

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 9/1/2014 through 9/12/2014**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SENECA GLOBAL FUND LP	GAITHERSBURG, MD	9/3/2014	00711686-01	Incomplete
SIERRA INCOME CORP 2	NEW YORK, NY	9/2/2014	00711671-01	Pending
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CAMP CREEK CHURCH OF CHRIST INC	ATLANTA, GA	9/9/2014	00711725-08	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1289 CONVERTIBLE & INCOME CLOSED END PORTFOLIO SERIES 2014 2	MONUMENT, CO	9/9/2014	00711695-05	Pending-Cleared
JPMORGAN TR I JPMORGAN EUROPE RESEARCH ENHANCED EQUITY FUND SELECT CLASS SHARES	BOSTON, MA	9/9/2014	00711693-03	Registered
TSC UITS 7 TSC HERZFELD ENHANCED DIVIDEND INCOME CLOSED END PORTFOLIO 3Q 2014	BOSTON, MA	9/9/2014	00711696-05	Pending-Cleared
TSC UITS 7 TSC HERZFELD MUNICIPAL INCOME CLOSED END PORTFOLIO 3Q 2014	BOSTON, MA	9/9/2014	00711697-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1285 PERONI GROWTH PORTFOLIO AUTUMN 2014 SERIES	MONUMENT, CO	9/8/2014	00711736-05	Registered

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ADVISORS DISCIPLINED TR 1286 DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 2014 3Q	MONUMENT, CO	9/8/2014	00711737-05	Registered
ADVISORS DISCIPLINED TR 1287 HIGH 50 DIVIDEND STRATEGY PORTFOLIO SERIES 2014 3Q	MONUMENT, CO	9/8/2014	00711738-05	Registered
ADVISORS DISCIPLINED TR 1288 GLOBAL BASIC MATERIALS PORTFOLIO SERIES 2014 2	MONUMENT, CO	9/8/2014	00711746-05	Registered
FT 5001 UTILITIES SELECT PORTFOLIO SERIES 31	WHEATON, IL	9/8/2014	00711728-05	Registered
FT 5004 HEALTH CARE SELECT PORTFOLIO SERIES 34	WHEATON, IL	9/8/2014	00711745-05	Registered
FT 5006 EUROPEAN DEEP VALUE DIVIDEND PORTFOLIO SERIES 3	WHEATON, IL	9/8/2014	00711742-05	Registered
FT 5007 SENIOR LOAN & DIVIDEND GROWERS PORTFOLIO SERIES 5	WHEATON, IL	9/8/2014	00711743-05	Registered
FT 5045 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 63	WHEATON, IL	9/8/2014	00711744-05	Registered
GLENMEDE FUND INC PHILADELPHIA INTERNATIONAL SMALL CAP FUND CLASS I SHARES	BOSTON, MA	9/8/2014	00711733-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1213 SMID DIVIDEND STRENGTH PORTFOLIO SERIES 2	LISLE, IL	9/8/2014	00711740-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1214 INCOME & TREASURY LTD DURATION PORTFOLIO OF FUNDS SERIES 39	LISLE, IL	9/8/2014	00711739-05	Registered

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Firm Name	Location	Date Received	File Number	Status
INCAPITAL UNIT TR SERIES 54 EUROPEAN DIVIDEND BUILDERS PORTFOLIO 3 Q 2014	CHICAGO, IL	9/8/2014	00711741-05	Registered
REALTY CAPITAL INCOME FUNDS TR AR CAPITAL BDC INCOME FUND CLASS C SHARES	HAUPPAUGE, NY	9/5/2014	00711730-03	Registered
ALPS SERIES TR NEW SHERIDAN DEVELOPING WORLD FUND INVESTOR CLASS	DENVER, CO	9/4/2014	00711606-03	Registered
RBB FUND INC ABBEY CAPITAL FUTURES STRATEGY FUND CLASS A SHARES	WILMINGTON, DE	9/4/2014	00711610-03	Registered
VIRTUS ALTERNATIVE SOLUTIONS TR VIRTUS STRATEGIC INCOME FUND CLASS I	GREENFIELD, MA	9/4/2014	00711609-03	Registered
VIRTUS ALTERNATIVE SOLUTIONS TR VIRTUS STRATEGIC INCOME FUND CLASS C	GREENFIELD, MA	9/4/2014	00711608-03	Registered
VIRTUS ALTERNATIVE SOLUTIONS TR VIRTUS STRATEGIC INCOME FUND CLASS A	GREENFIELD, MA	9/4/2014	00711607-03	Registered
1ST TR COMBINED SERIES 457 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 14	WHEATON, IL	9/2/2014	00711680-05	Registered
BLACKSTONE ALTERNATIVE INVESTMENT FUNDS BLACKSTONE ALTERNATIVE MULTI STRATEGY FUND CLASS D SHARES	NEW YORK, NY	9/2/2014	00711648-03	Pending-Cleared
BLACKSTONE ALTERNATIVE INVESTMENT FUNDS BLACKSTONE ALTERNATIVE MULTI STRATEGY FUND CLASS Y SHARES	NEW YORK, NY	9/2/2014	00711650-03	Pending-Cleared

