

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/28/2014 through 5/9/2014**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
A&Q ALTERNATIVE FIXED INCOME STRATEGIES FUND LLC	STAMFORD, CT	5/5/2014	00702971-02	Registered
A&Q EVENT FUND LLC	STAMFORD, CT	5/5/2014	00702972-02	Registered
A&Q LONG SHORT STRATEGIES FUND LLC	STAMFORD, CT	5/5/2014	00702973-02	Registered
INFINITY CORE ALTERNATIVE FUND	MILWAUKEE, WI	4/29/2014	00702680-01	Registered
Number of Registration Filings: 4				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FIDELITY COLCHESTER ST TR GOVERNMENT PORTFOLIO INSTITUTIONAL CLASS	BOSTON, MA	5/8/2014	00703231-03	Pending-Cleared
FIDELITY COLCHESTER ST TR TREASURY ONLY PORTFOLIO INSTITUTIONAL CLASS	BOSTON, MA	5/8/2014	00703232-03	Pending-Cleared
FIDELITY COLCHESTER ST TR TREASURY PORTFOLIO INSTITUTIONAL CLASS	BOSTON, MA	5/8/2014	00703233-03	Pending-Cleared
FUNDVANTAGE TR BRADESCO BRAZILIAN HARD CURRENCY BOND FUND INSTITUTIONAL CLASS	WILMINGTON, DE	5/8/2014	00703230-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FUNDVANTAGE TR BRADESCO LATIN AMERICAN EQUITY FUND INSTITUTIONAL CLASS	WILMINGTON, DE	5/8/2014	00703229-03	Registered
RIVERPARK FUNDS TR RIVERPARK STRUCTURAL ALPHA FUND INSTITUTIONAL CLASS SHARES	NEW YORK, NY	5/7/2014	00703228-03	Registered
1ST TR COMBINED SERIES 444 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YEAR SERIES 11	WHEATON, IL	5/6/2014	00703027-05	Registered
2 ROADS SHARED TR ANFIELD UNIVERSAL FIXED INCOME FUND CLASS I SHARES	HAUPPAUGE, NY	5/5/2014	00702967-03	Registered
ADVISORS DISCIPLINED TR 1154 ADVISORS CORPORATE TR HIGH YIELD BOND PORTFOLIO SERIES 11 HIMCO PORTFOLIO	MONUMENT, CO	5/5/2014	00702994-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1215 INFLATION INCOME STRATEGY PORTFOLIO SERIES 2014 2	MONUMENT, CO	5/5/2014	00703001-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1216 EUROPEAN SELECT PORTFOLIO SERIES 2014 2Q ISI INC PORTFOLIO	MONUMENT, CO	5/5/2014	00702996-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1217 VALUE ARCHITECTS NEW MEDIA SCORE PORTFOLIO SERIES 2014 2Q	MONUMENT, CO	5/5/2014	00703003-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1218 ADVISORS CORE EQUITY STRATEGY PORTFOLIO SERIES 2014 2Q	MONUMENT, CO	5/5/2014	00703000-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1219 STRATEGIC HIGH 80 DIVIDEND PORTFOLIO SERIES 2014 2Q	MONUMENT, CO	5/5/2014	00702997-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
ADVISORS DISCIPLINED TR 1220 INTERNATIONAL HIGH 30 DIVIDEND PORTFOLIO SERIES 2014 2Q	MONUMENT, CO	5/5/2014	00702995-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1221 DOW VALUE 10 PORTFOLIO SERIES 2014 2Q	MONUMENT, CO	5/5/2014	00702993-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1222 DIVIDEND ADVANTAGE PORTFOLIO SERIES 2014 2Q	MONUMENT, CO	5/5/2014	00702999-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1223 MULTI SOURCE INCOME PORTFOLIO SERIES 2014 2Q	MONUMENT, CO	5/5/2014	00702998-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1224 COVERED CALL & INCOME STRATEGIES CLOSED END PORTFOLIO 2 YR SERIES 2014 1	MONUMENT, CO	5/5/2014	00703002-05	Pending-Cleared
COMPASS EMP FUNDS TR COMPASS EMP INTERNATIONAL 500 VOLATILITY WEIGHTED FUND CLASS C	HAUPPAUGE, NY	5/5/2014	00702968-03	Registered
FRONTEGRA FUNDS INC FRONTEGRA MFG CORE INFRASTRUCTURE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	5/5/2014	00702970-03	Registered
FT 4787 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 56	WHEATON, IL	5/5/2014	00702985-05	Registered
FT 4789 TARGET DIVIDEND BLEND PORTFOLIO SERIES	WHEATON, IL	5/5/2014	00702981-05	Pending-Cleared
FT 4794 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 20	WHEATON, IL	5/5/2014	00702989-05	Registered
FT 4794 SMID CAPITAL STRENGTH PORTFOLIO SERIES 20	WHEATON, IL	5/5/2014	00702990-05	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 4795 CYCLICAL STRENGTH PORTFOLIO SERIES 5	WHEATON, IL	5/5/2014	00702983-05	Registered
FT 4796 1ST TR ETF ALLOCATION PORTFOLIO SERIES 9	WHEATON, IL	5/5/2014	00702986-05	Pending-Cleared
FT 4798 INCOME ALLOCATION CLOSED END MAY 14	WHEATON, IL	5/5/2014	00702982-05	Pending-Cleared
FT 4799 DOW TARGET 10 MAY 14 TERM 6/9/15	WHEATON, IL	5/5/2014	00702988-05	Pending-Cleared
FT 4803 BIOTECHNOLOGY PORTFOLIO SERIES 24	WHEATON, IL	5/5/2014	00702984-05	Registered
FT 4817 STRATEGIC INCOME SELECT CLOSED END PORTFOLIO SERIES 18	WHEATON, IL	5/5/2014	00703028-05	Pending-Cleared
FT 4822 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 36	WHEATON, IL	5/5/2014	00702987-05	Pending-Cleared
INCAPITAL UNIT TR SERIES 48 ZACKS SELECT EQUITIES BLEND PORTFOLIO 2Q 2014	CHICAGO, IL	5/5/2014	00702992-05	Pending-Cleared
