

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/12/2014 through 5/23/2014**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
UNITED METHODIST DEVELOPMENT FUND	NEW YORK, NY	5/12/2014	00703488-02	Registered
Number of Registration Filings: 1				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
GRIFFIN CAPITAL ESSENTIAL ASSET REIT INC	EL SEGUNDO, CA	5/13/2014	00703570-08	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 4813 HIGH DIVIDEND EMERGING MARKETS EQUITY PORTFOLIO SERIES 7	WHEATON, IL	5/20/2014	00704516-05	Pending-Cleared
FT 4814 TOP PICKS MAY 2014 PORTFOLIO	WHEATON, IL	5/20/2014	00704514-05	Pending-Cleared
FT 4827 ALTERNATIVE INCOME PORTFOLIO SERIES 6	WHEATON, IL	5/20/2014	00704515-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1210 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 84	MONUMENT, CO	5/16/2014	00703596-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1265 VALUE ARCHITECTS RECURRING REVENUE SCORE PORTFOLIO SERIES 2014 1	MONUMENT, CO	5/16/2014	00703591-05	Pending-Cleared
ASTON FUNDS ASTON MONTAG & CALDWELL MID CAP GROWTH FUND CLASS I SHARES	CHICAGO, IL	5/16/2014	00703608-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/12/2014 through 5/23/2014**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DIAMOND HILL FUNDS DIAMOND HILL LARGE CAP FUND CLASS Y	COLUMBUS, OH	5/16/2014	00703610-03	Registered
DIAMOND HILL FUNDS DIAMOND HILL SMALL MID CAP FUND CLASS Y	COLUMBUS, OH	5/16/2014	00703609-03	Registered
FT 4810 DIVIDEND INCOME TR SERIES 12	WHEATON, IL	5/16/2014	00703593-05	Pending-Cleared
FT 4812 INTERNATIONAL HIGH DIVIDEND EQUITY PORTFOLIO SERIES 16	WHEATON, IL	5/16/2014	00703592-05	Pending-Cleared
FT 4816 ETF GROWTH & INCOME MAY 14	WHEATON, IL	5/16/2014	00703598-05	Pending-Cleared
FT 4860 MUNICIPAL INCOME PLUS CLOSED END PORTFOLIO SERIES 43	WHEATON, IL	5/16/2014	00703597-05	Pending-Cleared
FT 4862 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 42	WHEATON, IL	5/16/2014	00703594-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1178 GLOBAL WATER EQUITIES PORTFOLIO SERIES 26	LISLE, IL	5/16/2014	00703600-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1178 GUGGENHEIM US CAPITAL STRENGTH PORTFOLIO SERIES 5	LISLE, IL	5/16/2014	00703601-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1178 GUGGENHEIM EUROPEAN CAPITAL STRENGTH PORTFOLIO SERIES 5	LISLE, IL	5/16/2014	00703602-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1179 GUGGENHEIM EMERGING MARKETS DIVIDEND STRATEGY PORTFOLIO SERIES 10	LISLE, IL	5/16/2014	00703599-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/12/2014 through 5/23/2014**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MONEY MARKET OBLIGATIONS TR FEDERATED PRIME OBLIGATIONS FUND AUTOMATED SHARES	WARRENDALE, PA	5/16/2014	00703628-03	Pending-Cleared
MONEY MARKET OBLIGATIONS TR FEDERATED TREASURY OBLIGATIONS FUND AUTOMATED SHARES	WARRENDALE, PA	5/16/2014	00703627-03	Pending-Cleared
SMART TR STRATEGIC GROWTH & INCOME TR SERIES 5	PARSIPPANY, NJ	5/16/2014	00703595-05	Pending-Cleared
ADVISORS INNER CIRCLE FUND 2 FROST AGGRESSIVE ALLOCATION FUND CLASS A SHARES	BOSTON, MA	5/15/2014	00703607-03	Registered
HANCOCK JOHN INVESTMENT TR HANCOCK JOHN SEAPORT FUND CLASS C	BOSTON, MA	5/15/2014	00703606-03	Registered
MANAGED PORTFOLIO SERIES COHO RELATIVE VALUE EQUITY FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	5/15/2014	00703583-03	Registered
HANCOCK JOHN INVESTMENT TR HANCOCK JOHN ENDURING EQUITY FUND CLASS C	BOSTON, MA	5/14/2014	00703443-03	Registered