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BLACKSTONE ALTERNATIVE INVESTMENT FUNDS BLACKSTONE ALTERNATIVE MULTI STRATEGY FUND CLASS R SHARES	NEW YORK, NY	9/2/2014	00711649-03	Pending-Cleared
CAVANAL HILL FUNDS INTERMEDIATE TAX FREE BOND FUND INSTITUTIONAL	COLUMBUS, OH	9/2/2014	00711595-03	Registered
EATON VANCE GROWTH TR EATON VANCE RICHARD BERNSTEIN MARKET OPPORTUNITIES FUND CLASS I SHARES	BOSTON, MA	9/2/2014	00711594-03	Registered
EATON VANCE GROWTH TR EATON VANCE RICHARD BERNSTEIN MARKET OPPORTUNITIES FUND CLASS A SHARES	BOSTON, MA	9/2/2014	00711592-03	Registered
EATON VANCE GROWTH TR EATON VANCE RICHARD BERNSTEIN MARKET OPPORTUNITIES FUND CLASS C SHARES	BOSTON, MA	9/2/2014	00711593-03	Registered
FT 4986 EQUITY INCOME SELECT PORTFOLIO SERIES 41	WHEATON, IL	9/2/2014	00711675-05	Registered
FT 4997 TARGET HIGH QUALITY DIVIDEND PORTFOLIO SERIES 7	WHEATON, IL	9/2/2014	00711684-05	Registered
FT 4998 INCOME ALLOCATION CLOSED END SEPT 14	WHEATON, IL	9/2/2014	00711676-05	Registered
FT 4999 DOW TARGET 10 SEPT 14 TERM 10/9/15	WHEATON, IL	9/2/2014	00711682-05	Registered
FT 5000 TARGET DIVERSIFIED GLOBAL ALLOCATION PORTFOLIO SERIES 13	WHEATON, IL	9/2/2014	00711677-05	Registered
FT 5002 DIVIDEND STRENGTH PORTFOLIO SERIES 24	WHEATON, IL	9/2/2014	00711683-05	Registered
FT 5025 RICHARD BERNSTEIN ADVISORS QUALITY INCOME PORTFOLIO SERIES 14	WHEATON, IL	9/2/2014	00711647-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 5026 RICHARD BERNSTEIN ADVISORS GLOBAL DIVIDEND KINGS SERIES 11	WHEATON, IL	9/2/2014	00711674-05	Registered
FT 5042 INTEREST RATE HEDGE PORTFOLIO SERIES 87	WHEATON, IL	9/2/2014	00711681-05	Registered
FT 5044 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 22	WHEATON, IL	9/2/2014	00711678-05	Registered
FT 5044 SMID CAPITAL STRENGTH PORTFOLIO SERIES 22	WHEATON, IL	9/2/2014	00711679-05	Registered
ICON FUNDS ICON OPPORTUNITIES FUND	GREENWOOD VILLAGE, CO	9/2/2014	00711652-03	Registered
INCAPITAL UNIT TR SERIES 55 NUVEEN CORE REAL ESTATE SECURITIES PORTFOLIO 3Q 2014	CHICAGO, IL	9/2/2014	00711685-05	Registered
INVESCO UNIT TR SERIES 1471 DOW JONES SELECT DIVIDEND INDEX STRATEGY PORTFOLIO 2014 4	DOWNERS GROVE, IL	9/2/2014	00711663-05	Pending-Cleared
INVESCO UNIT TR SERIES 1471 EAFE SELECT 20 PORTFOLIO 2014 4	DOWNERS GROVE, IL	9/2/2014	00711664-05	Pending-Cleared
INVESCO UNIT TR SERIES 1471 GLOBAL 45 DIVIDEND STRATEGY PORTFOLIO 2014 4	DOWNERS GROVE, IL	9/2/2014	00711665-05	Pending-Cleared
INVESCO UNIT TR SERIES 1471 SELECT S&P CORE PORTFOLIO 2014 4	DOWNERS GROVE, IL	9/2/2014	00711666-05	Pending-Cleared
INVESCO UNIT TR SERIES 1471 SELECT S&P INDUSTRIAL PORTFOLIO 2014 4	DOWNERS GROVE, IL	9/2/2014	00711667-05	Pending-Cleared
INVESCO UNIT TR SERIES 1472 CLOSED END STRATEGY COVERED CALL INCOME PORTFOLIO 2014 4	DOWNERS GROVE, IL	9/2/2014	00711659-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR SERIES 1472 CLOSED END STRATEGY MASTER INCOME PORTFOLIO 2014 4	DOWNERS GROVE, IL	9/2/2014	00711660-05	Pending-Cleared
INVESCO UNIT TR SERIES 1472 CLOSED END STRATEGY MASTER MUNICIPAL INCOME PORTFOLIO NATIONAL SERIES 2014 4	DOWNERS GROVE, IL	9/2/2014	00711661-05	Pending-Cleared
INVESCO UNIT TR SERIES 1472 CLOSED END STRATEGY VALUE EQUITY & INCOME PORTFOLIO 2014 4	DOWNERS GROVE, IL	9/2/2014	00711662-05	Pending-Cleared
INVESCO UNIT TR SERIES 1475 DIVIDEND INCOME & VALUE PORTFOLIO 2014 4	DOWNERS GROVE, IL	9/2/2014	00711668-05	Pending-Cleared
INVESCO UNIT TR SERIES 1476 CLOSED END STRATEGY DIVERSIFIED CREDIT OPPORTUNITIES PORTFOLIO SERIES 15	DOWNERS GROVE, IL	9/2/2014	00711656-05	Pending-Cleared
INVESCO UNIT TR SERIES 1476 CLOSED END STRATEGY GLOBAL INCOME PORTFOLIO 2014 4	DOWNERS GROVE, IL	9/2/2014	00711657-05	Pending-Cleared
INVESCO UNIT TR SERIES 1476 CLOSED END STRATEGY SENIOR LOAN & LTD DURATION PORTFOLIO SERIES 2014 4	DOWNERS GROVE, IL	9/2/2014	00711658-05	Pending-Cleared
INVESCO UNIT TR SERIES 1478 AMERICAN INFRASTRUCTURE GROWTH PORTFOLIO 2014 4	DOWNERS GROVE, IL	9/2/2014	00711655-05	Pending-Cleared
INVESCO UNIT TR SERIES 1479 BOFA MERRILL LYNCH GLOBAL WATER PICKS PORTFOLIO SERIES 1	DOWNERS GROVE, IL	9/2/2014	00711654-05	Registered
STATE STREET INSTITUTIONAL INVESTMENT TR SSI US GOVERNMENT MONEY MARKET FUND CLASS G	BOSTON, MA	9/2/2014	00711651-03	Pending-Cleared
Number of Investment Company Filings: 60				