INCAPITAL UNIT TR SERIES 48 ZACKS SELECT EQUITY INCOME PORTFOLIO 2Q 2014	CHICAGO, IL	5/5/2014	00702991-05	Pending-Cleared
MUTUAL FUND SERIES TR CAMELOT EXCALIBUR SMALL CAP INCOME FUND CLASS A	HAUPPAUGE, NY	5/5/2014	00702969-03	Registered
FORWARD FUNDS FORWARD EM CORPORATE DEBT FUND ADVISOR CLASS	SAN FRANCISCO, CA	5/2/2014	00702744-03	Registered
BLACKROCK FUNDS 2 BLACKROCK CORE BOND PORTFOLIO BLACKROCK SHARES	WILMINGTON, DE	5/1/2014	00702735-03	Registered

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BLACKROCK FUNDS 2 BLACKROCK INVESTMENT GRADE BOND PORTFOLIO BLACKROCK SHARES	WILMINGTON, DE	5/1/2014	00702739-03	Registered
BLACKROCK FUNDS 2 GNMA PORTFOLIO BLACKROCK SHARES	WILMINGTON, DE	5/1/2014	00702734-03	Registered
BLACKROCK LARGE CAP SERIES FUNDS INC BLC CORE RETIREMENT PORTFOLIO K SHARES	WILMINGTON, DE	5/1/2014	00702736-03	Registered
BLACKROCK LARGE CAP SERIES FUNDS INC BLC VALUE RETIREMENT PORTFOLIO K SHARES	WILMINGTON, DE	5/1/2014	00702738-03	Registered
BLACKROCK LARGE CAP SERIES FUNDS INC BLC CORE FUND SERVICE SHARES	WILMINGTON, DE	5/1/2014	00702740-03	Registered
BLACKROCK LARGE CAP SERIES FUNDS INC BLC GROWTH RETIREMENT PORTFOLIO K SHARES	WILMINGTON, DE	5/1/2014	00702737-03	Registered
COHEN & STEERS ACTIVE COMMODITIES STRATEGY FUND INC CLASS Z SHARES	NEW YORK, NY	5/1/2014	00703252-03	Registered
COHEN & STEERS ACTIVE COMMODITIES STRATEGY FUND INC CLASS A SHARES	NEW YORK, NY	5/1/2014	00703249-03	Registered
COHEN & STEERS ACTIVE COMMODITIES STRATEGY FUND INC CLASS I SHARES	NEW YORK, NY	5/1/2014	00703251-03	Registered
COHEN & STEERS ACTIVE COMMODITIES STRATEGY FUND INC CLASS C SHARES	NEW YORK, NY	5/1/2014	00703250-03	Registered
FUNDVANTAGE TR SKYBRIDGE DIVIDEND VALUE FUND CLASS I SHARES	WILMINGTON, DE	5/1/2014	00702732-03	Registered

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Firm Name	Location	Date Received	File Number	Status
GMO SERIES TR GMO BENCHMARK FREE ALLOCATION SERIES FUND CLASS R6	BOSTON, MA	5/1/2014	00702733-03	Registered
LAZARD FUNDS INC LAZARD EMERGING MARKETS INCOME PORTFOLIO INSTITUTIONAL SHARES	NEW YORK, NY	5/1/2014	00703235-03	Registered
LAZARD FUNDS INC LAZARD EMERGING MARKETS INCOME PORTFOLIO OPEN SHARES	NEW YORK, NY	5/1/2014	00703234-03	Registered
LAZARD FUNDS INC LAZARD FUNDAMENTAL LONG SHORT PORTFOLIO INSTITUTIONAL SHARES	NEW YORK, NY	5/1/2014	00703237-03	Registered
LAZARD FUNDS INC LAZARD FUNDAMENTAL LONG SHORT PORTFOLIO OPEN SHARES	NEW YORK, NY	5/1/2014	00703236-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA MID CAP VALUE OPPORTUNITIES CLASS I	ST PETERSBURG, FL	5/1/2014	00703255-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA MID CAP VALUE OPPORTUNITIES CLASS C	ST PETERSBURG, FL	5/1/2014	00703254-03	Registered
TRANSAMERICA FUNDS TRANSAMERICA MID CAP VALUE OPPORTUNITIES CLASS A	ST PETERSBURG, FL	5/1/2014	00703253-03	Registered
ADVISERS INVESTMENT TR JOHCM ASIA EX JAPAN EQUITY FUND INSTITUTIONAL SHARES	COLUMBUS, OH	4/30/2014	00702557-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO STRATEGIC INCOME FUND CLASS R SHARES	HOUSTON, TX	4/30/2014	00702543-03	Registered

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AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO STRATEGIC INCOME FUND CLASS R5 SHARES	HOUSTON, TX	4/30/2014	00702545-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO STRATEGIC INCOME FUND CLASS C SHARES	HOUSTON, TX	4/30/2014	00702541-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO STRATEGIC INCOME FUND CLASS A SHARES	HOUSTON, TX	4/30/2014	00702548-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO STRATEGIC INCOME FUND CLASS R6 SHARES	HOUSTON, TX	4/30/2014	00702547-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO STRATEGIC INCOME FUND CLASS Y SHARES	HOUSTON, TX	4/30/2014	00702549-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO GLOBAL INFRASTRUCTURE FUND CLASS A SHARES	HOUSTON, TX	4/30/2014	00702538-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO GLOBAL INFRASTRUCTURE FUND CLASS R5 SHARES	HOUSTON, TX	4/30/2014	00702542-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO GLOBAL INFRASTRUCTURE FUND CLASS R6 SHARES	HOUSTON, TX	4/30/2014	00702546-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO GLOBAL INFRASTRUCTURE FUND CLASS R SHARES	HOUSTON, TX	4/30/2014	00702540-03	Registered

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AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO GLOBAL INFRASTRUCTURE FUND CLASS C SHARES	HOUSTON, TX	4/30/2014	00702539-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO GLOBAL INFRASTRUCTURE FUND CLASS Y SHARES	HOUSTON, TX	4/30/2014	00702544-03	Registered