1ST INVESTORS INCOME FUNDS 1ST INVESTORS LTD DURATION HIGH QUALITY BOND FUND INSTITUTIONAL CLASS	NEW YORK, NY	5/13/2014	00703551-03	Pending-Cleared
1ST INVESTORS INCOME FUNDS 1ST INVESTORS LTD DURATION HIGH QUALITY BOND FUND CLASS A	NEW YORK, NY	5/13/2014	00703549-03	Pending-Cleared
1ST INVESTORS INCOME FUNDS 1ST INVESTORS LTD DURATION HIGH QUALITY BOND FUND ADVISOR CLASS	NEW YORK, NY	5/13/2014	00703550-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/12/2014 through 5/23/2014**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BROWN ADVISORY FUNDS BROWN ADVISORY MORTGAGE SECURITIES FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	5/13/2014	00703552-03	Registered
FT 4797 CORE 3 QUALIFIED CLOSED END ALLOCATION PORTFOLIO SERIES 6	WHEATON, IL	5/13/2014	00703546-05	Registered
FT 4802 GLOBAL HARD ASSETS PORTFOLIO SERIES 17	WHEATON, IL	5/13/2014	00703580-05	Registered
FT 4805 EMERGING MARKETS STRENGTH PORTFOLIO SERIES 22	WHEATON, IL	5/13/2014	00703542-05	Registered
FT 4806 REIT GROWTH & INCOME SELECT PORTFOLIO SERIES 28	WHEATON, IL	5/13/2014	00703538-05	Registered
FT 4807 TECHNOLOGY DIVIDEND PORTFOLIO SERIES 8	WHEATON, IL	5/13/2014	00703545-05	Registered
FT 4808 EQUITY CLOSED END PORTFOLIO SERIES 31	WHEATON, IL	5/13/2014	00703543-05	Registered
FT 4809 US ENERGY INDEPENDENCE PORTFOLIO SERIES 4	WHEATON, IL	5/13/2014	00703547-05	Registered
FT 4811 REIT GROWTH & INCOME PLUS PORTFOLIO SERIES 18	WHEATON, IL	5/13/2014	00703539-05	Registered
FT 4815 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 21	WHEATON, IL	5/13/2014	00703548-05	Pending-Cleared
FT 4820 CONVERTIBLE & INCOME SELECT CLOSED END & ETF PORTFOLIO SERIES 2	WHEATON, IL	5/13/2014	00703577-05	Pending-Cleared
FT 4823 DISCOUNT OPPORTUNITY CLOSED END PORTFOLIO SERIES 6	WHEATON, IL	5/13/2014	00703579-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/12/2014 through 5/23/2014**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 4845 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 60	WHEATON, IL	5/13/2014	00703541-05	Registered
FT 4846 INTEREST RATE HEDGE PORTFOLIO SERIES 83	WHEATON, IL	5/13/2014	00703544-05	Registered
FT 4852 CORPORTATE HIGH INCOME PORTFOLIO SERIES 10	WHEATON, IL	5/13/2014	00703578-05	Registered
FT 4859 BALANCED INCOME SELECT PORTFOLIO SERIES 45	WHEATON, IL	5/13/2014	00703540-05	Registered
TOUCHSTONE STRATEGIC TR MID CAP GROWTH FUND INSTITUTIONAL CLASS	CINCINNATI, OH	5/13/2014	00703383-03	Registered
Number of Investment Company Filings: 44				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ATLAS RESOURCES SERIES 34 2014 LP	PITTSBURGH, PA	5/21/2014	00704517-07	Filed
COPPER RIDGE DRILLING PROGRAM LLC	PALM CITY, FL	5/20/2014	00704513-07	Filed
CRYOPORT INC	LAKE FOREST, CA	5/20/2014	00704488-07	Filed
NOVA 3 PP	PARIS, AA	5/20/2014	00704490-07	Filed
NOVA 3 RA	PARIS, AA	5/20/2014	00704489-07	Filed
PHARMACY SALE LEASEBACK DST	OAK BROOK, IL	5/19/2014	00704487-07	Filed
FIBERIGHT INVESTMENT PARTNERS LLC	WESTON, MA	5/16/2014	00703604-07	Filed
THOMA BRAVO FUND 11 LP	CHICAGO, IL	5/16/2014	00703603-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/12/2014 through 5/23/2014**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WEST KIRKLAND MINING INC	VANCOUVER, BC	5/16/2014	00703605-07	Filed
CLAIRVEST EQUITY PARTNERS VA LP	TORONTO, ON	5/15/2014	00703587-07	Filed
NORTHERN STATES FINANCIAL CORP DE	WAUKEGAN, IL	5/15/2014	00703588-07	Filed
VO2 PARTNERS 3 LLC	NEW YORK, NY	5/15/2014	00703586-07	Filed
