

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 6/30/2014 through 7/11/2014**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
IMPACTASSETS INC	BETHESDA, MD	7/2/2014	00706977-02	Pending
IMPACTASSETS INC	BETHESDA, MD	7/2/2014	00706978-02	Pending
<b>Number of Registration Filings: 2</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS DISCIPLINED TR 1246 NASDAQ Q 50 INDEXSM PORTFOLIO SERIES 2014 3Q	MONUMENT, CO	7/7/2014	00707098-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1247 GLOBAL DIVIDEND STRATEGY PORTFOLIO SERIES 2014 3Q HIMCO PORTFOLIO	MONUMENT, CO	7/7/2014	00707099-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1248 COMPELLING VALUES PORTFOLIO SERIES 2014 3Q	MONUMENT, CO	7/7/2014	00707100-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1249 GLOBAL COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO 15 MONTH SERIES 2014 3Q	MONUMENT, CO	7/7/2014	00707101-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1250 SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO 15 MONTH SERIES 2014 3Q	MONUMENT, CO	7/7/2014	00707102-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1251 TACTICAL INCOME CLOSED END PORTFOLIO 15 MONTH SERIES 2014 3Q	MONUMENT, CO	7/7/2014	00707103-05	Pending-Cleared

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS DISCIPLINED TR 1252 BALANCED PORTFOLIO 15 MONTH SERIES 2014 3Q	MONUMENT, CO	7/7/2014	00707104-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1253 ANGELS PORTFOLIO SERIES 2014 3Q	MONUMENT, CO	7/7/2014	00707105-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1254 DIVIDEND RULER PORTFOLIO SERIES 2014C	MONUMENT, CO	7/7/2014	00707106-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1255 STRATEGIC HIGH 50/Q 50 DIVIDEND & GROWTH PORTFOLIO SERIES 2014 3Q	MONUMENT, CO	7/7/2014	00707097-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1256 BRAND FAVORITES FOCUS PORTFOLIO SERIES 2014 3Q	MONUMENT, CO	7/7/2014	00707096-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1257 GLOBAL CLOSED END PORTFOLIO SERIES 2014 2	MONUMENT, CO	7/7/2014	00707095-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1194 MULTIPLE ASSET PORTFOLIO PLUS SERIES 50	LISLE, IL	7/7/2014	00707094-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1194 STRATEGIC INCOME PORTFOLIO SERIES 87	LISLE, IL	7/7/2014	00707093-05	Pending-Cleared
INCAPITAL UNIT TR SERIES 51 INCAPITAL MORNINGSTAR WIDE MOAT PORTFOLIO 3Q 2014	CHICAGO, IL	7/7/2014	00707092-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CHARTWELL SHORT DURATION HIGH YIELD FUND CLASS A SHARES	MILWAUKEE, WI	7/7/2014	00707090-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CHARTWELL SHORT DURATION HIGH YIELD FUND CLASS I SHARES	MILWAUKEE, WI	7/7/2014	00707091-03	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 4896 EUROPEAN TARGET HIGH QUALITY DIVIDEND PORTFOLIO SERIES 2	WHEATON, IL	7/3/2014	00707138-05	Registered