BLACKROCK MULTI STATE MUNICIPAL SERIES TR BLACKROCK PA MUNICIPAL BOND FUND INSTITUTIONAL SHARES	WILMINGTON, DE	4/30/2014	00702556-03	Registered
INTEGRITY FUNDS WILLISTON BASIN MID NORTH AMERICA STOCK FUND CLASS C	MINOT, ND	4/30/2014	00703259-03	Registered
NUVEEN INVESTMENT TR 2 NUVEEN SYMPHONY SMALL CAP CORE FUND CLASS A SHARES	CHIGACO, IL	4/30/2014	00702553-03	Registered
NUVEEN INVESTMENT TR 2 NUVEEN SYMPHONY SMALL CAP CORE FUND CLASS I SHARES	CHIGACO, IL	4/30/2014	00702555-03	Registered
NUVEEN INVESTMENT TR 2 NUVEEN SYMPHONY SMALL CAP CORE FUND CLASS C SHARES	CHIGACO, IL	4/30/2014	00702554-03	Registered
SUNAMERICA SERIES INC SUNAMERICA SELECT DIVIDEND GROWTH PORTFOLIO CLASS A	JERSEY CITY, NJ	4/30/2014	00702550-03	Pending-Cleared
SUNAMERICA SERIES INC SUNAMERICA SELECT DIVIDEND GROWTH PORTFOLIO CLASS C	JERSEY CITY, NJ	4/30/2014	00702551-03	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
SUNAMERICA SERIES INC SUNAMERICA SELECT DIVIDEND GROWTH PORTFOLIO CLASS W	JERSEY CITY, NJ	4/30/2014	00702552-03	Pending-Cleared
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO STRATEGIC REAL RETURN FUND CLASS A SHARES	HOUSTON, TX	4/29/2014	00702499-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO STRATEGIC REAL RETURN FUND CLASS Y SHARES	HOUSTON, TX	4/29/2014	00702503-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO STRATEGIC REAL RETURN FUND CLASS R5 SHARES	HOUSTON, TX	4/29/2014	00702502-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO STRATEGIC REAL RETURN FUND CLASS R6 SHARES	HOUSTON, TX	4/29/2014	00702504-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO STRATEGIC REAL RETURN FUND CLASS C SHARES	HOUSTON, TX	4/29/2014	00702500-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO STRATEGIC REAL RETURN FUND CLASS R SHARES	HOUSTON, TX	4/29/2014	00702501-03	Registered
CORTINA FUNDS INC CORTINA SMALL CAP VALUE FUND INVESTOR CLASS	MILWAUKEE, WI	4/29/2014	00702505-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC COMMODITY STRATEGY FUND CLASS C SHARES	CHICAGO, IL	4/29/2014	00702507-03	Registered

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GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC COMMODITY STRATEGY FUND CLASS IR SHARES	CHICAGO, IL	4/29/2014	00702508-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC COMMODITY STRATEGY FUND CLASS A SHARES	CHICAGO, IL	4/29/2014	00702506-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC COMMODITY STRATEGY FUND CLASS R SHARES	CHICAGO, IL	4/29/2014	00702510-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC COMMODITY STRATEGY FUND INSTITUTIONAL SHARES	CHICAGO, IL	4/29/2014	00702509-03	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1201 INVESTORS QUALITY MUNICIPAL TR SERIES 187	DOWNERS GROVE, IL	4/29/2014	00702677-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1202 INVESTORS QUALITY MUNICIPAL TR SERIES 188	DOWNERS GROVE, IL	4/29/2014	00702678-05	Pending-Cleared
INVESCO UNIT TR SERIES 1426 GLOBAL DIVIDEND SUSTAINABILITY PORTFOLIO 2014 2	DOWNERS GROVE, IL	4/29/2014	00702679-05	Registered
INVESCO UNIT TR SERIES 1438 NEW WORLD LEADERS PORTFOLIO SERIES 14	DOWNERS GROVE, IL	4/29/2014	00702674-05	Pending-Cleared
INVESCO UNIT TR SERIES 1439 ETF ALLOCATION PORTFOLIO SERIES 19	DOWNERS GROVE, IL	4/29/2014	00702672-05	Pending-Cleared
INVESCO UNIT TR SERIES 1439 ETF DIVERSIFIED INCOME PORTFOLIO SERIES 17	DOWNERS GROVE, IL	4/29/2014	00702673-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR SERIES 1440 DEFENSIVE EQUITY & INCOME PORTFOLIO SERIES 12	DOWNERS GROVE, IL	4/29/2014	00702670-05	Pending-Cleared
INVESCO UNIT TR SERIES 1440 EMERGING MARKETS DIVIDEND PORTFOLIO 2014 2	DOWNERS GROVE, IL	4/29/2014	00702671-05	Pending-Cleared
INVESCO UNIT TR SERIES 1441 INSIDER BUY STRATEGY 2014 2	DOWNERS GROVE, IL	4/29/2014	00702669-05	Pending-Cleared
INVESCO UNIT TR SERIES 1442 DOW JONES TOTAL MARKET PORTFOLIO ENHANCED INDEX STRATEGY 2014 3	DOWNERS GROVE, IL	4/29/2014	00702668-05	Pending-Cleared
INVESCO UNIT TR SERIES 1442 ENHANCED SECTOR STRATEGY SECTOR ROTATION PORTFOLIO 2014 3	DOWNERS GROVE, IL	4/29/2014	00702667-05	Pending-Cleared
INVESCO UNIT TR SERIES 1443 AMERICAN INNOVATION LEADERS PORTFOLIO SERIES 5	DOWNERS GROVE, IL	4/29/2014	00702666-05	Pending-Cleared
INVESCO UNIT TR SERIES 1444 GLOBAL TECHNOLOGY LEADERS PORTFOLIO 2014 3	DOWNERS GROVE, IL	4/29/2014	00702665-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 479 INVESTMENT GRADE INCOME TR 7 PLUS YEAR SERIES 39	DOWNERS GROVE, IL	4/29/2014	00702676-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 480 INVESTMENT GRADE INCOME TR 7 PLUS YEAR SERIES 40	DOWNERS GROVE, IL	4/29/2014	00702675-05	Pending-Cleared
WESTERN ASSET FUNDS INC WESTERN ASSET TOTAL RETURN UNCONSTRAINED FUND CLASS A2	BALTIMORE, MD	4/29/2014	00702498-03	Registered

