

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/14/2014 through 7/25/2014**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BDCA SENIOR CAPITAL INC	NEW YORK, NY	7/18/2014	00707709-01	Pending
AMERICAN CHURCH MORTGAGE CO	MINNETONKA, MN	7/15/2014	00707636-01	Pending
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AQR FUNDS AQR CORE EQUITY FUND CLASS R6 SHARES	GREENWICH, CT	7/24/2014	00707844-03	Registered
AQR FUNDS AQR EMERGING CORE EQUITY FUND CLASS R6 SHARES	GREENWICH, CT	7/24/2014	00707845-03	Registered
AQR FUNDS AQR EMERGING MOMENTUM FUND CLASS R6 SHARES	GREENWICH, CT	7/24/2014	00707847-03	Registered
AQR FUNDS AQR INTERNATIONAL CORE EQUITY FUND CLASS R6 SHARES	GREENWICH, CT	7/24/2014	00707843-03	Registered
AQR FUNDS AQR INTERNATIONAL MOMENTUM FUND CLASS R6 SHARES	GREENWICH, CT	7/24/2014	00707839-03	Registered
AQR FUNDS AQR MOMENTUM FUND CLASS R6 SHARES	GREENWICH, CT	7/24/2014	00707837-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AQR FUNDS AQR SMALL CAP CORE EQUITY FUND CLASS R6 SHARES	GREENWICH, CT	7/24/2014	00707842-03	Registered
AQR FUNDS AQR SMALL CAP MOMENTUM FUND CLASS R6 SHARES	GREENWICH, CT	7/24/2014	00707838-03	Registered
AQR FUNDS AQR TAX MANAGED INTERNATIONAL MOMENTUM FUND CLASS R6 SHARES	GREENWICH, CT	7/24/2014	00707846-03	Registered
AQR FUNDS AQR TAX MANAGED MOMENTUM FUND CLASS R6 SHARES	GREENWICH, CT	7/24/2014	00707840-03	Registered
AQR FUNDS AQR TAX MANAGED SMALL CAP MOMENTUM FUND CLASS R6 SHARES	GREENWICH, CT	7/24/2014	00707841-03	Registered
RUSSELL INVESTMENT CO SELECT INTERNATIONAL EQUITY FUND CLASS Y	SEATTLE, WA	7/24/2014	00707835-03	Registered
RUSSELL INVESTMENT CO SELECT US EQUITY FUND CLASS Y	SEATTLE, WA	7/24/2014	00707836-03	Registered
1ST TR COMBINED SERIES 451 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 13	WHEATON, IL	7/22/2014	00707742-05	Registered
ADVISORS DISCIPLINED TR 1212 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 86	MONUMENT, CO	7/22/2014	00707743-05	Pending-Cleared
CITY NATIONAL ROCHDALE FUNDS CNR SOCIALLY RESPONSIBLE EQUITY FUND I SHARES	BEVERLY HILLS, CA	7/22/2014	00707827-03	Registered
FT 4916 DORSEY WRIGHT RELATIVE STRENGTH TOP 50 SERIES 15	WHEATON, IL	7/22/2014	00707744-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1196 HEALTH CARE PORTFOLIO SERIES 17	LISLE, IL	7/22/2014	00707737-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1196 UTILITIES PORTFOLIO SERIES 20	LISLE, IL	7/22/2014	00707736-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1197 GLOBAL BALANCED INCOME BUILDER PORTFOLIO SERIES 4	LISLE, IL	7/22/2014	00707738-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1198 GUGGENHEIM BALANCED INCOME BUILDER PORTFOLIO SERIES 8	LISLE, IL	7/22/2014	00707739-05	Registered