WALLPAPER INVESTMENTS LP	GRANITE BAY, CA	5/15/2014	00703585-07	Filed
ALLIANCEBERNSTEIN FINANCIAL SERVICES OPPORTUNITIES CAYMAN FUND LP	NEW YORK, NY	5/14/2014	00703573-07	Filed
ALLIANCEBERNSTEIN FINANCIAL SERVICES OPPORTUNITIES DE FUND LP	NEW YORK, NY	5/14/2014	00703576-07	Filed
GLIACURE INC	BOSTON, MA	5/14/2014	00703575-07	Filed
HEATHERWOOD ACQUISITION LLC	FAIRFIELD, CT	5/14/2014	00703574-07	Filed
MORGAN STANLEY HEDGEPREMIER METACAPITAL RISING RATES FUND LP	NEW YORK, NY	5/14/2014	00703582-07	Filed
MORGAN STANLEY HEDGEPREMIER OZ OF 2 OFFSHORE FUND LTD	GEORGE TOWN GRAND CAYMAN, AA	5/14/2014	00703581-07	Filed
ALL ABOUT BEER LLC	CARY, NC	5/13/2014	00703571-07	Filed
HGI BSW FUND 3 LLC	NORFOLK, VA	5/13/2014	00703572-07	Filed
NORTHERN CAPITAL PARTNERS 1 LP	WAYZATA, MN	5/13/2014	00703568-07	Filed
THOUGHTFUL MEDIA GROUP INC	SHERMAN OAKC, CA	5/13/2014	00703569-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/12/2014 through 5/23/2014**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BROOKWOOD MORRIS CORPORATE CENTER LLC	BEVERLY, MA	5/12/2014	00703482-07	Filed
HIGHCLERE INTERNATIONAL INVESTORS EMERGING MARKETS SMID FUND	WESTPORT, CT	5/12/2014	00703483-07	Filed
PAVILION ESTATES LLC	WHEATON, IL	5/12/2014	00703485-07	Filed
PEARL UNLTD HOLDINGS INC	ATLANTA, GA	5/12/2014	00703484-07	Filed
Number of Regulation D Notice Filings: 27				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Fresh Healthy Vending, LLC	San Diego, CA	5/23/2014	607896	Registered
Massage Green International Franchise Corp. / Massage Green Spa	Southfield, MI	5/22/2014	607894	Registered
JACK & JOES FRANCHISING INC / SQUEEGEE SQUAD	Brookln Park, MN	5/22/2014	607899	Registered
KRISPY KREME DOUGHNUT CORP / Krispy Kreme	WINSTON SALEM, NC	5/22/2014	607898	Registered
O.P.E.N. America, Inc. / OpenWorks Master Franchise Program	Phoenix, AZ	5/22/2014	607897	Registered
YOGEN FRUZ USA FRANCHISE CO INC	Markham Ontario, BC	5/21/2014	607882	Registered
TUTORING CENTER FRANCHISE CORP / The Tutoring Center	LONG BEACH, CA	5/21/2014	607881	Registered
Newk's Franchise Company LLC / Newk's Eatery	Jackson, MS	5/21/2014	607880	Registered
Murphy Business & Financial Corporation LLC / Murphy Business & Financial Corporation	Clearwater, FL	5/21/2014	607879	Registered
Donatos Pizzeria, LLC	Columbus, OH	5/21/2014	607878	Registered
PIGGLY WIGGLY MIDWEST LLC	SHEBOYGAN, WI	5/21/2014	607901	Registered
Thinique Medical Weight Loss, LLC / Thinique Medical Weight Loss	Fort Worth, TX	5/20/2014	607877	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/12/2014 through 5/23/2014**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Thinique Medical Weight Loss, LLC / Thinique Medical Weight Loss (Units)	Fort Worth, TX	5/20/2014	607876	Registered
Lexington Franchising, Inc. / Lexington Hotel or Lexington Inn	Coral Springs, FL	5/20/2014	607875	Registered
Mastercare Franchising, LLC / Mastercare	Honolulu, HI	5/20/2014	607863	Registered
Hofbrauhaus of America LLC / Brewpub Franchise Agreement	Vassar, MI	5/20/2014	607900	Registered
HOT BOX PIZZA FRANCHISING LLC	INDIANAPOLIS, IN	5/20/2014	607888	Registered
PHYSICIANS WEIGHT LOSS CENTERS OF AMERICA INC / Physicians Weight Loss Centers	AKRON, OH	5/20/2014	607891	Registered
Sears Home Appliance Showrooms, LLC / Sears Home Appliance Showroom, Sears Appliance & Hardware Store, Sears Outlet Store, Sears Hometown Store	Hoffman Estates, IL	5/19/2014	607860	Registered
Executive Home Care Franchising LLC / Executive Care Your Home Care Company	Hackensack, NJ	5/19/2014	607859	Registered