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COLUMBIA FUNDS SERIES TR 2 COLUMBIA MORTGAGE OPPORTUNITIES FUND CLASS A	BOSTON, MA	4/28/2014	00702490-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA MORTGAGE OPPORTUNITIES FUND CLASS Z	BOSTON, MA	4/28/2014	00702494-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA MORTGAGE OPPORTUNITIES FUND CLASS R5	BOSTON, MA	4/28/2014	00702493-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA MORTGAGE OPPORTUNITIES FUND CLASS W	BOSTON, MA	4/28/2014	00702497-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA MORTGAGE OPPORTUNITIES FUND CLASS R4	BOSTON, MA	4/28/2014	00702492-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA MORTGAGE OPPORTUNITIES FUND CLASS C	BOSTON, MA	4/28/2014	00702491-03	Registered
FT 4739 INCOME DIVIDEND EQUITY ALLOCATION IDEA PORTFOLIO SERIES 4	WHEATON, IL	4/28/2014	00702615-05	Registered
FT 4779 DORSEY WRIGHT RELATIVE STRENGTH TOP 50 SERIES 14	WHEATON, IL	4/28/2014	00702620-05	Registered
FT 4782 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 63	WHEATON, IL	4/28/2014	00702616-05	Registered
FT 4783 PRECIOUS METALS SELECT PORTFOLIO SERIES 35	WHEATON, IL	4/28/2014	00702614-05	Registered
FT 4784 TACTICAL INCOME PORTFOLIO SERIES 28	WHEATON, IL	4/28/2014	00702617-05	Registered

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FT 4786 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 48	WHEATON, IL	4/28/2014	00702619-05	Registered
FT 4788 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 42	WHEATON, IL	4/28/2014	00702613-05	Registered
FT 4791 CLOSED END INCOME PORTFOLIO SERIES 2	WHEATON, IL	4/28/2014	00702612-05	Registered
FT 4792 SENIOR LOAN CLOSED END & ETF PORTFOLIO SERIES 4	WHEATON, IL	4/28/2014	00702611-05	Registered
FT 4793 CORE 3 CLOSED END ALLOCATION PORTFOLIO SERIES 14	WHEATON, IL	4/28/2014	00702618-05	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MULTI ASSET INCOME FUND CLASS C SHARES	BOSTON, MA	4/28/2014	00702622-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MULTI ASSET INCOME FUND CLASS R4 SHARES	BOSTON, MA	4/28/2014	00702625-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MULTI ASSET INCOME FUND CLASS R5 SHARES	BOSTON, MA	4/28/2014	00702626-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MULTI ASSET INCOME FUND CLASS I SHARES	BOSTON, MA	4/28/2014	00702623-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MULTI ASSET INCOME FUND CLASS Y SHARES	BOSTON, MA	4/28/2014	00702627-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MULTI ASSET INCOME FUND CLASS A SHARES	BOSTON, MA	4/28/2014	00702621-03	Registered

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HARTFORD MUTUAL FUNDS INC HARTFORD MULTI ASSET INCOME FUND CLASS R3 SHARES	BOSTON, MA	4/28/2014	00702624-03	Registered
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN GLOBAL LONG SHORT FUND CLASS C SHARES	NEW YORK, NY	4/28/2014	00702609-03	Registered
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN GLOBAL LONG SHORT FUND CLASS A SHARES	NEW YORK, NY	4/28/2014	00702608-03	Registered
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN GLOBAL LONG SHORT FUND INSTITUTIONAL CLASS SHARES	NEW YORK, NY	4/28/2014	00702610-03	Registered
NORTHERN LIGHTS FUND TR KCM MARCO TRENDS FUND CLASS R 1 SHARES	HAUPPAUGE, NY	4/28/2014	00702595-03	Registered
PRICE T ROWE RETIREMENT FUNDS INC PTR TARGET RETIREMENT 2060 FUND	BALTIMORE, MD	4/28/2014	00702602-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PTR TARGET RETIREMENT 2060 FUND ADVISOR CLASS	BALTIMORE, MD	4/28/2014	00702603-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PTRR 2060 FUND	BALTIMORE, MD	4/28/2014	00702604-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PTRR 2060 FUND ADVISOR CLASS	BALTIMORE, MD	4/28/2014	00702605-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PTRR 2060 FUND R CLASS	BALTIMORE, MD	4/28/2014	00702606-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WHITEBOX MUTUAL FUNDS WHITEBOX TACTICAL INCOME FUND INSTITUTIONAL SHARES	MINNEAPOLIS, MN	4/28/2014	00702495-03	Registered
WHITEBOX MUTUAL FUNDS WHITEBOX TACTICAL INCOME FUND INVESTOR SHARES	MINNEAPOLIS, MN	4/28/2014	00702496-03	Registered
Number of Investment Company Filings: 139				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ALTAIR EUROPEAN REAL ESTATE OPPORTUNITIES FUND MB LTD	GRAND CAYMAN, AA	5/6/2014	00703031-07	Filed
K SATURN LLC	CHICAGO, IL	5/6/2014	00703029-07	Filed
PTAM ENHANCED STRUCTURED CREDIT FUND LP	CHICAGO, IL	5/6/2014	00703030-07	Filed
PTAM STRUCTURED CREDIT FUND LP	CHICAGO, IL	5/6/2014	00703032-07	Filed
BRIAR MEADS SPV 6 LLC	NEW YORK, NY	5/5/2014	00702976-07	Filed