PIMCO EQUITY SERIES PIMCO EQS DIVIDEND FUND ADMINISTRATIVE CLASS	NEWPORT BEACH, CA	7/22/2014	00707826-03	Registered
PIMCO FUNDS PIMCO COMMODITIESPLUS STRATEGY FUND ADMINISTRATIVE CLASS	NEWPORT BEACH, CA	7/22/2014	00707825-03	Registered
PIMCO FUNDS PIMCO CREDIT ABSOLUTE RETURN FUND ADMINISTRATIVE CLASS	NEWPORT BEACH, CA	7/22/2014	00707824-03	Registered
STERLING CAPITAL FUNDS STERLING CAPITAL TOTAL RETURN BOND FUND INSTITUTIONAL SHARES	RALEIGH, NC	7/22/2014	00707823-03	Registered
CONESTOGA FUNDS CONESTOGA SMALL CAP FUND INSTITUTIONAL CLASS	RADNOR, PA	7/21/2014	00707721-03	Registered
PRICE T ROWE INTERNATIONAL FUNDS INC PTRI CONCENTRATED EQUITY FUND	BALTIMORE, MD	7/21/2014	00707734-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
PRICE T ROWE INTERNATIONAL FUNDS INC PTRI CONCENTRATED EQUITY FUND ADVISOR CLASS	BALTIMORE, MD	7/21/2014	00707735-03	Pending-Cleared
AMERICAN CENTURY INTERNATIONAL BOND FUNDS AMERICAN CENTURY EMERGING MARKETS DEBT FUND A CLASS	KANSAS CITY, MO	7/18/2014	00707804-03	Registered
AMERICAN CENTURY INTERNATIONAL BOND FUNDS AMERICAN CENTURY EMERGING MARKETS DEBT FUND R CLASS	KANSAS CITY, MO	7/18/2014	00707808-03	Registered
AMERICAN CENTURY INTERNATIONAL BOND FUNDS AMERICAN CENTURY EMERGING MARKETS DEBT FUND INVESTOR CLASS	KANSAS CITY, MO	7/18/2014	00707807-03	Registered
AMERICAN CENTURY INTERNATIONAL BOND FUNDS AMERICAN CENTURY EMERGING MARKETS DEBT FUND INSTITUTIONAL CLASS	KANSAS CITY, MO	7/18/2014	00707806-03	Registered
AMERICAN CENTURY INTERNATIONAL BOND FUNDS AMERICAN CENTURY EMERGING MARKETS DEBT FUND C CLASS	KANSAS CITY, MO	7/18/2014	00707805-03	Registered
AMERICAN CENTURY INTERNATIONAL BOND FUNDS AMERICAN CENTURY EMERGING MARKETS DEBT FUND R6 CLASS	KANSAS CITY, MO	7/18/2014	00707809-03	Registered
FT 4913 NATURAL GAS PORTFOLIO SERIES 22	WHEATON, IL	7/18/2014	00707715-05	Registered
FT 4914 BALANCED INCOME EQUITY & ETF PORTFOLIO SERIES 10	WHEATON, IL	7/18/2014	00707717-05	Registered
FT 4924 BANKING OPPORTUNITY PORTFOLIO SERIES 15	WHEATON, IL	7/18/2014	00707714-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 4925 LTD DURATION FIXED INCOME ETF PORTFOLIO SERIES 7	WHEATON, IL	7/18/2014	00707718-05	Registered
FT 4965 GBM MEXICAN EQUITY PORTFOLIO SERIES	WHEATON, IL	7/18/2014	00707719-05	Registered
FT 4974 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 38	WHEATON, IL	7/18/2014	00707716-05	Registered
MORGAN STANLEY GLOBAL INVESTMENT SOLUTIONS CONTRARIAN CANDIDATES PORTFOLIO SERIES 1	PURCHASE, NY	7/18/2014	00707712-05	Pending-Cleared
SMART TR ZACKS GARP COMPOSITE 35 TR SERIES 2	PARSIPPANY, NJ	7/18/2014	00707713-05	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1204 A MINUS OR BETTER MUNICIPAL INCOME TR 10 20 YEAR SERIES 81	DOWNERS GROVE, IL	7/17/2014	00707699-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1205 A MINUS OR BETTER MUNICIPAL INCOME TR 10 20 YEAR SERIES 82	DOWNERS GROVE, IL	7/17/2014	00707706-05	Pending-Cleared
