

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 4/21/2014 through 5/2/2014**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INFINITY CORE ALTERNATIVE FUND	MILWAUKEE, WI	4/29/2014	00702680-01	Registered
BUSINESS DEVELOPMENT CORP OF AMERICA	NEW YORK, NY	4/24/2014	00702223-01	Pending
<b>Number of Registration Filings: 2</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PINON HILLS COMMUNITY CHURCH	FARMINGTON, NM	4/22/2014	00702142-08	Not Disallowed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISERS INVESTMENT TR JOHCM ASIA EX JAPAN EQUITY FUND INSTITUTIONAL SHARES	COLUMBUS, OH	4/30/2014	00702557-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO STRATEGIC INCOME FUND CLASS R SHARES	HOUSTON, TX	4/30/2014	00702543-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO STRATEGIC INCOME FUND CLASS Y SHARES	HOUSTON, TX	4/30/2014	00702549-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO STRATEGIC INCOME FUND CLASS R6 SHARES	HOUSTON, TX	4/30/2014	00702547-03	Registered

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AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO STRATEGIC INCOME FUND CLASS C SHARES	HOUSTON, TX	4/30/2014	00702541-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO STRATEGIC INCOME FUND CLASS A SHARES	HOUSTON, TX	4/30/2014	00702548-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO STRATEGIC INCOME FUND CLASS R5 SHARES	HOUSTON, TX	4/30/2014	00702545-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO GLOBAL INFRASTRUCTURE FUND CLASS C SHARES	HOUSTON, TX	4/30/2014	00702539-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO GLOBAL INFRASTRUCTURE FUND CLASS R6 SHARES	HOUSTON, TX	4/30/2014	00702546-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO GLOBAL INFRASTRUCTURE FUND CLASS R SHARES	HOUSTON, TX	4/30/2014	00702540-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO GLOBAL INFRASTRUCTURE FUND CLASS R5 SHARES	HOUSTON, TX	4/30/2014	00702542-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO GLOBAL INFRASTRUCTURE FUND CLASS Y SHARES	HOUSTON, TX	4/30/2014	00702544-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO GLOBAL INFRASTRUCTURE FUND CLASS A SHARES	HOUSTON, TX	4/30/2014	00702538-03	Registered

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BLACKROCK MULTI STATE MUNICIPAL SERIES TR BLACKROCK PA MUNICIPAL BOND FUND INSTITUTIONAL SHARES	WILMINGTON, DE	4/30/2014	00702556-03	Registered
NUVEEN INVESTMENT TR 2 NUVEEN SYMPHONY SMALL CAP CORE FUND CLASS A SHARES	CHIGACO, IL	4/30/2014	00702553-03	Registered
NUVEEN INVESTMENT TR 2 NUVEEN SYMPHONY SMALL CAP CORE FUND CLASS I SHARES	CHIGACO, IL	4/30/2014	00702555-03	Registered
NUVEEN INVESTMENT TR 2 NUVEEN SYMPHONY SMALL CAP CORE FUND CLASS C SHARES	CHIGACO, IL	4/30/2014	00702554-03	Registered
SUNAMERICA SERIES INC SUNAMERICA SELECT DIVIDEND GROWTH PORTFOLIO CLASS A	JERSEY CITY, NJ	4/30/2014	00702550-03	Pending-Cleared
SUNAMERICA SERIES INC SUNAMERICA SELECT DIVIDEND GROWTH PORTFOLIO CLASS W	JERSEY CITY, NJ	4/30/2014	00702552-03	Pending-Cleared
SUNAMERICA SERIES INC SUNAMERICA SELECT DIVIDEND GROWTH PORTFOLIO CLASS C	JERSEY CITY, NJ	4/30/2014	00702551-03	Pending-Cleared
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO STRATEGIC REAL RETURN FUND CLASS Y SHARES	HOUSTON, TX	4/29/2014	00702503-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO STRATEGIC REAL RETURN FUND CLASS R5 SHARES	HOUSTON, TX	4/29/2014	00702502-03	Registered

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AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO STRATEGIC REAL RETURN FUND CLASS A SHARES	HOUSTON, TX	4/29/2014	00702499-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO STRATEGIC REAL RETURN FUND CLASS R6 SHARES	HOUSTON, TX	4/29/2014	00702504-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO STRATEGIC REAL RETURN FUND CLASS R SHARES	HOUSTON, TX	4/29/2014	00702501-03	Registered
AIM COUNSELOR SERIES TR INVESCO COUNSELOR SERIES TR INVESCO STRATEGIC REAL RETURN FUND CLASS C SHARES	HOUSTON, TX	4/29/2014	00702500-03	Registered