INSIGHTRA MEDICAL INC	IRVINE, CA	5/5/2014	00702977-07	Filed
SA IGNITE INC	ELMHURST, IL	5/5/2014	00702975-07	Filed
SIO PARTNERS LP	NEW YORK, NY	5/5/2014	00702974-07	Filed
ACON IGLOO INVESTORS 1 LLC	WASHINGTON, DC	5/2/2014	00703299-07	Filed
ADVISORY RESEARCH OPPORTUNITIES FUND LP	CHICAGO, IL	5/2/2014	00703296-07	Filed
B4C TECHNOLOGIES INC	PALM CITY, FL	5/2/2014	00702965-07	Filed
BANC FUND 9 LP	CHICAGO, IL	5/2/2014	00703300-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BP NATURAL GAS OPPORTUNITY PARTNERS LP	DALLAS, TX	5/2/2014	00703297-07	Filed
NFHS NETWORK HOLDINGS LLC	INDIANAPOLIS, IN	5/2/2014	00703301-07	Filed
PRONAI THERAPEUTICS INC	PLYMOUTH, MI	5/2/2014	00703298-07	Filed
ALIBABA INVESTMENTS LLC	SAN FRANCISCO, CA	5/1/2014	00703258-07	Filed
EQUITY HEDGE LEGENDS ASP FUND SERIES OF ALTERNATIVE STRATEGIES PLATFORM LLC	CHARLOTTE, NC	5/1/2014	00703244-07	Filed
GLENVIEW PARTNERS ASP FUND SERIES OF ALTERNATIVE STRATEGIES PLATFORM LLC	CHARLOTTE, NC	5/1/2014	00703247-07	Filed
IRON CREEK CAPITAL CORP	VANCOUVER, BC	5/1/2014	00703257-07	Filed
MARSHALL WACE EUREKA ASP FUND SERIES OF ALTERNATIVE STRATEGIES PLATFORM LLC	CHARLOTTE, NC	5/1/2014	00703248-07	Filed
NB PRIVATE DEBT ASP FUND SERIES OF ALTERNATIVE STRATEGIES PRIVATE EQUITY PLATFORM LLC	CHARLOTTE, NC	5/1/2014	00703245-07	Filed
SHANNON RIVER DOONBEG ASP FUND SERIES OF ALTERNATIVE STRATEGIES PLATFORM LLC	CHARLOTTE, NC	5/1/2014	00703246-07	Filed
TRANSITION METALS CORP	SUDBURY, ON	5/1/2014	00703256-07	Filed
MIDWEST MEZZANINE FUND 5 SBIC LP	CHICAGO, IL	4/30/2014	00703260-07	Filed
LEARNVEST INC	NEW YORK, NY	4/29/2014	00702681-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BOW & ARROW BREWING CO	ALBUQUERQUE, NM	4/28/2014	00702590-07	Filed
FSH MIDSTREAM LLC	RICHARDSON, TX	4/28/2014	00702589-07	Filed
HARD ROCK PARTNERS 2014 LP	CHARLESTON, WV	4/28/2014	00702591-07	Filed
OPGEN INC	GAITHERSBURG, MD	4/28/2014	00702588-07	Filed
Number of Regulation D Notice Filings: 29				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Kahala Franchising, L.L.C. / Taco Time	Scottsdale, AZ	5/9/2014	607824	Registered
Kahala Franchising, L.L.C. / Great Steak & Potato Company and/or Great Steak	Scottsdale, AZ	5/9/2014	607823	Registered
Kahala Franchising, L.L.C. / Surf City Squeeze	Scottsdale, AZ	5/9/2014	607822	Registered
Kahala Franchising, L.L.C. / Samurai Sam's and/or Samurai Sam's Teriyaki Grill	Scottsdale, AZ	5/9/2014	607821	Registered
Kahala Franchising, L.L.C. / Cold Stone Creamery with Rocky Mountain Chocolate Factory	Scottsdale, AZ	5/9/2014	607820	Registered
Kahala Franchising, L.L.C. / Cold Stone Creamery	Scottsdale, AZ	5/9/2014	607819	Registered
Kahala Franchising, L.L.C. / Nrgize Lifestyle Cafe	Scottsdale, AZ	5/9/2014	607818	Registered
Kahala Franchising, L.L.C. / Blimpie	Scottsdale, AZ	5/9/2014	607817	Registered
RadioShack Corporation / RadioShack - Royalty Version	Fort Worth, TX	5/9/2014	607811	Registered
RADIOSHACK CORPORATION / RadioShack - NonRoyalty Version	FORT WORTH, TX	5/9/2014	607804	Registered
LUXOTTICA RETAIL NORTH AMERICA, INC. / PEARLE VISION	MASON, OH	5/8/2014	607773	Registered
VPC Pizza Franchise, LLC / Giordano's® Restaurants	Chicago, IL	5/8/2014	607771	Registered
BEN & JERRYS FRANCHISING INC / Ben & Jerry's Scoop Shop	SOUTH BURLINGTON, VT	5/8/2014	607814	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
BEN & JERRYS FRANCHISING INC / Special Venue Scoop Shop Program	SOUTH BURLINGTON, VT	5/8/2014	607812	Registered
KAMPGROUNDS OF AMERICA INC / KOA	BILLINGS, MT	5/8/2014	607813	Registered
MOSSY OAK PROPERTIES INC	WEST POINT, MS	5/8/2014	607816	Registered
SYLVAN LEARNING INC / Ace It! Tutoring	BALTIMORE, MD	5/8/2014	607815	Registered
Gigi's Franchising, LLC / Gigi's Cupcakes	Franklin, TN	5/7/2014	607769	Registered
Ziebart Corporation / Ziebart	Troy, MI	5/7/2014	607755	Registered
SYLVAN LEARNING INC / Sylvan Learning Center	BALTIMORE, MD	5/7/2014	607803	Registered
SCHOOL OF ROCK FRANCHISING LLC / SCHOOL OF ROCK	BURR RIDGE, IL	5/6/2014	607735	Registered
Made In The Shade Blinds & More, LLC / Made in the Shade Blinds and More	San Antonio, TX	5/6/2014	607734	Registered
OE FRANCHISE, LLC / Office Evolution®	Boulder, CO	5/6/2014	607733	Registered
GrowlerU Franco, LLC / "GrowlerU", "GrowlerUSA", "Growler University", "GrowlerU Tap Haus"	Centennial, CO	5/5/2014	607732	Registered
Club Metro USA Franchising, LLC / Club Metro Fitness Facility	Toms River, NJ	5/5/2014	607731	Registered
Barkefellers Franchise LLC	Indianapolis, IN	5/5/2014	607802	Registered
Device Pitstop, LLC / Device Pitstop	Wayzata, MN	5/5/2014	607805	Registered
Dog Wizard Academy, LLC / The Dog Wizard	Charlotte, NC	5/5/2014	607810	Registered