Caribou Coffee Development Company, Inc. / Caribou Coffee	Minneapolis, MN	5/19/2014	607886	Registered
JOHNNY ROCKETS LICENSING CORP / Johnny Rockets The Original Hamburger	Aliso Viejo, CA	5/19/2014	607889	Registered
WORLD GYM INTERNATIONAL INC / World Gym	LOS ANGELES, CA	5/19/2014	607890	Registered
Yogurt Mountain Franchising, LLC / Yogurt Mountain	Birmingham, AL	5/16/2014	607858	Registered
Burritobox Franchise, LLC / Burritobox	West Hollywood, CA	5/16/2014	607857	Registered
Home Instead, Inc. / Home Instead Senior Care	Omaha, NE	5/16/2014	607854	Registered
1-800 Radiator Franchise, Inc. / 1-800 Radiator	Benicia, CA	5/16/2014	607885	Registered
American Blue Ribbon Holdings, LLC / Village Inn	Nashville, TN	5/16/2014	607884	Registered
Flippin Pizza International, LLC / Flippin Pizza New York Style	San Diego, CA	5/16/2014	607883	Registered
VALPAK DIRECT MARKETING SYSTEMS INC / VALPAK	LARGO, FL	5/15/2014	607853	Registered
SP Franchising LLC / Speedpro	Scottsdale, AZ	5/15/2014	607852	Registered
Aerus Franchising, LLC / Aerus, Aerus Electrolux, Lux	Dallas, TX	5/15/2014	607851	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/12/2014 through 5/23/2014**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
GREASE MONKEY FRANCHISING LLC / Grease Monkey	GREENWOOD VILLAGE, CO	5/15/2014	607869	Registered
Buffalo Wings & Rings, LLC	Cincinnati, OH	5/14/2014	607847	Registered
AFC FRANCHISING LLC / DOCTORS EXPRESS	Hoover, AL	5/14/2014	607867	Registered
LEISURE SYSTEMS INC / Yogi Bear's Jellystone Park Camp-Resorts	MILFORD, OH	5/14/2014	607868	Registered
OfficeZilla Franchise Company, LLC / OfficeZilla	Kennesaw, GA	5/14/2014	607866	Registered
NITELITES FRANCHISE SYSTEMS INC / NITELITES	FRANKLIN, OH	5/13/2014	607844	Registered
Zoom Room, Inc. / Zoom Room	Los Angeles, CA	5/13/2014	607843	Registered
Body Fitness Boutique Franchising, LLC / Body Fitness Boutique Franchising, LLC	Appleton, WI	5/13/2014	607872	Registered
REAL LIVING REAL ESTATE, LLC / Real Living Real Estate	Irvine, CA	5/13/2014	607874	Registered
WORKOUT ANYTIME FRANCHISING SYSTEMS LLC / WORKOUT ANYTIME	Dunwoody, GA	5/13/2014	607871	Registered
Hounds Mounds, Inc. / Poop 911	Dallas, TX	5/12/2014	607828	Registered
Get In Shape Franchise, Inc. / Get In Shape For Women	Needham, MA	5/12/2014	607827	Registered
Jake's Franchising, LLC / Jake's Franchising, LLC; Jake's; Jake's Wayback Burgers; Wayback Burgers	Cheshire, CT	5/12/2014	607826	Registered
ACCELERATION PRODUCTS INC / Athletic Republic	PARK CITY, UT	5/12/2014	607840	Registered
Artisan Cabinet Franchising, Inc. / Savewood Kitchen	Plainfield, IL	5/12/2014	607834	Registered
BHH Affiliates LLC / Berkshire Hathaway HomeServices	Irvine, CA	5/12/2014	607839	Registered
BL Restaurant Franchises, LLC / Bar Louie	Addison, TX	5/12/2014	607835	Registered
BORDER MAGIC LLC / BORDER MAGIC	RANTOUL, IL	5/12/2014	607836	Registered
DaVi Nails Salon and Spa, LLC / DaVi Nails	West Valley City, UT	5/12/2014	607833	Registered
FILTA GROUP INC / Filta	ORLANDO, FL	5/12/2014	607837	Registered
WOODHOUSE SPAS CORP / Regional Developer	VICTORIA, TX	5/12/2014	607838	Registered
Number of Franchise Filings: 53				

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 5/12/2014 through 5/23/2014**

Corporate Take-Over Law Filings Made with DFI's Securities Division	
--	--

Takeover Filing Reference	Date Received
----------------------------------	----------------------

Number of Takeover Filings: 0	
--------------------------------------	--