CORTINA FUNDS INC CORTINA SMALL CAP VALUE FUND INVESTOR CLASS	MILWAUKEE, WI	4/29/2014	00702505-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC COMMODITY STRATEGY FUND CLASS C SHARES	CHICAGO, IL	4/29/2014	00702507-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC COMMODITY STRATEGY FUND CLASS A SHARES	CHICAGO, IL	4/29/2014	00702506-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC COMMODITY STRATEGY FUND CLASS IR SHARES	CHICAGO, IL	4/29/2014	00702508-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC COMMODITY STRATEGY FUND INSTITUTIONAL SHARES	CHICAGO, IL	4/29/2014	00702509-03	Pending-Cleared

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GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC COMMODITY STRATEGY FUND CLASS R SHARES	CHICAGO, IL	4/29/2014	00702510-03	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1201 INVESTORS QUALITY MUNICIPAL TR SERIES 187	DOWNERS GROVE, IL	4/29/2014	00702677-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1202 INVESTORS QUALITY MUNICIPAL TR SERIES 188	DOWNERS GROVE, IL	4/29/2014	00702678-05	Pending-Cleared
INVESCO UNIT TR SERIES 1426 GLOBAL DIVIDEND SUSTAINABILITY PORTFOLIO 2014 2	DOWNERS GROVE, IL	4/29/2014	00702679-05	Pending-Cleared
INVESCO UNIT TR SERIES 1438 NEW WORLD LEADERS PORTFOLIO SERIES 14	DOWNERS GROVE, IL	4/29/2014	00702674-05	Pending-Cleared
INVESCO UNIT TR SERIES 1439 ETF ALLOCATION PORTFOLIO SERIES 19	DOWNERS GROVE, IL	4/29/2014	00702672-05	Pending-Cleared
INVESCO UNIT TR SERIES 1439 ETF DIVERSIFIED INCOME PORTFOLIO SERIES 17	DOWNERS GROVE, IL	4/29/2014	00702673-05	Pending-Cleared
INVESCO UNIT TR SERIES 1440 DEFENSIVE EQUITY & INCOME PORTFOLIO SERIES 12	DOWNERS GROVE, IL	4/29/2014	00702670-05	Pending-Cleared
INVESCO UNIT TR SERIES 1440 EMERGING MARKETS DIVIDEND PORTFOLIO 2014 2	DOWNERS GROVE, IL	4/29/2014	00702671-05	Pending-Cleared
INVESCO UNIT TR SERIES 1441 INSIDER BUY STRATEGY 2014 2	DOWNERS GROVE, IL	4/29/2014	00702669-05	Pending-Cleared
INVESCO UNIT TR SERIES 1442 DOW JONES TOTAL MARKET PORTFOLIO ENHANCED INDEX STRATEGY 2014 3	DOWNERS GROVE, IL	4/29/2014	00702668-05	Pending-Cleared

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INVESCO UNIT TR SERIES 1442 ENHANCED SECTOR STRATEGY SECTOR ROTATION PORTFOLIO 2014 3	DOWNERS GROVE, IL	4/29/2014	00702667-05	Pending-Cleared
INVESCO UNIT TR SERIES 1443 AMERICAN INNOVATION LEADERS PORTFOLIO SERIES 5	DOWNERS GROVE, IL	4/29/2014	00702666-05	Pending-Cleared
INVESCO UNIT TR SERIES 1444 GLOBAL TECHNOLOGY LEADERS PORTFOLIO 2014 3	DOWNERS GROVE, IL	4/29/2014	00702665-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 479 INVESTMENT GRADE INCOME TR 7 PLUS YEAR SERIES 39	DOWNERS GROVE, IL	4/29/2014	00702676-05	Pending-Cleared
INVESCO UNIT TR TAXABLE INCOME SERIES 480 INVESTMENT GRADE INCOME TR 7 PLUS YEAR SERIES 40	DOWNERS GROVE, IL	4/29/2014	00702675-05	Pending-Cleared
WESTERN ASSET FUNDS INC WESTERN ASSET TOTAL RETURN UNCONSTRAINED FUND CLASS A2	BALTIMORE, MD	4/29/2014	00702498-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA MORTGAGE OPPORTUNITIES FUND CLASS R5	BOSTON, MA	4/28/2014	00702493-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA MORTGAGE OPPORTUNITIES FUND CLASS A	BOSTON, MA	4/28/2014	00702490-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA MORTGAGE OPPORTUNITIES FUND CLASS Z	BOSTON, MA	4/28/2014	00702494-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA MORTGAGE OPPORTUNITIES FUND CLASS R4	BOSTON, MA	4/28/2014	00702492-03	Registered

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COLUMBIA FUNDS SERIES TR 2 COLUMBIA MORTGAGE OPPORTUNITIES FUND CLASS C	BOSTON, MA	4/28/2014	00702491-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA MORTGAGE OPPORTUNITIES FUND CLASS W	BOSTON, MA	4/28/2014	00702497-03	Registered
FT 4739 INCOME DIVIDEND EQUITY ALLOCATION IDEA PORTFOLIO SERIES 4	WHEATON, IL	4/28/2014	00702615-05	Pending-Cleared
