

**WI Securities Law and Franchise Law Filings
for 7/7/2014 through 7/18/2014**

| Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Registration Filings: 0 | | | | |

| Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Exemption Filings: 0 | | | | |

| Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| INVESTMENT MANAGERS SERIES TR CHARTWELL SHORT DURATION HIGH YIELD FUND CLASS A SHARES | MILWAUKEE, WI | 7/7/2014 | 00707090-03 | Pending-Cleared |
| INVESTMENT MANAGERS SERIES TR CHARTWELL SHORT DURATION HIGH YIELD FUND CLASS I SHARES | MILWAUKEE, WI | 7/7/2014 | 00707091-03 | Pending-Cleared |
| Number of Investment Company Filings: 2 | | | | |

| Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| ALEXANDER CO | MADISON, WI | 7/10/2014 | 00707437-07 | Filed |
| ZUREX PHARMA INC | MIDDLETON, WI | 7/7/2014 | 00707222-07 | Filed |
| Number of Regulation D Notice Filings: 2 | | | | |

| Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| BRS Franchise Systems, LLC / Basement Repair Specialists | Appleton, WI | 7/15/2014 | 608093 | Registered |

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| Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| TailWaggers Franchise Trio / TailWaggers Doggy Daycare | Greenville, WI | 7/11/2014 | 608080 | Registered |
| Number of Franchise Filings: 2 | | | | |