

**WI Securities Law and Franchise Law Filings  
for 9/22/2014 through 10/3/2014**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESTMENT MANAGERS SERIES TR 2 ALL TERRAIN OPPORTUNITY FUND CLASS I SHARES	MILWAUKEE, WI	9/24/2014	00713574-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR 2 ALL TERRAIN OPPORTUNITY FUND CLASS A SHARES	MILWAUKEE, WI	9/24/2014	00713573-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR 2 ALL TERRAIN OPPORTUNITY FUND CLASS C SHARES	MILWAUKEE, WI	9/24/2014	00713572-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR STONE TORO MARKET NEUTRAL FUND CLASS C	MILWAUKEE, WI	9/24/2014	00713576-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR STONE TORO MARKET NEUTRAL FUND CLASS I	MILWAUKEE, WI	9/24/2014	00713577-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR STONE TORO MARKET NEUTRAL FUND CLASS A	MILWAUKEE, WI	9/24/2014	00713575-03	Pending-Cleared
<b>Number of Investment Company Filings: 6</b>				

**WI Securities Law and Franchise Law Filings  
for 9/22/2014 through 10/3/2014**

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
LIBERTY CENTER LODGING ASSOC LLC	MIDDLETON, WI	9/25/2014	00713600-07	Filed
EATSTREET INC	MADISON, WI	9/24/2014	00713584-07	Filed
<b>Number of Regulation D Notice Filings: 2</b>				