FT 4779 DORSEY WRIGHT RELATIVE STRENGTH TOP 50 SERIES 14	WHEATON, IL	4/28/2014	00702620-05	Pending-Cleared
FT 4782 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 63	WHEATON, IL	4/28/2014	00702616-05	Pending-Cleared
FT 4783 PRECIOUS METALS SELECT PORTFOLIO SERIES 35	WHEATON, IL	4/28/2014	00702614-05	Pending-Cleared
FT 4784 TACTICAL INCOME PORTFOLIO SERIES 28	WHEATON, IL	4/28/2014	00702617-05	Pending-Cleared
FT 4786 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 48	WHEATON, IL	4/28/2014	00702619-05	Pending-Cleared
FT 4788 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 42	WHEATON, IL	4/28/2014	00702613-05	Pending-Cleared
FT 4791 CLOSED END INCOME PORTFOLIO SERIES 2	WHEATON, IL	4/28/2014	00702612-05	Pending-Cleared
FT 4792 SENIOR LOAN CLOSED END & ETF PORTFOLIO SERIES 4	WHEATON, IL	4/28/2014	00702611-05	Pending-Cleared
FT 4793 CORE 3 CLOSED END ALLOCATION PORTFOLIO SERIES 14	WHEATON, IL	4/28/2014	00702618-05	Pending-Cleared

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HARTFORD MUTUAL FUNDS INC HARTFORD MULTI ASSET INCOME FUND CLASS C SHARES	BOSTON, MA	4/28/2014	00702622-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MULTI ASSET INCOME FUND CLASS R5 SHARES	BOSTON, MA	4/28/2014	00702626-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MULTI ASSET INCOME FUND CLASS A SHARES	BOSTON, MA	4/28/2014	00702621-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MULTI ASSET INCOME FUND CLASS R4 SHARES	BOSTON, MA	4/28/2014	00702625-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MULTI ASSET INCOME FUND CLASS R3 SHARES	BOSTON, MA	4/28/2014	00702624-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MULTI ASSET INCOME FUND CLASS I SHARES	BOSTON, MA	4/28/2014	00702623-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MULTI ASSET INCOME FUND CLASS Y SHARES	BOSTON, MA	4/28/2014	00702627-03	Registered
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN GLOBAL LONG SHORT FUND CLASS C SHARES	NEW YORK, NY	4/28/2014	00702609-03	Pending-Cleared
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN GLOBAL LONG SHORT FUND CLASS A SHARES	NEW YORK, NY	4/28/2014	00702608-03	Pending-Cleared

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NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN GLOBAL LONG SHORT FUND INSTITUTIONAL CLASS SHARES	NEW YORK, NY	4/28/2014	00702610-03	Pending-Cleared
NORTHERN LIGHTS FUND TR KCM MARCO TRENDS FUND CLASS R 1 SHARES	HAUPPAUGE, NY	4/28/2014	00702595-03	Registered
PRICE T ROWE RETIREMENT FUNDS INC PTR TARGET RETIREMENT 2060 FUND ADVISOR CLASS	BALTIMORE, MD	4/28/2014	00702603-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PTR TARGET RETIREMENT 2060 FUND	BALTIMORE, MD	4/28/2014	00702602-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PTRR 2060 FUND	BALTIMORE, MD	4/28/2014	00702604-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PTRR 2060 FUND ADVISOR CLASS	BALTIMORE, MD	4/28/2014	00702605-03	Pending-Cleared
PRICE T ROWE RETIREMENT FUNDS INC PTRR 2060 FUND R CLASS	BALTIMORE, MD	4/28/2014	00702606-03	Pending-Cleared
WHITEBOX MUTUAL FUNDS WHITEBOX TACTICAL INCOME FUND INSTITUTIONAL SHARES	MINNEAPOLIS, MN	4/28/2014	00702495-03	Registered
WHITEBOX MUTUAL FUNDS WHITEBOX TACTICAL INCOME FUND INVESTOR SHARES	MINNEAPOLIS, MN	4/28/2014	00702496-03	Registered
IVY FUNDS IVY EMERGING MARKETS LOCAL CURRENCY DEBT FUND CLASS I SHARES	SHAWNEE MISSION, KS	4/25/2014	00702335-03	Registered
IVY FUNDS IVY EMERGING MARKETS LOCAL CURRENCY DEBT FUND CLASS A SHARES	SHAWNEE MISSION, KS	4/25/2014	00702333-03	Registered

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IVY FUNDS IVY EMERGING MARKETS LOCAL CURRENCY DEBT FUND CLASS C SHARES	SHAWNEE MISSION, KS	4/25/2014	00702334-03	Registered
IVY FUNDS IVY EMERGING MARKETS LOCAL CURRENCY DEBT FUND CLASS R SHARES	SHAWNEE MISSION, KS	4/25/2014	00702336-03	Registered
IVY FUNDS IVY EMERGING MARKETS LOCAL CURRENCY DEBT FUND CLASS Y SHARES	SHAWNEE MISSION, KS	4/25/2014	00702337-03	Registered