FT 4897 DIVERSIFIED EQUITY STRATEGIC ALLOCATION PORT 3Q 14 TERM 10/15/15	WHEATON, IL	7/3/2014	00707137-05	Pending-Cleared
FT 4898 INCOME ALLOCATION CLOSED END JULY 14	WHEATON, IL	7/3/2014	00707141-05	Registered
FT 4899 DOW TARGET 10 JULY 14 TERM 8/7/15	WHEATON, IL	7/3/2014	00707136-05	Registered
FT 4900 DOW TARGET 5 3Q 14 TERM 10/9/15	WHEATON, IL	7/3/2014	00707135-05	Registered
FT 4900 DOW TARGET DVD 3Q 14 TERM 10/9/15	WHEATON, IL	7/3/2014	00707126-05	Registered
FT 4900 GLOBAL TARGET 15 3Q 14 TERM 10/9/15	WHEATON, IL	7/3/2014	00707127-05	Registered
FT 4900 S&P TARGET 24 3Q 14 TERM 10/9/15	WHEATON, IL	7/3/2014	00707124-05	Registered
FT 4900 S&P TARGET SMID 60 3Q 14 TERM 10/9/15	WHEATON, IL	7/3/2014	00707125-05	Registered
FT 4900 TARGET DBL PLAY 3Q 14 TERM 10/9/15	WHEATON, IL	7/3/2014	00707120-05	Registered
FT 4900 TARGET DIVSD DVD 3Q 14 TERM 10/9/15	WHEATON, IL	7/3/2014	00707122-05	Registered
FT 4900 TARGET DVD MULTI STRAT 3Q 14 TERM 10/9/15	WHEATON, IL	7/3/2014	00707123-05	Registered
FT 4900 TARGET FOCUS 4 3Q 14 TERM 10/9/15	WHEATON, IL	7/3/2014	00707119-05	Registered
FT 4900 TARGET FOCUS 5 3Q 14 TERM 10/9/15	WHEATON, IL	7/3/2014	00707121-05	Registered
FT 4900 TARGET GLOBAL DVD LEADERS 3Q 14 TERM 10/9/15	WHEATON, IL	7/3/2014	00707132-05	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 4900 TARGET GROWTH 3Q 14 TERM 10/9/15	WHEATON, IL	7/3/2014	00707128-05	Registered
FT 4900 TARGET TRIAD 3Q 14 TERM 10/9/15	WHEATON, IL	7/3/2014	00707129-05	Registered
FT 4900 TARGET VIP 3Q 14 TERM 10/9/15	WHEATON, IL	7/3/2014	00707131-05	Registered
FT 4900 VALUE LINE TARGET 25 3Q 14 TERM 10/9/15	WHEATON, IL	7/3/2014	00707130-05	Registered
FT 4901 UNCOMMON VALUES GROWTH & INCOME SERIES 2014	WHEATON, IL	7/3/2014	00707133-05	Pending-Cleared
FT 4901 UNCOMMON VALUES TR 2014 SERIES	WHEATON, IL	7/3/2014	00707134-05	Pending-Cleared
FT 4921 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 64	WHEATON, IL	7/3/2014	00707139-05	Registered
FT 4942 DIVERSIFIED HIGH INCOME CLOSED END PORTFOLIO SERIES 16	WHEATON, IL	7/3/2014	00707140-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1245 BLUE CHIP COVERED CALL PORTFOLIO SERIES 2014 3Q	MONUMENT, CO	7/2/2014	00706973-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1193 STRATEGIC INCOME PORTFOLIO 15 MONTH SERIES 78	LISLE, IL	7/2/2014	00706974-05	Registered
STATE STREET INSTITUTIONAL INVESTMENT TR STATE STREET EQUITY 500 INDEX FUND CLASS R SHARES	BOSTON, MA	7/2/2014	00707081-03	Registered
STATE STREET INSTITUTIONAL INVESTMENT TR STATE STREET EQUITY 500 INDEX FUND ADMINISTRATIVE SHARES	BOSTON, MA	7/2/2014	00707082-03	Registered

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STATE STREET INSTITUTIONAL INVESTMENT TR STATE STREET EQUITY 500 INDEX FUND SERVICE SHARES	BOSTON, MA	7/2/2014	00707080-03	Registered
