

**WI Securities Law and Franchise Law Filings
for 3/24/2014 through 4/4/2014**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS SERIES TR PZENA EMERGING MARKETS FOCUSED VALUE FUND INVESTOR CLASS	MILWAUKEE, WI	3/31/2014	00700587-03	Registered
ADVISORS SERIES TR PZENA EMERGING MARKETS FOCUSED VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	3/31/2014	00700586-03	Registered
ADVISORS SERIES TR PZENA LONG SHORT VALUE FUND INVESTOR CLASS	MILWAUKEE, WI	3/31/2014	00700589-03	Registered
ADVISORS SERIES TR PZENA LONG SHORT VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	3/31/2014	00700588-03	Registered
ADVISORS SERIES TR PZENA MID CAP FOCUSED VALUE FUND INVESTOR CLASS	MILWAUKEE, WI	3/31/2014	00700591-03	Registered
ADVISORS SERIES TR PZENA MID CAP FOCUSED VALUE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	3/31/2014	00700590-03	Registered
ADVISORS SERIES TR WASMER SCHROEDER HIGH YIELD MUNICIPAL FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	3/31/2014	00700585-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
MAINGATE TR MAINGATE MLP FUND CLASS C	MILWAUKEE, WI	3/31/2014	00700584-03	Registered
LOEB KING TR LOEB KING ASIA FUND INSTITUTIONAL CLASS	WEST ALLIS, WI	3/28/2014	00700556-03	Registered
LOEB KING TR LOEB KING ASIA FUND INVESTOR CLASS	WEST ALLIS, WI	3/28/2014	00700557-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE BAILARD EMERGING MARKETS EQUITY FUND INSTITUTIONAL CLASS	WEST ALLIS, WI	3/28/2014	00700579-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE BAILARD EMERGING MARKETS EQUITY FUND CLASS M	WEST ALLIS, WI	3/28/2014	00700578-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE BAILARD EMERGING MARKETS EQUITY FUND CLASS C	WEST ALLIS, WI	3/28/2014	00700577-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE BAILARD EMERGING MARKETS EQUITY FUND CLASS A	WEST ALLIS, WI	3/28/2014	00700576-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE BAILARD EMERGING MARKETS EQUITY FUND INSTITUTIONAL SERVICE CLASS	WEST ALLIS, WI	3/28/2014	00700580-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE DIVERSE MANAGERS FUND INSTITUTIONAL SERVICE CLASS	WEST ALLIS, WI	3/28/2014	00700581-03	Registered
Number of Investment Company Filings: 16				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status

**WI Securities Law and Franchise Law Filings
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Number of Regulation D Notice Filings: 0

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
ADVENTURES IN ADVERTISING FRANCHISE LLC	NEENAH, WI	4/1/2014	607317	Registered
Swimtastic Corpoation / Swimtastic Swim School	Waukesha, WI	3/31/2014	607185	Registered
BATTERIES PLUS LLC / Batteries Plus Bulbs	HARTLAND, WI	3/31/2014	607261	Registered
COUSINS SUBS SYSTEMS INC / Cousins Subs Shop	MENOMONEE FALLS, WI	3/28/2014	607158	Registered
PRO ONE JANITORIAL, INC. / Pro One Janitorial	Green Bay, WI	3/28/2014	607143	Registered
CULVER FRANCHISING SYSTEM INC / Culver's	PRAIRIE DU SAC, WI	3/28/2014	607238	Registered
ROCOCO FRANCHISE CORP / Rocky Rococo Pizza & Pasta	OCONOMOWOC, WI	3/26/2014	607120	Registered
Number of Franchise Filings: 7				