2 ROADS SHARED TR REDWOOD MANAGED VOLATILITY FUND CLASS N SHARES	HAUPPAUGE, NY	4/24/2014	00702596-03	Registered
AMERICAN INDEPENDENCE FUNDS TR RISK MANAGED ALLOCATION FUND CLASS A	NEW YORK, NY	4/24/2014	00702581-03	Registered
DODGE & COX FUNDS DODGE & COX GLOBAL BOND FUND	SAN FRANCISCO, CA	4/24/2014	00702188-03	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1167 GLOBAL COMMODITY PRODUCERS PORTFOLIO SERIES 2	LISLE, IL	4/24/2014	00702583-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1168 INCOME & TREASURY LTD DURATION PORTFOLIO OF FUNDS SERIES 35	LISLE, IL	4/24/2014	00702585-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1174 EUROPEAN SMALL CAP CAPITAL STRENGTH PORTFOLIO SERIES 1	LISLE, IL	4/24/2014	00702584-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1175 US LOW VOLATILITY STRATEGY PORTFOLIO SERIES 7	LISLE, IL	4/24/2014	00702582-05	Pending-Cleared

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ALLIANCEBERNSTEIN BOND FUND INC ALLIANCEBERNSTEIN INTERMEDIATE BOND PORTFOLIO CLASS Z	NEW YORK, NY	4/23/2014	00702130-03	Pending-Cleared
TOUCHSTONE FUNDS GROUP TR TOUCHSTONE MERGER ARBITRAGE FUND INSTITUTIONAL CLASS	CINCINNATI, OH	4/23/2014	00702131-03	Registered
1ST TR COMBINED SERIES 442 TX EX MUNICIPAL INCOME TR 10 20 YEAR SERIES 12	WHEATON, IL	4/22/2014	00702134-05	Registered
ADVISORS DISCIPLINED TR 1234 BUSINESS DEVELOPMENT CO OPPORTUNITIES PORTFOLIO 2014 1	MONUMENT, CO	4/22/2014	00702139-05	Registered
FT 4735 HIGH DIVIDEND EQUITY ALLOCATION PORTFOLIO SERIES 11	WHEATON, IL	4/22/2014	00702137-05	Registered
FT 4737 LTD DURATION FIXED INCOME ETF PORTFOLIO SERIES 6	WHEATON, IL	4/22/2014	00702135-05	Registered
FT 4738 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 23	WHEATON, IL	4/22/2014	00702138-05	Registered
FT 4769 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 41	WHEATON, IL	4/22/2014	00702136-05	Registered
FT 4775 BANKING OPPORTUNITY PORTFOLIO SERIES 14	WHEATON, IL	4/22/2014	00702133-05	Registered
FT 4778 BALANCED INCOME EQUITY & ETF PORTFOLIO SERIES 9	WHEATON, IL	4/22/2014	00702132-05	Registered
PRICE T ROWE INTERNATIONAL FUNDS INC PRICE T ROWE ASIA OPPORTUNITIES FUND	BALTIMORE, MD	4/22/2014	00702191-03	Pending-Cleared

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PRICE T ROWE INTERNATIONAL FUNDS INC PRICE T ROWE ASIA OPPORTUNITIES FUND ADVISOR CLASS	BALTIMORE, MD	4/22/2014	00702190-03	Pending-Cleared
VICTORY PORTFOLIOS SELECT FUND CLASS A	COLUMBUS, OH	4/22/2014	00702128-03	Registered
VICTORY PORTFOLIOS SELECT FUND CLASS I	COLUMBUS, OH	4/22/2014	00702129-03	Registered
ADVISORS DISCIPLINED TR 1209 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 83	MONUMENT, CO	4/21/2014	00701697-05	Registered
FT 4753 INTERNATIONAL DIVIDEND OPPORTUNITY TR APRIL 2014 SERIES	WHEATON, IL	4/21/2014	00701696-05	Registered
<b>Number of Investment Company Filings: 110</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
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LEARNVEST INC	NEW YORK, NY	4/29/2014	00702681-07	Filed
BOW & ARROW BREWING CO	ALBUQUERQUE, NM	4/28/2014	00702590-07	Filed
FSH MIDSTREAM LLC	RICHARDSON, TX	4/28/2014	00702589-07	Filed
HARD ROCK PARTNERS 2014 LP	CHARLESTON, WV	4/28/2014	00702591-07	Filed
OPGEN INC	GAITHERSBURG, MD	4/28/2014	00702588-07	Filed
HUMM KOMBUCHA LLC	BEND, OR	4/25/2014	00702684-07	Filed
JMI 7 DE AVI A LP	BALTIMORE, MD	4/25/2014	00702686-07	Filed
RAPHAEL HOLDING CO	WISC RAPIDS, WI	4/25/2014	00702683-07	Filed

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REPUBLIC RESOURCES LLC	SAN ANTONIO, TX	4/25/2014	00702685-07	Filed
ENCAP FLATROCK MIDSTREAM FUND 3 LP	SAN ANTONIO, TX	4/24/2014	00702196-07	Filed
IMBED BIOSCIENCES INC	MADISON, WI	4/24/2014	00702587-07	Filed
TANDON DIGITAL INC	SIMI VALLEY, CA	4/24/2014	00702195-07	Filed
TOSK INC	MOUNTAIN VIEW, CA	4/24/2014	00702586-07	Filed
LAKE WISSOTA PARTNERS LLC	MILWAUKEE, WI	4/23/2014	00702192-07	Filed
SHANNON RIVER PARTNERS 2 LP	NEW YORK, NY	4/23/2014	00702194-07	Filed
