

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 3/10/2014 through 3/21/2014**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SKYBRIDGE G 2 FUND LLC	NEW YORK, NY	3/14/2014	00699443-01	Registered
VAULTED GOLD BULLION TR	TORONTO, ON	3/13/2014	00699475-01	Pending
BAPTIST GENERAL CONFERENCE CORNERSTONE FUND	ARLINGTON HEIGHTS, IL	3/10/2014	00699409-02	Registered
OCONNOR FUND OF FUNDS AGGREGATED ALPHA STRATEGIES LLC	STAMFORD, CT	3/10/2014	00699364-01	Registered
Number of Registration Filings: 4				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HARDING LOEVNER FUNDS INC INSTITUTIONAL EMERGING MARKETS PORTFOLIO CLASS 2	BRIDGEWATER, NJ	3/19/2014	00699529-03	Registered
HC CAPITAL TR INFLATION PROTECTED SECURITIES PORTFOLIO HC STRATEGIC SHARES	WEST CONSHOHOCKE N, PA	3/19/2014	00699530-03	Registered
TOUCHSTONE FUNDS GROUP TR MID CAP VALUE FUND CLASS A	CINCINNATI, OH	3/19/2014	00699531-03	Registered
TOUCHSTONE FUNDS GROUP TR MID CAP VALUE FUND CLASS C	CINCINNATI, OH	3/19/2014	00699532-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TOUCHSTONE FUNDS GROUP TR MID CAP VALUE FUND INSTITUTIONAL SHARES	CINCINNATI, OH	3/19/2014	00699533-03	Registered
AMERICAN PENSION INVESTORS TR API EFFICIENT FRONTIER CORE INCOME FUND INSTITUTIONAL CLASS SHARES	LYNCHBURG, VA	3/18/2014	00699524-03	Registered
AMERICAN PENSION INVESTORS TR API EFFICIENT FRONTIER GROWTH FUND CLASS A SHARES	LYNCHBURG, VA	3/18/2014	00699522-03	Registered
AMERICAN PENSION INVESTORS TR API EFFICIENT FRONTIER VALUE FUND INSTITUTIONAL CLASS SHARES	LYNCHBURG, VA	3/18/2014	00699525-03	Registered
AMERICAN PENSION INVESTORS TR API EFFICIENT FRONTIER VALUE FUND CLASS A SHARES	LYNCHBURG, VA	3/18/2014	00699523-03	Registered
JPMORGAN TR I JPMORGAN TOTAL RETURN FUND CLASS R2 SHARES	BOSTON, MA	3/18/2014	00699520-03	Registered
JPMORGAN TR I JPMORGAN TOTAL RETURN FUND CLASS R6 SHARES	BOSTON, MA	3/18/2014	00699521-03	Registered
RBB FUND INC SUMMIT GLOBAL INVESTMENTS US LOW VOLATILITY EQUITY FUND CLASS A SHARES	WILMINGTON, DE	3/18/2014	00699519-03	Registered
FIDELITY INVESTMENT TR FIDELITY EUROPE FUND FIDELITY ADVISOR EUROPE FUND CLASS C	BOSTON, MA	3/17/2014	00699514-03	Registered
FIDELITY INVESTMENT TR FIDELITY EUROPE FUND FIDELITY ADVISOR EUROPE FUND CLASS B	BOSTON, MA	3/17/2014	00699512-03	Registered

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FIDELITY INVESTMENT TR FIDELITY EUROPE FUND FIDELITY ADVISOR EUROPE FUND INSTITUTIONAL CLASS	BOSTON, MA	3/17/2014	00699513-03	Registered
FIDELITY INVESTMENT TR FIDELITY EUROPE FUND FIDELITY ADVISOR EUROPE FUND CLASS A	BOSTON, MA	3/17/2014	00699511-03	Registered
FIDELITY INVESTMENT TR FIDELITY EUROPE FUND FIDELITY ADVISOR EUROPE FUND CLASS T	BOSTON, MA	3/17/2014	00699515-03	Registered
JPMORGAN TR I JPMORGAN MID CAP EQUITY FUND CLASS R2 SHARES	BOSTON, MA	3/17/2014	00699516-03	Registered
JPMORGAN TR I JPMORGAN MID CAP EQUITY FUND CLASS R5 SHARES	BOSTON, MA	3/17/2014	00699517-03	Registered
JPMORGAN TR I JPMORGAN MID CAP EQUITY FUND CLASS R6 SHARES	BOSTON, MA	3/17/2014	00699518-03	Registered
SUNAMERICA SPECIALTY SERIES SUNAMERICA SMALL CAP FUND CLASS C SHARES	JERSEY CITY, NJ	3/13/2014	00699433-03	Registered
SUNAMERICA SPECIALTY SERIES SUNAMERICA SMALL CAP FUND CLASS W SHARES	JERSEY CITY, NJ	3/13/2014	00699434-03	Registered
SUNAMERICA SPECIALTY SERIES SUNAMERICA SMALL CAP FUND CLASS A SHARES	JERSEY CITY, NJ	3/13/2014	00699432-03	Registered
FORWARD FUNDS FORWARD INTERNATIONAL DIVIDEND FUND CLASS A	SAN FRANCISCO, CA	3/12/2014	00699405-03	Registered
FORWARD FUNDS FORWARD INTERNATIONAL DIVIDEND FUND CLASS C	SAN FRANCISCO, CA	3/12/2014	00699404-03	Registered

