

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/28/2014 through 8/8/2014**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
OCONNOR EQUUS CLASS A SHARES	OAKS, PA	7/30/2014	00708972-01	Registered
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BLACKROCK FUNDS BLACKROCK MULTI MANAGER ALTERNATIVE STRATEGIES FUND INSTITUTIONAL SHARES	WILMINGTON, DE	8/1/2014	00709028-03	Registered
BLACKROCK FUNDS BLACKROCK MULTI MANAGER ALTERNATIVE STRATEGIES FUND INVESTOR C SHARES	WILMINGTON, DE	8/1/2014	00709027-03	Registered
BLACKROCK FUNDS BLACKROCK MULTI MANAGER ALTERNATIVE STRATEGIES FUND INVESTOR A SHARES	WILMINGTON, DE	8/1/2014	00709026-03	Registered
BROWN CAPITAL MANAGEMENT MUTUAL FUNDS BROWN CAPITAL MANAGEMENT INTERNATIONAL EQUITY FUND INSTITUTIONAL SHARES	BALTIMORE, MD	8/1/2014	00709032-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY ADVISOR FREEDOM 2060 FUND CLASS C	BOSTON, MA	8/1/2014	00709038-03	Registered

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Firm Name	Location	Date Received	File Number	Status
FIDELITY ABERDEEN STREET TR FIDELITY ADVISOR FREEDOM 2060 FUND CLASS A	BOSTON, MA	8/1/2014	00709040-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY ADVISOR FREEDOM 2060 FUND CLASS T	BOSTON, MA	8/1/2014	00709037-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM 2060 FUND FIDELITY FREEDOM 2060 FUND	BOSTON, MA	8/1/2014	00709036-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM INDEX 2060 FUND FIDELITY FREEDOM INDEX 2060 FUND	BOSTON, MA	8/1/2014	00709041-03	Registered
FIDELITY ABERDEEN STREET TR FIDELITY FREEDOM K 2060 FUND FIDELITY FREEDOM K 2060 FUND	BOSTON, MA	8/1/2014	00709039-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2060 FUND CLASS N	BOSTON, MA	8/1/2014	00709035-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2060 FUND STRATEGIC ADVISERS MULTI MANAGER 2060 FUND	BOSTON, MA	8/1/2014	00709033-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2060 FUND CLASS L	BOSTON, MA	8/1/2014	00709034-03	Registered
LEGG MASON GLOBAL ASSET MANAGEMENT TR CLEARBRIDGE SPECIAL INVESTMENT TR CLASS A2	BALTIMORE, MD	8/1/2014	00709042-03	Registered
LEGG MASON PARTNERS EQUITY TR CLEARBRIDGE INTERNATIONAL SMALL CAP FUND CLASS A2	NEW YORK, NY	8/1/2014	00709044-03	Registered
PACIFIC LIFE FUNDS PL DIVERSIFIED ALTERNATIVES FUND ADVISOR CLASS	NEWPORT BEACH, CA	8/1/2014	00709030-03	Registered

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PACIFIC LIFE FUNDS PL DIVERSIFIED ALTERNATIVES FUND CLASS A	NEWPORT BEACH, CA	8/1/2014	00709029-03	Registered
PACIFIC LIFE FUNDS PL DIVERSIFIED ALTERNATIVES FUND CLASS C	NEWPORT BEACH, CA	8/1/2014	00709031-03	Registered
WESTERN ASSET FUNDS INC WESTERN ASSET HIGH YIELD FUND CLASS A2	NEW YORK, NY	8/1/2014	00709043-03	Registered
BBH TR BBH INTERNATIONAL EQUITY FUND CLASS I	NEW YORK, NY	7/31/2014	00709015-03	Registered
MADISON FUNDS HANSBERGER INTERNATIONAL GROWTH FUND CLASS I SHARES	MADISON, WI	7/31/2014	00708988-03	Pending-Cleared
MADISON FUNDS HANSBERGER INTERNATIONAL GROWTH FUND CLASS Y SHARES	MADISON, WI	7/31/2014	00708987-03	Pending-Cleared
ALLIANCEBERNSTEIN CAP FUND INC ALLIANCEBERNSTEIN MULTI MANAGER ALTERNATIVE STRATEGIES FUND CLASS K	NEW YORK, NY	7/30/2014	00708981-03	Registered
ALLIANCEBERNSTEIN CAP FUND INC ALLIANCEBERNSTEIN MULTI MANAGER ALTERNATIVE STRATEGIES FUND CLASS R	NEW YORK, NY	7/30/2014	00708983-03	Registered
ALLIANCEBERNSTEIN CAP FUND INC ALLIANCEBERNSTEIN MULTI MANAGER ALTERNATIVE STRATEGIES FUND CLASS A	NEW YORK, NY	7/30/2014	00708980-03	Registered
ALLIANCEBERNSTEIN CAP FUND INC ALLIANCEBERNSTEIN MULTI MANAGER ALTERNATIVE STRATEGIES FUND ADVISOR CLASS	NEW YORK, NY	7/30/2014	00708978-03	Registered