CORDIA BANCORP INC	MIDLOTHIAN, VA	4/22/2014	00702141-07	Filed
ZODIAC SPIRITS LLC	RICHARDSON, TX	4/22/2014	00702140-07	Filed
CEC EKD 2.0 LP	COLO SPGS, CO	4/21/2014	00701716-07	Filed
GREENPOINT TATICAL INCOME FUND LLC	MADISON, WI	4/21/2014	00701715-07	Filed
UPSTART NETWORK TR	PALO ALTO, CA	4/21/2014	00701717-07	Filed
<b>Number of Regulation D Notice Filings: 20</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Pizza Bell Hop, LLC / Pizza Bell Hop	Blaine, MN	5/2/2014	607723	Registered
Amada Franchise, Inc. / Amada Senior Care	San Clemente, CA	5/2/2014	607722	Registered
Expense Reduction Analysts, Inc. / Expense Reduction Analysts	Addison, TX	5/1/2014	607721	Registered
SEARS HOME & BUSINESS FRANCHISES, INC. / Sears Air Duct Cleaning	Lewis Center, OH	5/1/2014	607719	Registered
SEARS HOME & BUSINESS FRANCHISES, INC. / Sears Carpet & Upholstery Care	Lewis Center, OH	5/1/2014	607718	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 4/21/2014 through 5/2/2014**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SEARS HOME & BUSINESS FRANCHISES, INC. / Sears Garage Door	Lewis Center, OH	5/1/2014	607717	Registered
Sears Home & Business Franchises, Inc. / Sears Maid Services	Lewis Center, OH	5/1/2014	607716	Registered
Global Orange Development, LLC / BIGGBY COFFEE	East Lansing, MI	5/1/2014	607715	Registered
PRIMROSE SCHOOL FRANCHISING CO / PRIMROSE SCHOOLS	ACWORTH, GA	5/1/2014	607714	Registered
Sitters Etc. Franchising, LLC / Sitters Etc. Personal Services	Brentwood, TN	5/1/2014	607713	Registered
PILLAR TO POST INC / Exclusive Territory	TAMPA, FL	5/1/2014	607712	Registered
PILLAR TO POST INC / Non-Exclusive Territory	TAMPA, FL	5/1/2014	607711	Registered
BELLACINOS INC / Bellacinos	Portage, MI	4/30/2014	607710	Registered
Monkburger Franchise Group LLC / Monk's Bar and Grill®	Wisconsin Dells, WI	4/30/2014	607709	Registered
Zaxby's Franchising, Inc. / Zaxby's	Athens, GA	4/30/2014	607708	Registered
Astral Health & Beauty, Inc. / ALOETTE	Atlanta, GA	4/30/2014	607706	Registered
1-800-GOT-JUNK? LLC / 1-800-GOT-JUNK?	Vancouver, BC	4/30/2014	607705	Registered
BlueFrog Plumbing and Drain, LLC / BlueFrog Plumbing + Drain	Tempe, AR	4/30/2014	607704	Registered
RETROFITNESS LLC	COLTS NECK, NJ	4/30/2014	607703	Registered
Penn Station, Inc.	Milford, OH	4/30/2014	607700	Registered
Bevintel, LLC / Bevintel	Louisville, KY	4/30/2014	607699	Registered
QFA ROYALTIES LLC / Individual Unit Franchise Program	DENVER, CO	4/30/2014	607689	Registered
Greener Energy, LLC / SuperGreen Solutions	West Palm Beach, FL	4/30/2014	607685	Registered
NHANCE, INC / N-HANCE	Nashville, TN	4/29/2014	607684	Registered
HARRIS RESEARCH INC / CHEM DRY	Nashville, TN	4/29/2014	607683	Registered
AMERISPEC L.L.C. / AmeriSpec	Memphis, TN	4/29/2014	607682	Registered
Furniture Medic Limited Partnership / Furniture Medic	Memphis, TN	4/29/2014	607681	Registered
MERRY MAIDS LIMITED PARTNERSHIP / MERRY MAIDS	Memphis, TN	4/29/2014	607680	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 4/21/2014 through 5/2/2014**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER RECOVERY MANAGEMENT - Recovery Management Services	Memphis, TN	4/29/2014	607679	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER RESTORE - Disaster Restoration Services	Memphis, TN	4/29/2014	607678	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / ServiceMaster Commercial Services	Memphis, TN	4/29/2014	607677	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER CLEAN - Floor Care Services	Memphis, TN	4/29/2014	607676	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER CLEAN - Janitorial Services	Memphis, TN	4/29/2014	607675	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER CLEAN - On- Location Services	Memphis, TN	4/29/2014	607674	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER CLEAN - Small Business Services	Memphis, TN	4/29/2014	607673	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER CLEAN - Small Market Services	Memphis, TN	4/29/2014	607672	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 4/21/2014 through 5/2/2014**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER CLEAN - Window and Carpet Cleaning Services	Memphis, TN	4/29/2014	607671	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP// SERVICEMASTER CLEAN - Residential Services	Memphis, TN	4/29/2014	607670	Registered