AMERICAN BEACON FUNDS AMERICAN BEACON AHL MANAGED FUTURES STRATEGY FUND A CLASS	FT WORTH, TX	7/1/2014	00707048-03	Pending-Cleared
AMERICAN BEACON FUNDS AMERICAN BEACON AHL MANAGED FUTURES STRATEGY FUND INVESTOR CLASS	FT WORTH, TX	7/1/2014	00707046-03	Pending-Cleared
AMERICAN BEACON FUNDS AMERICAN BEACON AHL MANAGED FUTURES STRATEGY FUND C CLASS	FT WORTH, TX	7/1/2014	00707049-03	Pending-Cleared
AMERICAN BEACON FUNDS AMERICAN BEACON AHL MANAGED FUTURES STRATEGY FUND INSTITUTIONAL CLASS	FT WORTH, TX	7/1/2014	00707047-03	Pending-Cleared
AMERICAN BEACON FUNDS AMERICAN BEACON AHL MANAGED FUTURES STRATEGY FUND Y CLASS	FT WORTH, TX	7/1/2014	00707040-03	Pending-Cleared
AMERICAN BEACON FUNDS AMERICAN BEACON BAHL & GAYNOR SMALL CAP GROWTH FUND A CLASS	FT WORTH, TX	7/1/2014	00707044-03	Pending-Cleared
AMERICAN BEACON FUNDS AMERICAN BEACON BAHL & GAYNOR SMALL CAP GROWTH FUND INSTITUTIONAL CLASS	FT WORTH, TX	7/1/2014	00707043-03	Pending-Cleared
AMERICAN BEACON FUNDS AMERICAN BEACON BAHL & GAYNOR SMALL CAP GROWTH FUND Y CLASS	FT WORTH, TX	7/1/2014	00707041-03	Pending-Cleared

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AMERICAN BEACON FUNDS AMERICAN BEACON BAHL & GAYNOR SMALL CAP GROWTH FUND C CLASS	FT WORTH, TX	7/1/2014	00707045-03	Pending-Cleared
AMERICAN BEACON FUNDS AMERICAN BEACON BAHL & GAYNOR SMALL CAP GROWTH FUND INVESTOR CLASS	FT WORTH, TX	7/1/2014	00707042-03	Pending-Cleared
AMG FUNDS AMG YACKTMAN SPECIAL OPPORTUNITIES FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	7/1/2014	00707036-03	Pending-Cleared
FORUM FUNDS 2 ACUITAS INTERNATIONAL SMALL CAP FUND INSTITUTIONAL SHARES	PORTLAND, ME	7/1/2014	00706895-03	Registered
FORUM FUNDS 2 ACUITAS US MICROCAP FUND INSTITUTIONAL SHARES	PORTLAND, ME	7/1/2014	00706896-03	Registered
FT 4920 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 24	WHEATON, IL	7/1/2014	00707055-05	Registered
FT 4932 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 49	WHEATON, IL	7/1/2014	00707054-05	Registered
FT 4941 TACTICAL ALPHA PORTFOLIO SERIES	WHEATON, IL	7/1/2014	00707053-05	Registered
INVESTMENT MANAGERS SERIES TR AAM HIMCO SHORT DURATION FUND CLASS A	MILWAUKEE, WI	7/1/2014	00707037-03	Registered
INVESTMENT MANAGERS SERIES TR AAM HIMCO SHORT DURATION FUND CLASS C	MILWAUKEE, WI	7/1/2014	00707038-03	Registered
INVESTMENT MANAGERS SERIES TR AAM HIMCO SHORT DURATION FUND CLASS I	MILWAUKEE, WI	7/1/2014	00707039-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
LOCORR INVESTMENT TR LOCORR MARKET TREND FUND CLASS A	MILWAUKEE, WI	7/1/2014	00707033-03	Registered
LOCORR INVESTMENT TR LOCORR MARKET TREND FUND CLASS C	MILWAUKEE, WI	7/1/2014	00707034-03	Registered
LOCORR INVESTMENT TR LOCORR MARKET TREND FUND CLASS I	MILWAUKEE, WI	7/1/2014	00707035-03	Registered
MASSMUTUAL PREMIER FUNDS MASSMUTUAL PREMIER DISCIPLINED GROWTH FUND ADMINISTRATIVE CLASS	ENFIELD, CT	7/1/2014	00707050-03	Registered