NATIXIS FUNDS TR 2 SEEYOND MULTI ASSET ALLOCATION FUND CLASS Y	BOSTON, MA	7/17/2014	00707500-03	Registered
NATIXIS FUNDS TR 2 SEEYOND MULTI ASSET ALLOCATION FUND CLASS A	BOSTON, MA	7/17/2014	00707499-03	Registered
NATIXIS FUNDS TR 2 SEEYOND MULTI ASSET ALLOCATION FUND CLASS C	BOSTON, MA	7/17/2014	00707501-03	Registered
RBC FUNDS TR RBC MID CAP VALUE FUND CLASS I	MINNEAPOLIS, MN	7/17/2014	00707502-03	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 4760 CORPORATE HIGH INCOME PORTFOLIO SERIES 11	WHEATON, IL	7/16/2014	00707689-05	Registered
FT 4930 STRATEGIC INCOME SELECT CLOSED END PORTFOLIO SERIES 19	WHEATON, IL	7/16/2014	00707690-05	Registered
FT 4963 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 53	WHEATON, IL	7/16/2014	00707687-05	Registered
FT 4975 DISCOUNT OPPORTUNITY CLOSED END PORTFOLIO SERIES 8	WHEATON, IL	7/16/2014	00707686-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1209 US 50 DIVIDEND STRATEGY PORTFOLIO SERIES 1	LISLE, IL	7/16/2014	00707688-05	Registered
ALLIANCEBERNSTEIN BOND FUND INC ALLIANCEBERNSTEIN HIGH YIELD PORTFOLIO ADVISOR CLASS	NEW YORK, NY	7/15/2014	00707472-03	Registered
ALLIANCEBERNSTEIN BOND FUND INC ALLIANCEBERNSTEIN HIGH YIELD PORTFOLIO CLASS I	NEW YORK, NY	7/15/2014	00707476-03	Registered
ALLIANCEBERNSTEIN BOND FUND INC ALLIANCEBERNSTEIN HIGH YIELD PORTFOLIO CLASS C	NEW YORK, NY	7/15/2014	00707473-03	Registered
ALLIANCEBERNSTEIN BOND FUND INC ALLIANCEBERNSTEIN HIGH YIELD PORTFOLIO CLASS Z	NEW YORK, NY	7/15/2014	00707477-03	Registered
ALLIANCEBERNSTEIN BOND FUND INC ALLIANCEBERNSTEIN HIGH YIELD PORTFOLIO CLASS K	NEW YORK, NY	7/15/2014	00707474-03	Registered

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Firm Name	Location	Date Received	File Number	Status
ALLIANCEBERNSTEIN BOND FUND INC ALLIANCEBERNSTEIN HIGH YIELD PORTFOLIO CLASS A	NEW YORK, NY	7/15/2014	00707471-03	Registered
ALLIANCEBERNSTEIN BOND FUND INC ALLIANCEBERNSTEIN HIGH YIELD PORTFOLIO CLASS R	NEW YORK, NY	7/15/2014	00707475-03	Registered
INVESTMENT MANAGERS SERIES TR ADVISORY RESEARCH MLP & ENERGY INFRASTRUCTURE FUND CLASS I	MILWAUKEE, WI	7/14/2014	00707578-03	Registered
MASSMUTUAL PREMIER FUNDS MASSMUTUAL PREMIER FOCUSED INTERNATIONAL FUND CLASS I	ENFIELD, CT	7/14/2014	00707585-03	Registered
MASSMUTUAL PREMIER FUNDS MASSMUTUAL PREMIER STRATEGIC EMERGING MARKETS FUND CLASS I	ENFIELD, CT	7/14/2014	00707586-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT MID CAP VALUE FUND CLASS I	ENFIELD, CT	7/14/2014	00707593-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT PIMCO TOTAL RETURN FUND CLASS I	ENFIELD, CT	7/14/2014	00707581-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT SMALL CAP GROWTH EQUITY FUND CLASS I	ENFIELD, CT	7/14/2014	00707579-03	Registered