OTRR FRANCHISING, LLC / Crushed Red® Restaurant	St. Louis, MO	4/29/2014	607669	Registered
Saltire Brands LLC / Saltire Brands LLC; House Doctors; House Medic	Milford, OH	4/29/2014	607668	Registered
Shula Burger, LLC	Ft. Lauderdale, FL	4/29/2014	607656	Registered
Assisting Hands Home Care, LLC / Assisting Hands Home Care	Nampa, ID	4/29/2014	607647	Registered
ROCKSOLID GRANIT (USA), INC. / Granite Transformations	Miramar, FL	4/29/2014	607646	Registered
M.G.M. LIQUOR STORES, INC. / MGM WINE & SPIRITS	ST PAUL, MN	4/29/2014	607645	Registered
ABRA AUTOMOTIVE SYSTEMS INC / ABRA	Brooklyn Park, MN	4/29/2014	607692	Registered
E & G FRANCHISE SYSTEMS INC / Erbert & Gerbert's Sandwich Shop	EAU CLAIRE, WI	4/29/2014	607690	Registered
E & G FRANCHISE SYSTEMS INC / Erbert & Gerbert's Bistro	EAU CLAIRE, WI	4/29/2014	607697	Registered
EVERYTHING YOGURT BRANDS LLC / Green Leaf's Beyond Great Salads/Bananas Smoothies & Frozen Yogurt	MORRISTOWN, NJ	4/29/2014	607695	Registered
FirstLight HomeCare Franchising, LLC / FirstLight HomeCare	Cincinnati, OH	4/29/2014	607686	Registered
Five D Inc. / United Real Estate	Kansas City, MO	4/29/2014	607691	Registered
Health One Management Company / UVANTA Healthcare	Appleton, WI	4/29/2014	607687	Registered
HOOTERS OF AMERICA INC / Hooters	ATLANTA, GA	4/29/2014	607696	Registered
Koala Associates, LLC / Koala Center for Sleep Disorders	Dunlap, IL	4/29/2014	607698	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 4/21/2014 through 5/2/2014**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
LGN Franchise System, LLC / Trumi	Stillwater, MN	4/29/2014	607688	Registered
SMOOTHIE KING FRANCHISES INC / Smoothie King	Metairie, LA	4/29/2014	607694	Registered
TOSSSED FRANCHISE CORP / Tossed	FT LAUDERDALE, FL	4/29/2014	607693	Registered
SW Franchise Inc. / Super Wash	Morrison, IL	4/28/2014	607644	Registered
ChiroWay Franchise, LLC / ChiroWay Centers	Woodbury, MN	4/28/2014	607643	Registered
CALLRN Franchise, LLC / Assisted Living Locators	Scottsdale, AZ	4/28/2014	607642	Registered
Barre Code Franchisor, L.L.C.	Chicago, IL	4/28/2014	607641	Registered
Suite Management Franchising, LLC / My Salon Suite	Metairie, LA	4/28/2014	607639	Registered
LifeSpring In-Home Care Network, L.L.C. / LifeSpring In-Home Care Network	Norman, OK	4/28/2014	607636	Registered
VANGUARD CLEANING SYSTEMS INC / VANGUARD CLEANING SYSTEMS	SAN MATEO, CA	4/28/2014	607635	Registered
Decor&You, Inc. / Decor&You	Southbury, CT	4/28/2014	607634	Registered
In Home Personal Services Development, L.L.C.	Fox River Grove, IL	4/28/2014	607633	Registered
FASTSIGNS International, Inc. / FASTSIGNS	Carrollton, TX	4/28/2014	607632	Registered
Citadel Panda Express, Inc. / Panda Express	Rosemead, CA	4/28/2014	607627	Registered
Fit Body Boot Camp, Inc. / Fit Body Boot Camp	Chino Hills, CA	4/28/2014	607624	Registered
Welcyon Franchising, LLC / Welcamp	Edina, MN	4/28/2014	607623	Registered
Welcyon Franchising, LLC / Welcyon	Edina, MN	4/28/2014	607622	Registered
Our Town America, A Franchising Corporation / Our Town America	Pinellas Park, FL	4/28/2014	607621	Registered
SALSARITA'S FRANCHISING, LLC / SALSARITA'S FRESH CANTINA	CHARLOTTE, NC	4/28/2014	607620	Registered
ACG PIZZA PARTNERS LLC / Stevie B's Pizza	Atlanta, GA	4/28/2014	607662	Registered
Corporate Caterers Franchise, LLC / Corporate Caterers	Miami, FL	4/28/2014	607657	Registered
EPCON COMMUNITIES FRANCHISING INC / Epcon Communities	DUBLIN, OH	4/28/2014	607658	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 4/21/2014 through 5/2/2014**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MAACO Franchising, Inc. / MAACO	CHARLOTTE, NC	4/28/2014	607661	Registered
