

**WI Securities Law and Franchise Law Filings
for 10/6/2014 through 10/17/2014**

| Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Registration Filings: 0 | | | | |

| Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| COMMON MAN BREWING INC | ELLSWORTH, WI | 10/10/2014 | 00714233-26 | Pending |
| Number of Exemption Filings: 1 | | | | |

| Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| ADVISORS SERIES TR SCHARF GLOBAL OPPORTUNITY FUND RETAIL CLASS | MILWAUKEE, WI | 10/14/2014 | 00714300-03 | Registered |
| INVESTMENT MANAGERS SERIES TR OAK RIDGE LARGE CAP GROWTH FUND CLASS C | MILWAUKEE, WI | 10/14/2014 | 00714316-03 | Pending-Cleared |
| INVESTMENT MANAGERS SERIES TR OAK RIDGE LARGE CAP GROWTH FUND CLASS Y | MILWAUKEE, WI | 10/14/2014 | 00714318-03 | Pending-Cleared |
| INVESTMENT MANAGERS SERIES TR OAK RIDGE LARGE CAP GROWTH FUND CLASS A | MILWAUKEE, WI | 10/14/2014 | 00714317-03 | Pending-Cleared |
| INVESTMENT MANAGERS SERIES TR OAK RIDGE SMALL CAP GROWTH FUND CLASS Y | MILWAUKEE, WI | 10/14/2014 | 00714321-03 | Pending-Cleared |
| INVESTMENT MANAGERS SERIES TR OAK RIDGE SMALL CAP GROWTH FUND CLASS K | MILWAUKEE, WI | 10/14/2014 | 00714322-03 | Pending-Cleared |
| INVESTMENT MANAGERS SERIES TR OAK RIDGE SMALL CAP GROWTH FUND CLASS A | MILWAUKEE, WI | 10/14/2014 | 00714320-03 | Pending-Cleared |

**WI Securities Law and Franchise Law Filings
for 10/6/2014 through 10/17/2014**

| Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| INVESTMENT MANAGERS SERIES TR OAK RIDGE SMALL CAP GROWTH FUND CLASS C | MILWAUKEE, WI | 10/14/2014 | 00714319-03 | Pending-Cleared |
| PROFESSIONALLY MANAGED PORTFOLIOS MUZINICH CREDIT OPPORTUNITIES FUND INSTITUTIONAL SHARES | MILWAUKEE, WI | 10/14/2014 | 00714294-03 | Registered |
| BMO FUNDS INC BMO ALTERNATIVE STRATEGIES FUND ADVISOR CLASS | MILWAUKEE, WI | 10/9/2014 | 00714157-03 | Registered |
| BMO FUNDS INC BMO ALTERNATIVE STRATEGIES FUND INSTITUTIONAL CLASS | MILWAUKEE, WI | 10/9/2014 | 00714156-03 | Registered |
| Number of Investment Company Filings: 11 | | | | |

| Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| ECHOMETRIX LLC | MADISON, WI | 10/6/2014 | 00714040-07 | Filed |
| Number of Regulation D Notice Filings: 1 | | | | |

| Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| Garage Force International, Inc. / Garage Force | Onalaska, WI | 10/9/2014 | 608373 | Registered |
| Number of Franchise Filings: 1 | | | | |