SMART TR ADELANTE REIT GROWTH & INCOME TR SERIES 4	PARSIPPANY, NJ	7/1/2014	00707052-05	Registered
SMART TR MORNINGSTAR DIVIDEND YIELD FOCUS TR SERIES 6	PARSIPPANY, NJ	7/1/2014	00707051-05	Registered
SSGA FUNDS SSGA CLARION REAL ESTATE FUND CLASS A	SEATTLE, WA	7/1/2014	00707067-03	Registered
SSGA FUNDS SSGA CLARION REAL ESTATE FUND CLASS C	SEATTLE, WA	7/1/2014	00707066-03	Registered
SSGA FUNDS SSGA CLARION REAL ESTATE FUND CLASS I	SEATTLE, WA	7/1/2014	00707065-03	Registered
SSGA FUNDS SSGA CLARION REAL ESTATE FUND CLASS K	SEATTLE, WA	7/1/2014	00707064-03	Registered
SSGA FUNDS SSGA DYNAMIC SMALL CAP FUND CLASS A	SEATTLE, WA	7/1/2014	00707063-03	Registered
SSGA FUNDS SSGA DYNAMIC SMALL CAP FUND CLASS C	SEATTLE, WA	7/1/2014	00707062-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SSGA FUNDS SSGA DYNAMIC SMALL CAP FUND CLASS I	SEATTLE, WA	7/1/2014	00707061-03	Registered
SSGA FUNDS SSGA DYNAMIC SMALL CAP FUND CLASS K	SEATTLE, WA	7/1/2014	00707060-03	Registered
SSGA FUNDS SSGA EMERGING MARKETS FUND CLASS A	SEATTLE, WA	7/1/2014	00707079-03	Registered
SSGA FUNDS SSGA EMERGING MARKETS FUND CLASS C	SEATTLE, WA	7/1/2014	00707078-03	Registered
SSGA FUNDS SSGA EMERGING MARKETS FUND CLASS I	SEATTLE, WA	7/1/2014	00707077-03	Registered
SSGA FUNDS SSGA EMERGING MARKETS FUND CLASS K	SEATTLE, WA	7/1/2014	00707076-03	Registered
SSGA FUNDS SSGA ENHANCED SMALL CAP FUND CLASS A	SEATTLE, WA	7/1/2014	00707059-03	Registered
SSGA FUNDS SSGA ENHANCED SMALL CAP FUND CLASS C	SEATTLE, WA	7/1/2014	00707058-03	Registered
SSGA FUNDS SSGA ENHANCED SMALL CAP FUND CLASS I	SEATTLE, WA	7/1/2014	00707057-03	Registered
SSGA FUNDS SSGA ENHANCED SMALL CAP FUND CLASS K	SEATTLE, WA	7/1/2014	00707056-03	Registered
SSGA FUNDS SSGA HIGH YIELD BOND FUND CLASS A	SEATTLE, WA	7/1/2014	00707075-03	Registered
SSGA FUNDS SSGA HIGH YIELD BOND FUND CLASS C	SEATTLE, WA	7/1/2014	00707074-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SSGA FUNDS SSGA HIGH YIELD BOND FUND CLASS I	SEATTLE, WA	7/1/2014	00707073-03	Registered
SSGA FUNDS SSGA HIGH YIELD BOND FUND CLASS K	SEATTLE, WA	7/1/2014	00707072-03	Registered
SSGA FUNDS SSGA INTERNATIONAL STOCK SELECTION FUND CLASS A	SEATTLE, WA	7/1/2014	00707071-03	Registered
SSGA FUNDS SSGA INTERNATIONAL STOCK SELECTION FUND CLASS C	SEATTLE, WA	7/1/2014	00707070-03	Registered
SSGA FUNDS SSGA INTERNATIONAL STOCK SELECTION FUND CLASS I	SEATTLE, WA	7/1/2014	00707068-03	Registered
SSGA FUNDS SSGA INTERNATIONAL STOCK SELECTION FUND CLASS K	SEATTLE, WA	7/1/2014	00707069-03	Registered
ALPS SERIES TR CUPPS ALL CAP GROWTH FUND INSTITUTIONAL CLASS	DENVER, CO	6/30/2014	00706888-03	Registered
FORWARD FUNDS FORWARD SELECT OPPORTUNITY FUND CLASS C	SAN FRANCISCO, CA	6/30/2014	00706885-03	Registered
FORWARD FUNDS FORWARD SELECT OPPORTUNITY FUND INVESTOR CLASS	SAN FRANCISCO, CA	6/30/2014	00706886-03	Registered
RBB FUND INC ABBEY CAPITAL FUTURES STRATEGY FUND CLASS I SHARES	WILMINGTON, DE	6/30/2014	00706887-03	Registered