Maui Wowi Franchising, Inc. / Maui Wowi Hawaiian	Greenwood Village, CO	4/28/2014	607664	Registered
NOODLES & COMPANY / Noodles & Company	Broomfield, CO	4/28/2014	607655	Registered
POTBELLY FRANCHISING LLC / Potbelly Sandwich Works	CHICAGO, IL	4/28/2014	607654	Registered
PSP Franchising, LLC / Pet Supplies Plus	Livonia, MI	4/28/2014	607649	Registered
PSP Franchising, LLC / Pet Supplies Plus	Livonia, MI	4/28/2014	607651	Registered
STORM GUARD FRANCHISE SYSTEMS, LLC / Storm Guard	Apex, NC	4/28/2014	607660	Registered
STRENGTH TIME LLC / STRENGTHTIME	Winnetka, IL	4/28/2014	607652	Registered
VFUSA, LLC / Vom Fass	Madison, WI	4/28/2014	607666	Registered
WINGS ETC INC / Wings Etc.	FORT WAYNE, IN	4/28/2014	607653	Registered
WTGS, LLC / Way To Go Sports	Wayzata, MN	4/28/2014	607659	Registered
ILKB LLC / iLoveKickboxing.com	Levittown, NY	4/27/2014	607619	Registered
Heits Building Services, Inc. / HEITS	Hackensack, NJ	4/27/2014	607618	Registered
BARKLEY VENTURES INC / Central Bark Doggy Day Care	Oakland Park, FL	4/26/2014	607617	Registered
Club Z!, Inc. / Club Z!	Tampa, FL	4/25/2014	607616	Registered
R.E.JOHNSEN,LLC / Jan-Pro of Milwaukee	West Allis, WI	4/25/2014	607615	Registered
FIREHOUSE OF AMERICA LLC	JACKSONVILLE, FL	4/25/2014	607614	Registered
Cajun Global LLC / Church's Chicken	Atlanta, GA	4/25/2014	607613	Registered
MARCO'S FRANCHISING, LLC / Marco's Pizza	TOLEDO, OH	4/25/2014	607612	Registered
British Swim Centers Franchising, LLC / British Swim School	Sunrise, FL	4/25/2014	607611	Registered
MR SANDLESS FRANCHISE LLC	ASTON, PA	4/25/2014	607609	Registered
AlignLife Systems, LLC / AlignLife	Peoria, IL	4/25/2014	607628	Registered
Sky Zone Franchise Group, LLC / Sky Zone Trampoline Park	Los Angeles, CA	4/25/2014	607629	Registered
Soccer Shots Franchising, LLC / Soccer Shots	Middletown, PA	4/25/2014	607625	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 4/21/2014 through 5/2/2014**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TMS Franchising, Inc. / Town Money Saver	Lucas, OH	4/25/2014	607630	Registered
FETCH! Pet Care, Inc. / FETCH! Pet Care; FETCH!	Dayton, OH	4/24/2014	607598	Registered
Canine Dimensions Franchising, LLC / Canine Dimensions	Marlton, NJ	4/24/2014	607597	Registered
TUTORING CLUB LLC / Tutoring Club	HENDERSON, NV	4/24/2014	607596	Registered
ActiKare, Inc. / ActiKare In Home Care Services	Tampa, FL	4/24/2014	607575	Registered
Jet's America, Inc.	Sterling Heights, MI	4/24/2014	607574	Registered
YOU MOVE ME LLC / YOU MOVE ME	Vancouver, BC	4/24/2014	607573	Registered
WOW 1 DAY PAINTING LLC / WOW 1 DAY PAINTING	Vancouver, BC	4/24/2014	607572	Registered
Atomium, Inc. / Waffle Cabin	Rutland, VT	4/24/2014	607605	Registered
BENNIGANS FRANCHISING CO LP / Benningan's	Dallas, TX	4/24/2014	607626	Registered
BOSTON PIZZA RESTAURANTS LP / Boston's The Gourmet Pizza Restaurant & Sports Bar	DALLAS, TX	4/24/2014	607607	Registered
DFO LLC / Denny's	SPARTANBURG, SC	4/24/2014	607606	Registered
Dog Haus International, LLC / Dog Haus	Pasadena, CA	4/24/2014	607603	Registered
Tazinos Global Franchising LLC / Tazinos	Oak Creek, WI	4/24/2014	607604	Registered
Doc Popcorn Development, Inc. / Doc Popcorn	Boulder, CO	4/23/2014	607571	Registered
Massage Heights Corporate, LLC / Massage Heights	San Antonio, TX	4/23/2014	607570	Registered
B3 Franchising LLC / barre3	Portland, OR	4/23/2014	607569	Registered
SFF, LLC / SweetFrog	Richmond, VA	4/23/2014	607567	Registered
BIG BOY FRANCHISE MANAGEMENT LLC	WARREN, MI	4/23/2014	607566	Registered
MIDWEST LAWN CARE LLC / Weed Man	MIDDLETON, WI	4/23/2014	607565	Registered
Brightway Insurance, Inc. / Brightway Insurance	Jacksonville, FL	4/23/2014	607599	Registered
EVERYTHING YOGURT BRANDS LLC / SOUTH PHILLY STEAKS & FRIES	MORRISTOWN, NJ	4/23/2014	607589	Registered
FWR, LLC / VERLO MATTRESS FACTORY STORES LLC	Milwaukee, WI	4/23/2014	607592	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 4/21/2014 through 5/2/2014**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Game Truck Licensing, LLC / GameTruck	Tempe, AZ	4/23/2014	607602	Registered