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
LIM INNOVATIONS INC	SAN FRANCISCO, CA	9/9/2014	00711722-07	Filed
TOSK INC	MOUNTAIN VIEW, CA	9/9/2014	00711723-07	Filed
UNIVERSAL CAPITAL MANAGEMENT INC	WILMINGTON, DE	9/9/2014	00711721-07	Filed
IDENTITY AUTOMATION INVESTMENT PARTNERS LLC	HOUSTON, TX	9/8/2014	00711731-07	Filed
US HEALTHCENTER INC	THIENSVILLE, WI	9/8/2014	00711694-07	Filed
CARLYLE US REALTY 7 TR	NEW YORK, NY	9/5/2014	00711729-07	Filed
IMBED BIOSCIENCES INC	FITCHBURG, WI	9/4/2014	00711727-07	Filed
ELM BAYOU 3 D JOINT VENTURE	SAN ANTONIO, TX	9/3/2014	00711673-07	Filed
NEUROVANCE INC	CAMBRIDGE, MA	9/3/2014	00711672-07	Filed
ENVIRO WATER MINERALS CO INC	HOUSTON, TX	9/2/2014	00711643-07	Filed
GIGA ENTERTAINMENT MEDIA INC	WALNUT CREEK, CA	9/2/2014	00711645-07	Filed
MLG PF NORTHWOOD CO INVESTOR LLC	BROOKFIELD, WI	9/2/2014	00711642-07	Filed
NORTH AMERICAN SHALE ENERGY FUND LP	DULUTH, MN	9/2/2014	00711644-07	Filed
TIDAL PETROLEUM INC	SCHERTZ, TX	9/2/2014	00711646-07	Filed
Number of Regulation D Notice Filings: 14				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Goldfish Swim School Franchising, LLC / Goldfish Swim School	Birmingham, MI	9/12/2014	608275	Registered
Freakin' Franchising, LLC / Freakin' Unbelievable Burgers	Okemos, MI	9/12/2014	608274	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
MD PIZZA LLC / Mama DeLuca's Pizza Now!	Milford, CT	9/3/2014	608268	Registered
Hoss's Franchise Corporation, Inc.	Duncansville, PA	9/2/2014	608253	Registered
Camp Bow Wow Franchising, Inc. / "Camp Bow Wow" "Behavior Buddies" "Home Buddies by Camp Bow Wow"	Broomfield, CO	9/2/2014	608265	Registered
Number of Franchise Filings: 5				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	