MASSMUTUAL SELECT FUNDS MASSMUTUAL SELECT SMALL CO VALUE FUND CLASS I	ENFIELD, CT	7/14/2014	00707592-03	Registered
MASSMUTUAL SELECT FUNDS MM MSCI EAFE INTERNATIONAL INDEX FUND CLASS I	ENFIELD, CT	7/14/2014	00707584-03	Registered

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Firm Name	Location	Date Received	File Number	Status
MASSMUTUAL SELECT FUNDS MM RUSSELL 2000 SMALL CAP INDEX FUND CLASS I	ENFIELD, CT	7/14/2014	00707583-03	Registered
MASSMUTUAL SELECT FUNDS MM S&P 500 INDEX FUND CLASS I	ENFIELD, CT	7/14/2014	00707594-03	Registered
MASSMUTUAL SELECT FUNDS MM S&P MID CAP INDEX FUND CLASS I	ENFIELD, CT	7/14/2014	00707582-03	Registered
Number of Investment Company Filings: 71				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PHAROS INNOVATIONS LLC	NORTHFIELD, IL	7/22/2014	00707741-07	Filed
CMS IRONWOOD MULTI STRATEGY FUND LLC	ROCKVILLE, MD	7/21/2014	00707720-07	Filed
SOUTH BEND RETIREMENT LLC	ABERDEEN, SD	7/21/2014	00707740-07	Filed
LINK CAPITAL ABSOLUTE RETURN FUND LP	CHICAGO, IL	7/18/2014	00707710-07	Filed
LINK CAPITAL STRATEGIC OPPORTUNITIES FUND LP	CHICAGO, IL	7/18/2014	00707708-07	Filed
LINTON HOTEL GROUP LLC	LINTON, ND	7/18/2014	00707711-07	Filed
3G CAPITAL PARTNERS LP	ST. LOUIS, MO	7/17/2014	00707705-07	Filed
NANOX IMAGING PLC	GIBRALTAR, AA	7/17/2014	00707702-07	Filed
SA IGNITE INC	CHICAGO, IL	7/17/2014	00707701-07	Filed
UPSIDE PARTNERSHIP 1 LP	MILL VALLEY, CA	7/17/2014	00707703-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VCHARGE INC	PROVIDENCE, RI	7/17/2014	00707704-07	Filed
ABS OPPORTUNITIES LTD	GRAND CAYMAN, AA	7/16/2014	00707693-07	Filed
BRAEGER AUTO FINANCE GROUP LLC	MILWAUKEE, WI	7/16/2014	00707692-07	Filed
INSTEVENT INC	NEW YORK, NY	7/16/2014	00707695-07	Filed
KMF INVESTMENTS PARTNERS LP	DENTON, TX	7/16/2014	00707685-07	Filed
SHANNON RIVER PARTNERS LTD	CAMANA BAY, AA	7/16/2014	00707694-07	Filed
VENARI RESOURCES LLC	ADDISON, TX	7/16/2014	00707691-07	Filed
BLUEARC MULTI STRATEGY FUND LLC	ATLANTA, GA	7/15/2014	00707639-07	Filed
NGP NATURAL RESOURCES 11 LP	IRVING, TX	7/15/2014	00707638-07	Filed
QUENTEC PARTNERS LP	NEW YORK, NY	7/15/2014	00707641-07	Filed
ROOT CAPITAL INC	CAMBRIDGE, MA	7/15/2014	00707644-07	Filed
TRUMBULL US CORE MULTI FUND LP	HARTFORD, CT	7/15/2014	00707637-07	Filed
ZERO CUPON PHARMACY 4 DST	OAK BROOK, IL	7/15/2014	00707642-07	Filed
ANPI HOLDING INC	SPRINGFIELD, IL	7/14/2014	00707558-07	Filed
BREP ASIA PRIVATE INVESTORS LLC	NEW YORK, NY	7/14/2014	00707575-07	Filed
GULF SOUTH HOLDING INC	NEW ORLEANS, LA	7/14/2014	00707564-07	Filed
HEDGEPREMIER DISCOVERY GLOBAL MACRO PARTNERSHIP FUND LP	NEW YORK, NY	7/14/2014	00707561-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MORGAN STANLEY HEDGEPREMIER OZ DP 2 FUND 2 LP	NEW YORK, NY	7/14/2014	00707562-07	Filed