HTBH, LLC / DOGTOPIA-Unit	Vienna, VA	4/23/2014	607590	Registered
JAN PRO FRANCHISING INTERNATIONAL INC / Jan-Pro Cleaning Systems	ALPHARETTA, GA	4/23/2014	607600	Registered
JIFFY LUBE INTERNATIONAL INC / Jiffy Lube	HOUSTON, TX	4/23/2014	607595	Registered
JIMMY JOHNS FRANCHISE LLC / Jimmy John's	CHAMPAIGN, IL	4/23/2014	607594	Registered
Watermill Express Franchising, LLC / Watermill Express	Brighton, CO	4/23/2014	607593	Registered
WOODHOUSE SPAS CORP	VICTORIA, TX	4/23/2014	607591	Registered
Cheba Hut Franchising, Inc. / Cheba Hut Restaurant	Fort Collins, CO	4/22/2014	607564	Registered
FOR Franchising, LLC / Window Genie	Cincinnati, OH	4/22/2014	607563	Registered
Baby Boot Camp LLC / Baby Boot Camp / Karna Fitness	Sarasota, FL	4/22/2014	607562	Registered
A CORP / Rooter Man / Rotor Man	N BILLERICA, MA	4/22/2014	607583	Registered
CHESTERS INTERNATIONAL LLC / Chesters Chicken On-The-Fly (Area Director)	Mountain Brook, AL	4/22/2014	607587	Registered
CICI ENTERPRISES LP / Cici's Pizza	COPPELL, TX	4/22/2014	607581	Registered
Crooked Pint LLC / Crooked Pint Ale House	St. Paul, MN	4/22/2014	607586	Registered
DOCTOR'S ASSOCIATES INC / Subway	MIAMI SPRINGS, FL	4/22/2014	607580	Registered
GOIN POSTAL FRANCHISE CORP / Goin' Postal Franchise Corporation	ZEPHYRHILLS, FL	4/22/2014	607588	Registered
GREEN MILL RESTAURANTS LLC / Green Mill Restaurants	St. Paul, MN	4/22/2014	607585	Registered
HOMEWATCH INTERNATIONAL INC / Homewatch CareGivers	GREENWOOD VILLAGE, CO	4/22/2014	607577	Registered
Painting With A Twist, LLC / Painting With A Twist	Mandeville, LA	4/22/2014	607579	Registered
PALM BEACH TAN FRANCHISING INC / Palm Beach Tan	Coppell, TX	4/22/2014	607582	Registered
TX ROADHOUSE DEVELOPMENT CORP / Texas Roadhouse	LOUISVILLE, KY	4/22/2014	607578	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 4/21/2014 through 5/2/2014**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
We Olive Franchising, LLC / We Olive	Paso Robles, CA	4/22/2014	607584	Registered
Hurricane AMT, LLC / Hurricane Grill and Wings	West Palm Beach, FL	4/21/2014	607551	Registered
Senior Care Business Investments, Inc. / Golden Heart Senior Care	Las Vegas, NV	4/21/2014	607550	Registered
HCAFRANCHISE CORP / Home Care Assistance 1-866-4-LiveIn	Palo Alto, CA	4/21/2014	607549	Registered
Your Pie Franchising, LLC / Your Pie	Athens, GA	4/21/2014	607548	Registered
Lemon Heaven International, Inc. / Lemon Heaven and/or Cin City Donuts	Delta, BC	4/21/2014	607547	Registered
MINT CONDITION FRANCHISING INC	FORT MILL, SC	4/21/2014	607531	Registered
SandalStone Ventures, Inc. / Vanguard Cleaning Systems of SE Wisconsin	Greenfield, WI	4/21/2014	607530	Registered
THM Management, LLC / TheHomeMag	Cape Coral, FL	4/21/2014	607524	Registered
TBC International, LLC / Title Boxing Club Fitness Facility	Overland Park, KS	4/21/2014	607509	Registered
Amazing Athletes Franchise Systems, Inc. / Amazing Athletes	Franklin, TN	4/21/2014	607560	Registered
BAD ASS COFFEE CO OF HI INC / Bad Ass Coffee Company of Hawaii, Inc.	SALT LAKE CITY, UT	4/21/2014	607558	Registered
CLOSET FACTORY FRANCHISE CORP / Closet Factory	LOS ANGELES, CA	4/21/2014	607556	Registered
DISCOVERY MAP INTERNATIONAL LLC / Discovery Map	Waitsfield, VA	4/21/2014	607554	Registered
fro.zen.yo Franchising, LLC / fro.zen.yo	Washington, DC	4/21/2014	607557	Registered
JEWELRY REPAIR ENTERPRISES INC / Fast Fix Jewelry Repairs	DELRAY BEACH, FL	4/21/2014	607561	Registered
MUTUAL FUND STORE LLC / THE MUTUAL FUND STORE	OVERLAND PARK, KS	4/21/2014	607559	Registered
PRO IMAGE FRANCHISE LC / Pro Image	CENTERVILLE, UT	4/21/2014	607553	Registered
SYNERGY HOMECARE FRANCHISING LLC / Regional Developer	GILBERT, AZ	4/21/2014	607555	Registered
<b>Number of Franchise Filings: 162</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 4/21/2014 through 5/2/2014**

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	