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Firm Name	Location	Date Received	File Number	Status
ALLIANCEBERNSTEIN CAP FUND INC ALLIANCEBERNSTEIN MULTI MANAGER ALTERNATIVE STRATEGIES FUND CLASS C	NEW YORK, NY	7/30/2014	00708982-03	Registered
ALLIANCEBERNSTEIN CAP FUND INC ALLIANCEBERNSTEIN MULTI MANAGER ALTERNATIVE STRATEGIES FUND CLASS I	NEW YORK, NY	7/30/2014	00708979-03	Registered
ALLIANCEBERNSTEIN CAP FUND INC ALLIANCEBERNSTEIN MULTI MANAGER ALTERNATIVE STRATEGIES FUND CLASS Z	NEW YORK, NY	7/30/2014	00708984-03	Registered
FUNDVANTAGE TR GOTHAM ABSOLUTE 500 FUND INSTITUTIONAL CLASS SHARES	WILMINGTON, DE	7/30/2014	00708985-03	Registered
GABELLI EQUITY SERIES FUNDS INC GABELLI FOCUS 5 FUND CLASS C SHARES	RYE, NY	7/30/2014	00708986-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 9 PRUDENTIAL SELECT REAL ESTATE FUND CLASS C	NEWARK, NJ	7/30/2014	00708976-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 9 PRUDENTIAL SELECT REAL ESTATE FUND CLASS Q	NEWARK, NJ	7/30/2014	00708975-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 9 PRUDENTIAL SELECT REAL ESTATE FUND CLASS A	NEWARK, NJ	7/30/2014	00708974-03	Registered
PRUDENTIAL INVESTMENT PORTFOLIOS 9 PRUDENTIAL SELECT REAL ESTATE FUND CLASS Z	NEWARK, NJ	7/30/2014	00708977-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SEI INSTITUTIONAL INVESTMENTS TR LTD DURATION BOND FUND CLASS A SHARES	OAKS, PA	7/30/2014	00708973-03	Registered
JPMORGAN TR I JPMORGAN SYSTEMATIC ALPHA FUND CLASS A SHARES	BOSTON, MA	7/29/2014	00708926-03	Registered
JPMORGAN TR I JPMORGAN SYSTEMATIC ALPHA FUND CLASS C SHARES	BOSTON, MA	7/29/2014	00708927-03	Registered
JPMORGAN TR I JPMORGAN SYSTEMATIC ALPHA FUND CLASS R6 SHARES	BOSTON, MA	7/29/2014	00708928-03	Registered
JPMORGAN TR I JPMORGAN SYSTEMATIC ALPHA FUND SELECT CLASS SHARES	BOSTON, MA	7/29/2014	00708929-03	Registered
Number of Investment Company Filings: 40				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CWS SAF 6 LLC	NEWPORT BEACH, CA	7/28/2014	00709045-07	Filed
GREAT AJAX CORP	BEAVERTON, OR	7/28/2014	00709047-07	Filed
INRANGE SYSTEMS INC	ALTOONA, PA	7/28/2014	00709046-07	Filed
Number of Regulation D Notice Filings: 3				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Max Brenner Franchising, LLC / Max Brenner	New York, NY	8/6/2014	608182	Registered
U Got Stink Franchising, LLC / U Got Stink?	Battle Ground, WA	8/4/2014	608176	Registered
MAD SCIENCE GROUP INC	MONTREAL, QC	8/4/2014	608173	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
GODFATHERS PIZZA INC / GODFATHERS PIZZA	OMAHA, NE	8/4/2014	608174	Registered
AEEF LLC / Lodie's Shaved Ice Shack	Eau Claire, WI	8/1/2014	608172	Registered
Super-Lube Franchise, LLC / Super-Lube	Tallahassee, FL	8/1/2014	608171	Registered
TUTOR DOCTOR SYSTEMS INC / Tutor Doctor	WILMINGTON, DE	8/1/2014	608178	Registered
H&R BLOCK TAX SERVICES LLC / H&R Block	KANSAS CITY, MO	7/30/2014	608167	Registered
BoConcept Franchise, Inc / BoConcept	Bayonne, NJ	7/29/2014	608158	Registered
Dough Pac, Inc. / Sam & Louie's Pizzeria	Elkhorn, NE	7/29/2014	608156	Registered
FLOWERAMA OF AMERICA INC / FLOWERAMA	Cedar Falls, IA	7/29/2014	608163	Registered
FLOWERAMA OF AMERICA INC / FLOWERAMA	Cedar Falls, IA	7/29/2014	608165	Registered
MANCHU WOK FRANCHISING USA INC	MARKHAM ON L6G 1A8, ON	7/29/2014	608164	Registered
Image One Facility Solutions, Inc. / IMAGE ONE FACILITY SOLUTIONS	Wood Dale, IL	7/28/2014	608168	Registered
ROMA FRANCHISE CORP / Tony Roma's	PLANO, TX	7/28/2014	608154	Registered
Number of Franchise Filings: 15				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	