERS LLC / Sunbelt Business Brokers	INDEPENDENCE, OH	10/17/2014	608399	Registered
MTOCLEAN INC	INDEPENDENCE, OH	10/17/2014	608398	Registered
GLORIA JEANS GOURMET COFFEES FRANCHISING CORP / Gloria Jean's Coffee	Santa Fe Springs, CA	10/17/2014	608412	Registered
Hilton Franchise Holding LLC / Canopy and Canopy by Hilton	McLean, VA	10/16/2014	608404	Registered
Hilton Worldwide Holdings, Inc. / Curio - A Collection by Hilton	McLean, VA	10/16/2014	608405	Registered
INTERIM HEALTHCARE INC	SUNRISE, FL	10/16/2014	608406	Registered
Miss Universe L.P., LLLP / Miss Universe	New York, NY	10/16/2014	608403	Registered
Miss Universe L.P., LLLP / Miss Teen Universe	New York, NY	10/16/2014	608402	Registered
BFK Franchise Company, LLC / Bricks 4 Kidz	St.Augustine, FL	10/15/2014	608388	Registered
1 800 FLOWERS.COM FRANCHISE CO INC / 1-800-FLOWERS.COM	CARLE PLACE, NY	10/15/2014	608394	Registered
1 800 FLOWERS.COM FRANCHISE CO INC / 1-800-FLOWERS	CARLE PLACE, NY	10/15/2014	608393	Registered
Dr. Pave Worldwide, LLC / Dr. Pave	Gardena, CA	10/15/2014	608395	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 10/13/2014 through 10/24/2014**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Bbdotq USA, Inc. / bb.q Chicken Bistro	Totowa, NJ	10/14/2014	608391	Registered
Espresso Americano Franchise Corporation	Westminster, CO	10/14/2014	608390	Registered
E&I Holdings, Inc. / The Egg & I	Centennial, CO	10/13/2014	608381	Registered
The Screenmobile Corporation / Screenmobile	Thousand Palms, CA	10/13/2014	608380	Registered
FRONTIER ADJUSTERS INC	Independence, OH	10/13/2014	608377	Registered
Mopfrog, Inc. / Mopfrog Business	Miami Beach, FL	10/13/2014	608389	Registered
Number of Franchise Filings: 27				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	