

**WI Securities Law and Franchise Law Filings
for 9/8/2014 through 9/19/2014**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR ROBINSON TAX ADVANTAGED INCOME FUND CLASS C SHARES	MILWAUKEE, WI	9/11/2014	00711870-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR ROBINSON TAX ADVANTAGED INCOME FUND CLASS A SHARES	MILWAUKEE, WI	9/11/2014	00711869-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR ROBINSON TAX ADVANTAGED INCOME FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	9/11/2014	00711871-03	Pending-Cleared
Number of Investment Company Filings: 3				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
US HEALTHCENTER INC	THIENSVILLE, WI	9/8/2014	00711694-07	Filed
Number of Regulation D Notice Filings: 1				