EDIBLE ARRANGEMENTS INTERNATIONAL INC / Edible Arrangements	WILLINGFORD, CT	5/5/2014	607808	Registered
Just Falafel Franchising U.S., LLC / Just Falafel	Philadelphia, PA	5/5/2014	607807	Registered
SPHERION STAFFING LLC	Atlanta, GA	5/5/2014	607809	Registered
STANLEY STEEMER INTERNATIONAL INC / Stanley Steemer Carpet Cleaner	DUBLIN, OH	5/5/2014	607806	Registered
Tri-County Mobile Wash, Inc. / Superior Wash	Pembroke Pines, FL	5/4/2014	607730	Registered
AUTOMOTIVE TECHNOLOGIES, INC. / WIRELESS ZONE	MIDDLETOWN, CT	5/3/2014	607727	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Pizza Bell Hop, LLC / Pizza Bell Hop	Blaine, MN	5/2/2014	607723	Registered
Amada Franchise, Inc. / Amada Senior Care	San Clemente, CA	5/2/2014	607722	Registered
360BRANDS, INC. / 360clean Complete Facility Care	Daniel Island, SC	5/2/2014	607796	Registered
360BRANDS, INC. / 360clean Complete Facility Care	Daniel Island, SC	5/2/2014	607797	Registered
DAEKYO AMERICA INC / Eye Level Learning Center	Ridgefield Park, NJ	5/2/2014	607795	Registered
JUST BETWEEN FRIENDS FRANCHISE SYSTEM INC	BROKEN ARROW, OK	5/2/2014	607798	Registered
Live 2 B Healthy Senior Fitness, LLC / L2BH Senior Fitness	Minnetonka, MN	5/2/2014	607800	Registered
NATURAL AWAKENINGS PUBLISHING CORP	NAPLES, FL	5/2/2014	607801	Registered
PACIFIC PRIDE SERVICES LLC / Pacific Pride	SALEM, OR	5/2/2014	607799	Registered
Signal 88 Franchise Group, Inc. / Signal 88 Security	Omaha, NE	5/2/2014	607794	Registered
Expense Reduction Analysts, Inc. / Expense Reduction Analysts	Addison, TX	5/1/2014	607721	Registered
SEARS HOME & BUSINESS FRANCHISES, INC. / Sears Air Duct Cleaning	Lewis Center, OH	5/1/2014	607719	Registered
SEARS HOME & BUSINESS FRANCHISES, INC. / Sears Carpet & Upholstery Care	Lewis Center, OH	5/1/2014	607718	Registered
SEARS HOME & BUSINESS FRANCHISES, INC. / Sears Garage Door	Lewis Center, OH	5/1/2014	607717	Registered
Sears Home & Business Franchises, Inc. / Sears Maid Services	Lewis Center, OH	5/1/2014	607716	Registered
Global Orange Development, LLC / BIGGBY COFFEE	East Lansing, MI	5/1/2014	607715	Registered
PRIMROSE SCHOOL FRANCHISING CO / PRIMROSE SCHOOLS	ACWORTH, GA	5/1/2014	607714	Registered
Sitters Etc. Franchising, LLC / Sitters Etc. Personal Services	Brentwood, TN	5/1/2014	607713	Registered
PILLAR TO POST INC / Exclusive Territory	TAMPA, FL	5/1/2014	607712	Registered
PILLAR TO POST INC / Non-Exclusive Territory	TAMPA, FL	5/1/2014	607711	Registered
BONANZA RESTAURANT CO	PLANO, TX	5/1/2014	607793	Registered

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BROADWAY STATION RESTAURANTS INC	WOODBURY, MN	5/1/2014	607785	Registered
CAR X ASSOC CORP	TOLEDO, OH	5/1/2014	607786	Registered
Cleaners Depot Franchise, LLC / CD One Price Cleans	Westchester, IL	5/1/2014	607788	Registered
PONDEROSA FRANCHISING CO	PLANO, TX	5/1/2014	607792	Registered
Rent-A-Center Franchising International, Inc. / Rent-A-Center	Plano, TX	5/1/2014	607790	Registered
Tom & Chee Worldwide, LLC / Tom and Chee	Cincinnati, OH	5/1/2014	607791	Registered
TUFFY ASSOC CORP / Tuffy Auto Service Centers/Tuffy Tire & Auto Serv	Toledo, OH	5/1/2014	607784	Registered
WINGSTOP RESTAURANTS INC / Wing-Stop	Dallas, TX	5/1/2014	607787	Registered
Yogurt Lab Franchising LLC / Yogurt Lab	Minneapolis, MN	5/1/2014	607789	Registered
BELLACINOS INC / Bellacinos	Portage, MI	4/30/2014	607710	Registered
Monkburger Franchise Group LLC / Monk's Bar and Grill®	Wisconsin Dells, WI	4/30/2014	607709	Registered
Zaxby's Franchising, Inc. / Zaxby's	Athens, GA	4/30/2014	607708	Registered
Astral Health & Beauty, Inc. / ALOETTE	Atlanta, GA	4/30/2014	607706	Registered
1-800-GOT-JUNK? LLC / 1-800-GOT-JUNK?	Vancouver, BC	4/30/2014	607705	Registered
BlueFrog Plumbing and Drain, LLC / BlueFrog Plumbing + Drain	Tempe, AR	4/30/2014	607704	Registered
RETROFITNESS LLC	COLTS NECK, NJ	4/30/2014	607703	Registered
Penn Station, Inc.	Milford, OH	4/30/2014	607700	Registered
Bevintel, LLC / Bevintel	Louisville, KY	4/30/2014	607699	Registered
QFA ROYALTIES LLC / Individual Unit Franchise Program	DENVER, CO	4/30/2014	607689	Registered
Greener Energy, LLC / SuperGreen Solutions	West Palm Beach, FL	4/30/2014	607685	Registered
AAMCO TRANSMISSIONS INC	HORSHAM, PA	4/30/2014	607780	Registered
AdvantaClean Systems, Inc. / AdvantaClean Environmental and AdvantaClean Regional Developer	Huntersville, NC	4/30/2014	607762	Registered
Biolyst LLC / Realief Neuropathy Centers	St. Louis Park, MN	4/30/2014	607779	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
BodyLogicMD Franchise Corp. / BodyLogicMD	Boca Raton, FL	4/30/2014	607760	Registered
BURGER KING CORP / Burger King	MIAMI, FL	4/30/2014	607754	Registered
Coffee News USA, Inc. / Coffee News	Bangor, ME	4/30/2014	607778	Registered
