

**WI Securities Law and Franchise Law Filings
for 3/31/2014 through 4/11/2014**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DOUBLE LINE FUNDS TR DOUBLE LINE FLEXIBLE INCOME FUND CLASS I SHARES	WEST ALLIS, WI	4/7/2014	00700807-03	Registered
DOUBLE LINE FUNDS TR DOUBLE LINE FLEXIBLE INCOME FUND CLASS N SHARES	WEST ALLIS, WI	4/7/2014	00700808-03	Registered
DOUBLE LINE FUNDS TR DOUBLE LINE LOW DURATION EMERGING MARKETS FIXED INCOME FUND CLASS I SHARES	WEST ALLIS, WI	4/7/2014	00700809-03	Registered
DOUBLE LINE FUNDS TR DOUBLE LINE LOW DURATION EMERGING MARKETS FIXED INCOME FUND CLASS N SHARES	WEST ALLIS, WI	4/7/2014	00700810-03	Registered
MANAGED PORTFOLIO SERIES PORT STREET QUALITY GROWTH FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	4/2/2014	00700733-03	Registered
PROFESSIONALLY MANAGED PORTFOLIOS OTTER CREEK LONG SHORT OPPORTUNITY FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	4/2/2014	00700737-03	Registered

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Firm Name	Location	Date Received	File Number	Status
PROFESSIONALLY MANAGED PORTFOLIOS OTTER CREEK LONG SHORT OPPORTUNITY FUND INVESTOR CLASS	MILWAUKEE, WI	4/2/2014	00700738-03	Registered
STEBEN ALTERNATIVE INVESTMENT FUNDS STEBEN MANAGED FUTURES STRATEGY FUND CLASS I	MILWAUKEE, WI	4/2/2014	00700702-03	Registered
STEBEN ALTERNATIVE INVESTMENT FUNDS STEBEN MANAGED FUTURES STRATEGY FUND CLASS C	MILWAUKEE, WI	4/2/2014	00700701-03	Registered
STEBEN ALTERNATIVE INVESTMENT FUNDS STEBEN MANAGED FUTURES STRATEGY FUND CLASS A	MILWAUKEE, WI	4/2/2014	00700700-03	Registered
STEBEN ALTERNATIVE INVESTMENT FUNDS STEBEN MANAGED FUTURES STRATEGY FUND CLASS N	MILWAUKEE, WI	4/2/2014	00700703-03	Registered
ADVISORS SERIES TR PZENA EMERGING MARKETS FOCUSED VALUE FUND INVESTOR CLASS	MILWAUKEE, WI	3/31/2014	00700587-03	Registered
ADVISORS SERIES TR PZENA EMERGING MARKETS FOCUSED VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	3/31/2014	00700586-03	Registered
ADVISORS SERIES TR PZENA LONG SHORT VALUE FUND INVESTOR CLASS	MILWAUKEE, WI	3/31/2014	00700589-03	Registered
ADVISORS SERIES TR PZENA LONG SHORT VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	3/31/2014	00700588-03	Registered
ADVISORS SERIES TR PZENA MID CAP FOCUSED VALUE FUND INVESTOR CLASS	MILWAUKEE, WI	3/31/2014	00700591-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS SERIES TR PZENA MID CAP FOCUSED VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	3/31/2014	00700590-03	Registered
ADVISORS SERIES TR WASMER SCHROEDER HIGH YIELD MUNICIPAL FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	3/31/2014	00700585-03	Registered
MAINGATE TR MAINGATE MLP FUND CLASS C	MILWAUKEE, WI	3/31/2014	00700584-03	Registered
Number of Investment Company Filings: 19				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
ADVENTURES IN ADVERTISING FRANCHISE LLC	NEENAH, WI	4/1/2014	607317	Registered
Swimtastic Corpoation / Swimtastic Swim School	Waukesha, WI	3/31/2014	607185	Registered
BATTERIES PLUS LLC / Batteries Plus Bulbs	HARTLAND, WI	3/31/2014	607261	Registered
Number of Franchise Filings: 3				