TOUCHSTONE FUNDS GROUP TR TOUCHSTONE ARBITRAGE FUND CLASS Y	CINCINNATI, OH	6/30/2014	00706889-03	Registered
<b>Number of Investment Company Filings: 99</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CAMBRIDGE HOTEL GROUP LLC	CAMBRIDGE, NE	7/3/2014	00707142-07	Filed
SONOMA BEVERAGE WORKS INC	HEALDSBURG, CA	7/3/2014	00707143-07	Filed
TCT RISK SOLUTIONS LLC	EAGLE, ID	7/3/2014	00707144-07	Filed
CALLIANCE REALTY FUND LLC	SAN RAFAEL, CA	7/2/2014	00706976-07	Filed
MDS 2014 MARCELLUS SHALE DEVELOPMENT LP	KITTANNING, PA	7/2/2014	00707083-07	Filed
2014 BAYOU CITY BRIER CREEK DRILLING PROGRAM LP	BOWLING GREEN, KY	7/1/2014	00707031-07	Filed
EUROPEAN PRIMARY LENDING OPPORTUNITIES ONSHORE FEEDER FUND LP	NEW YORK, NY	7/1/2014	00707030-07	Filed
HORIZON TOWER LTD PARTNERSHIP 2	OVERLAND PARK, KS	7/1/2014	00707032-07	Filed
KELLER TX RETAIL DST	OAK BROOK, IL	7/1/2014	00707029-07	Filed
<b>Number of Regulation D Notice Filings: 9</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PAYLESS CAR RENTAL SYSTEMS INC / Payless Car Rental Systems Inc	ST PETERSBURG, FL	7/11/2014	608088	Registered
PAYLESS CAR SALES INC	Parsippany, NJ	7/11/2014	608087	Registered
FIREHOUSE OF AMERICA LLC / Area Representative Franchise	JACKSONVILLE, FL	7/11/2014	608086	Registered
ExecuStay Franchising, LLC / ExecuStay	Los Angeles, CA	7/11/2014	608081	Registered
TailWaggers Franchise Trio / TailWaggers Doggy Daycare	Greenville, WI	7/11/2014	608080	Registered
Sharkey's Cuts for Kids International Co., LLC / Sharkey's Cuts For Kids	Westport, CT	7/10/2014	608063	Registered

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NutriMost Doctors, LLC / NutriMost Weight Loss System, LLC	Pittsburgh, PA	7/10/2014	608062	Registered
TMC FRANCHISE CORP / Circle K	TEMPE, AZ	7/9/2014	608065	Registered
INTEGRA REALTY RESOURCES INC	NEW YORK, NY	7/8/2014	608059	Registered
f2o Franchising Company LLC / Fresh To Order	Alpharetta, GA	7/7/2014	608050	Registered
EnVie Fitness, Inc. / EnVie Fitness Studios	Waco, TX	7/7/2014	608058	Registered
Retain, LLC / Retain	Massilon, OH	7/7/2014	608057	Registered
CASH PLUS INC / Cash Plus	TUSTIN, CA	7/3/2014	608049	Registered
Salon Professional Education Company, LLC d/b/a S.P.E.C. LLC / The Salon Professional Academy	Fargo, ND	7/3/2014	608048	Registered
1ST WATCH FRANCHISE DEVELOPMENT CO / First Watch Restaurants	UNIVERSITY PARK, FL	7/3/2014	608047	Registered
Interstate Battery Franchising & Development, Inc. / Interstate All Battery Center	Urbandale, IA	7/3/2014	608044	Registered
FocalPoint Coaching, Inc. / FocalPoint Area Representative Franchise Business	Henderson, NV	7/3/2014	608056	Registered
Sears Home & Business Franchises, Inc. / Sears Handyman Solutions	Lewis Center, OH	7/2/2014	608043	Registered
<b>Number of Franchise Filings: 18</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	