COMPLETE NUTRITION FRANCHISING, LLC	OMAHA, NE	4/30/2014	607774	Registered
COTTMAN TRANSMISSION SYSTEMS, LLC / Cottman Transmission Systems, LLC	HORSHAM, PA	4/30/2014	607739	Registered
COUNSELOR REALTY FRANCHISING INC / Counselor	Fridley, MN	4/30/2014	607745	Registered
DEL TACO LLC / Del Taco	LAKE FOREST, CA	4/30/2014	607758	Registered
Digital Doc Franchising, LLC / Digital Doc	Owensboro, KY	4/30/2014	607770	Registered
DREAM DINNERS INC / Dream Dinners	SNOHOMISH, WA	4/30/2014	607756	Registered
DUNN BROS COFFEE FRANCHISING INC / Dunn Bros Coffee	MINNEAPOLIS, MN	4/30/2014	607775	Registered
Enviro-Master International Franchise, LLC / Enviro-Master International Franchise, LLC	Charlotte, NC	4/30/2014	607743	Registered
G M P INC / Gina Maria's Pizza	Eden Prairie, MN	4/30/2014	607768	Registered
GENERAL NUTRITION CORP / GNC	PITTSBURG, PA	4/30/2014	607753	Registered
GLOBAL RECRUITERS NETWORK INC / GRN Global Recruiters	Chicago, IL	4/30/2014	607765	Registered
Griswold International, LLC / Griswold Home Care	Plymouth Meeting, PA	4/30/2014	607742	Registered
Hispanic Tax, LLC / Hispanic Tax	Virginia Beach, VA	4/30/2014	607737	Registered
IHA Franchising, LLC / Ice House America	Jacksonville, FL	4/30/2014	607749	Registered
J N C Real Estate Development, LLC / Salons By JC	San Antonio, TX	4/30/2014	607766	Registered
JET BLACK INTERNATIONAL INC / Jet-Black	Savage, MN	4/30/2014	607764	Registered
KELLER WILLIAMS REALTY INC / Regional Representative	AUSTIN, TX	4/30/2014	607761	Registered
KELLER WILLIAMS REALTY INC / Keller Williams Realty - Market Center	AUSTIN, TX	4/30/2014	607763	Registered

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Franchise Law Filings Made with DFI's Securities Division				
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MAINSTREAM FASHIONS FRANCHISING INC / Mainstream Boutique	Minneapolis, MN	4/30/2014	607747	Registered
MEINEKE CAR CARE CENTERS INC / Meineke	CHARLOTTE, NC	4/30/2014	607777	Registered
MR PAYROLL CORP / Mr. Payroll	FORT WORTH, TX	4/30/2014	607744	Registered
NORMAN MERLE COSMETICS INC / MERLE NORMAN COSMETICS INC	LOS ANGELES, CA	4/30/2014	607738	Registered
OBERWEIS FRANCHISE SYSTEMS LLC / Oberweis Ice Cream and Dairy Store/That Burger Joint	NORTH AURORA, IL	4/30/2014	607748	Registered
SEAL KING INC / Seal King	LAKEVILLE, MN	4/30/2014	607752	Registered
SHOWHOMES FRANCHISE CORP / Showhomes	NASHVILLE, TN	4/30/2014	607757	Registered
Slumberland Franchising, Inc. / Slumberland	Little Canada, MN	4/30/2014	607782	Registered
SPICE & TEA EXCHANGE FRANCHISING LLC / The Spice & Tea Exchange Stores	Palm Harbor, FL	4/30/2014	607751	Registered
The UPS Store, Inc. / The UPS Store (formerly Mail Boxes, Etc. Inc)	SAN DIEGO, CA	4/30/2014	607772	Registered
TSS PHOTOGRAPHY INC / TSS Photography	DULUTH, GA	4/30/2014	607750	Registered
UNITED COUNTRY REAL ESTATE INC / United Country	KANSAS CITY, MO	4/30/2014	607776	Registered
UPS Store Inc. / Traditional	SAN DIEGO, CA	4/30/2014	607741	Registered
VISION SOURCE LP / Vision Source	KINGWOOD, TX	4/30/2014	607759	Registered
Welcomemat Franchising, LLC / Welcomemat Services	Atlanta, GA	4/30/2014	607767	Registered
Zoyo Franchising, LLC / Zoyo	Chandler, AZ	4/30/2014	607781	Registered
NHANCE, INC / N-HANCE	Nashville, TN	4/29/2014	607684	Registered
HARRIS RESEARCH INC / CHEM DRY	Nashville, TN	4/29/2014	607683	Registered
AMERISPEC L.L.C. / AmeriSpec	Memphis, TN	4/29/2014	607682	Registered
Furniture Medic Limited Partnership / Furniture Medic	Memphis, TN	4/29/2014	607681	Registered
MERRY MAIDS LIMITED PARTNERSHIP / MERRY MAIDS	Memphis, TN	4/29/2014	607680	Registered

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SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER RECOVERY MANAGEMENT - Recovery Management Services	Memphis, TN	4/29/2014	607679	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER RESTORE - Disaster Restoration Services	Memphis, TN	4/29/2014	607678	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / ServiceMaster Commercial Services	Memphis, TN	4/29/2014	607677	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER CLEAN - Floor Care Services	Memphis, TN	4/29/2014	607676	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER CLEAN - Janitorial Services	Memphis, TN	4/29/2014	607675	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER CLEAN - On- Location Services	Memphis, TN	4/29/2014	607674	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER CLEAN - Small Business Services	Memphis, TN	4/29/2014	607673	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER CLEAN - Small Market Services	Memphis, TN	4/29/2014	607672	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER CLEAN - Window and Carpet Cleaning Services	Memphis, TN	4/29/2014	607671	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP// SERVICEMASTER CLEAN - Residential Services	Memphis, TN	4/29/2014	607670	Registered