MORGAN STANLEY HEDGEPREMIER YORK CREDIT OPPORTUNITIES FUND LP	NEW YORK, NY	7/14/2014	00707560-07	Filed
MV LIQUIDITY FUND 4 SERIES 1	AUSTIN, TX	7/14/2014	00707574-07	Filed
PENUMBR4 LLC	SOUTHLAKE, TX	7/14/2014	00707567-07	Filed
PINEY POINT ONSHORE FUND LP	MILWAUKEE, WI	7/14/2014	00707563-07	Filed
SAPERE CREDIT OPPORTUNITIES OFFSHORE QUALIFIED FUND LTD	MATTHEWS, NC	7/14/2014	00707576-07	Filed
SAPERE CTA FUND LP	MATTHEWS, NC	7/14/2014	00707577-07	Filed
SE CARBONDALE INVESTORS LLC	CHICAGO, IL	7/14/2014	00707557-07	Filed
SEQUOIA ENERGY PROJECT LLLP	HENDERSON, NV	7/14/2014	00707566-07	Filed
SKYLINE INNOVATIONS INC	WASHINGTON, DC	7/14/2014	00707559-07	Filed
Number of Regulation D Notice Filings: 37				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Conspire! Franchising, LLC / Conspire!	Colorado Springs, CO	7/24/2014	608146	Registered
International Neck and Back Institute LLC / E3 Elite Rehab Systems	Wheaton, IL	7/23/2014	608138	Registered
GYROVILLE Franchising Company, LLC	Boca Raton, FL	7/22/2014	608129	Registered
Mobile Repair Guys New L.L.C.	Rubicon, WI	7/21/2014	608126	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
ANY TEST FRANCHISING INC / ANY LAB TEST NOW	ALPHARETTA, GA	7/21/2014	608125	Registered
NATHANS FAMOUS SYSTEMS INC	Jericho, NY	7/21/2014	608136	Registered
SiempreTax, LLC / SiempreTax LLC	Virginia Beach, VA	7/21/2014	608135	Registered
SPARKLE INTERNATIONAL INC	Oakwood, OH	7/21/2014	608134	Registered
WaterWalk Apartments Franchise Services, LLC / WaterWalk Apartments	Wichita, KS	7/21/2014	608133	Registered
Creative World Schools Franchising Company, Inc. / Creative World School	Bonita Springs, FL	7/18/2014	608120	Registered
HRG Affiliates LLC / HRG Affiliates	New York, NY	7/18/2014	608117	Registered
Drive N Style, LLC / Drive N Style	Charlotte, NC	7/18/2014	608131	Registered
JTH Tax, Inc. / Liberty Tax Service-Area Developer	Virginia Beach, VA	7/18/2014	608132	Registered
ATC HEALTHCARE SERVICES INC	LAKE SUCCESS, NY	7/17/2014	608106	Registered
JTH Tax, Inc. / Liberty Tax Service-Unit	Virginia Beach, VA	7/17/2014	608121	Registered
REALTY EXECUTIVES INTERNATIONAL INC / Realty Executives	Scottsdale, AZ	7/16/2014	608105	Registered
BUDGET RENT A CAR SYSTEM INC / Budget Rent A Car System Inc.	PARSIPPANY, NJ	7/16/2014	608095	Registered
AVIS RENT A CAR SYSTEM, LLC	PARSIPPANY, NJ	7/16/2014	608094	Registered
BRS Franchise Systems, LLC / Basement Repair Specialists	Appleton, WI	7/15/2014	608093	Registered
Club Pilates Global Inc / Club Pilates Studio	Del Mar, CA	7/14/2014	608091	Registered
Bull Chicks Franchise, LLC / Bull Chicks	Corpus Christi, TX	7/14/2014	608089	Registered
Number of Franchise Filings: 21				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	