OTRR FRANCHISING, LLC / Crushed Red® Restaurant	St. Louis, MO	4/29/2014	607669	Registered
Saltire Brands LLC / Saltire Brands LLC; House Doctors; House Medic	Milford, OH	4/29/2014	607668	Registered
Shula Burger, LLC	Ft. Lauderdale, FL	4/29/2014	607656	Registered
Assisting Hands Home Care, LLC / Assisting Hands Home Care	Nampa, ID	4/29/2014	607647	Registered
ROCKSOLID GRANIT (USA), INC. / Granite Transformations	Miramar, FL	4/29/2014	607646	Registered
M.G.M. LIQUOR STORES, INC. / MGM WINE & SPIRITS	ST PAUL, MN	4/29/2014	607645	Registered
ABRA AUTOMOTIVE SYSTEMS INC / ABRA	Brooklyn Park, MN	4/29/2014	607692	Registered
E & G FRANCHISE SYSTEMS INC / Erbert & Gerbert's Sandwich Shop	EAU CLAIRE, WI	4/29/2014	607690	Registered
E & G FRANCHISE SYSTEMS INC / Erbert & Gerbert's Bistro	EAU CLAIRE, WI	4/29/2014	607697	Registered
EVERYTHING YOGURT BRANDS LLC / Green Leaf's Beyond Great Salads/Bananas Smoothies & Frozen Yogurt	MORRISTOWN, NJ	4/29/2014	607695	Registered
FirstLight HomeCare Franchising, LLC / FirstLight HomeCare	Cincinnati, OH	4/29/2014	607686	Registered
Five D Inc. / United Real Estate	Kansas City, MO	4/29/2014	607691	Registered
Health One Management Company / UVANTA Healthcare	Appleton, WI	4/29/2014	607687	Registered
HOOTERS OF AMERICA INC / Hooters	ATLANTA, GA	4/29/2014	607696	Registered
Koala Associates, LLC / Koala Center for Sleep Disorders	Dunlap, IL	4/29/2014	607698	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
LGN Franchise System, LLC / Trumi	Stillwater, MN	4/29/2014	607688	Registered
SMOOTHIE KING FRANCHISES INC / Smoothie King	Metairie, LA	4/29/2014	607694	Registered
TOSSSED FRANCHISE CORP / Tossed	FT LAUDERDALE, FL	4/29/2014	607693	Registered
SW Franchise Inc. / Super Wash	Morrison, IL	4/28/2014	607644	Registered
ChiroWay Franchise, LLC / ChiroWay Centers	Woodbury, MN	4/28/2014	607643	Registered
CALLRN Franchise, LLC / Assisted Living Locators	Scottsdale, AZ	4/28/2014	607642	Registered
Barre Code Franchisor, L.L.C.	Chicago, IL	4/28/2014	607641	Registered
Suite Management Franchising, LLC / My Salon Suite	Metairie, LA	4/28/2014	607639	Registered
LifeSpring In-Home Care Network, L.L.C. / LifeSpring In-Home Care Network	Norman, OK	4/28/2014	607636	Registered
VANGUARD CLEANING SYSTEMS INC / VANGUARD CLEANING SYSTEMS	SAN MATEO, CA	4/28/2014	607635	Registered
Decor&You, Inc. / Decor&You	Southbury, CT	4/28/2014	607634	Registered
In Home Personal Services Development, L.L.C.	Fox River Grove, IL	4/28/2014	607633	Registered
FASTSIGNS International, Inc. / FASTSIGNS	Carrollton, TX	4/28/2014	607632	Registered
Citadel Panda Express, Inc. / Panda Express	Rosemead, CA	4/28/2014	607627	Registered
Fit Body Boot Camp, Inc. / Fit Body Boot Camp	Chino Hills, CA	4/28/2014	607624	Registered
Welcyon Franchising, LLC / Welcamp	Edina, MN	4/28/2014	607623	Registered
Welcyon Franchising, LLC / Welcyon	Edina, MN	4/28/2014	607622	Registered
Our Town America, A Franchising Corporation / Our Town America	Pinellas Park, FL	4/28/2014	607621	Registered
SALSARITA'S FRANCHISING, LLC / SALSARITA'S FRESH CANTINA	CHARLOTTE, NC	4/28/2014	607620	Registered
ACG PIZZA PARTNERS LLC / Stevie B's Pizza	Atlanta, GA	4/28/2014	607662	Registered
Corporate Caterers Franchise, LLC / Corporate Caterers	Miami, FL	4/28/2014	607657	Registered
EPCON COMMUNITIES FRANCHISING INC / Epcon Communities	DUBLIN, OH	4/28/2014	607658	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/28/2014 through 5/9/2014**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
MAACO Franchising, Inc. / MAACO	CHARLOTTE, NC	4/28/2014	607661	Registered
Maui Wowi Franchising, Inc. / Maui Wowi Hawaiian	Greenwood Village, CO	4/28/2014	607664	Registered
NOODLES & COMPANY / Noodles & Company	Broomfield, CO	4/28/2014	607655	Registered
POTBELLY FRANCHISING LLC / Potbelly Sandwich Works	CHICAGO, IL	4/28/2014	607654	Registered
PSP Franchising, LLC / Pet Supplies Plus	Livonia, MI	4/28/2014	607649	Registered
PSP Franchising, LLC / Pet Supplies Plus	Livonia, MI	4/28/2014	607651	Registered
STORM GUARD FRANCHISE SYSTEMS, LLC / Storm Guard	Apex, NC	4/28/2014	607660	Registered
STRENGTH TIME LLC / STRENGTHTIME	Winnetka, IL	4/28/2014	607652	Registered
VFUSA, LLC / Vom Fass	Madison, WI	4/28/2014	607666	Registered
WINGS ETC INC / Wings Etc.	FORT WAYNE, IN	4/28/2014	607653	Registered
WTGS, LLC / Way To Go Sports	Wayzata, MN	4/28/2014	607659	Registered
Number of Franchise Filings: 178				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	