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INVESCO UNIT TR SERIES 1417 GLOBAL TECHNOLOGY LEADERS 2014 2	DOWNERS GROVE, IL	3/12/2014	00699469-05	Pending-Cleared
1ST TR COMBINED SERIES 438 TX EX MUNICIPAL INCOME TR 10 20 YR SERIES 11	WHEATON, IL	3/11/2014	00699419-05	Registered
ADVISORS DISCIPLINED TR 1178 UTILITIES PORTFOLIO SERIES 2014 1 HIMCO PORTFOLIO	MONUMENT, CO	3/11/2014	00699414-05	Registered
ADVISORS DISCIPLINED TR 1179 REIT PORTFOLIO SERIES 2014 1 HIMCO PORTFOLIO	MONUMENT, CO	3/11/2014	00699413-05	Registered
ADVISORS DISCIPLINED TR 1180 SMALL MID CAP ADVANTAGE PORTFOLIO 2014 1	MONUMENT, CO	3/11/2014	00699415-05	Registered
FT 4654 BALANCED INCOME PORTFOLIO SERIES 43	WHEATON, IL	3/11/2014	00699417-05	Registered
FT 4655 EQUITY INCOME SELECT PORTFOLIO SERIES 39	WHEATON, IL	3/11/2014	00699410-05	Registered
FT 4656 DIVIDEND STRENGTH PORTFOLIO SERIES 22	WHEATON, IL	3/11/2014	00699421-05	Registered
FT 4681 GLOBAL DIVIDEND PORTFOLIO SERIES 37	WHEATON, IL	3/11/2014	00699418-05	Registered
FT 4703 UTILITIES SELECT PORTFOLIO SERIES 29	WHEATON, IL	3/11/2014	00699411-05	Registered
FT 4704 BALANCED INCOME SELECT PORTFOLIO SERIES 43	WHEATON, IL	3/11/2014	00699420-05	Registered
FT 4705 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 90	WHEATON, IL	3/11/2014	00699416-05	Registered

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Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1150 DOW JONES VALUE DIVIDEND FOCUS PORTFOLIO SERIES 16	LISLE, IL	3/11/2014	00699412-05	Registered
ADVISORS DISCIPLINED TR 1172 CONVERTIBLE & INCOME CLOSED END PORTFOLIO SERIES 2014 1	MONUMENT, CO	3/10/2014	00699388-05	Registered
ADVISORS DISCIPLINED TR 1173 PERONI GROWTH PORTFOLIO SPRING 2014 SERIES	MONUMENT, CO	3/10/2014	00699385-05	Registered
ADVISORS DISCIPLINED TR 1174 DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 2014 1Q	MONUMENT, CO	3/10/2014	00699384-05	Registered
ADVISORS DISCIPLINED TR 1175 HIGH 50 DIVIDEND STRATEGY PORTFOLIO SERIES 2014 1Q	MONUMENT, CO	3/10/2014	00699386-05	Registered
ADVISORS DISCIPLINED TR 1176 PREFERRED INCOME OPPORTUNITIES PORTFOLIO 2 YR SERIES 2014 1	MONUMENT, CO	3/10/2014	00699395-05	Registered
ADVISORS DISCIPLINED TR 1177 GLOBAL BASIC MATERIALS PORTFOLIO SERIES 2014 1	MONUMENT, CO	3/10/2014	00699394-05	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA DIVERSIFIED REAL RETURN FUND CLASS A	BOSTON, MA	3/10/2014	00699293-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA DIVERSIFIED REAL RETURN FUND CLASS R4	BOSTON, MA	3/10/2014	00699296-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA DIVERSIFIED REAL RETURN FUND CLASS C	BOSTON, MA	3/10/2014	00699294-03	Registered

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COLUMBIA FUNDS SERIES TR I COLUMBIA DIVERSIFIED REAL RETURN FUND CLASS Z	BOSTON, MA	3/10/2014	00699297-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA DIVERSIFIED REAL RETURN FUND CLASS R5	BOSTON, MA	3/10/2014	00699295-03	Registered
FT 4652 ENERGY SELECT PORTFOLIO SERIES 50	WHEATON, IL	3/10/2014	00699380-05	Registered
FT 4652 WATER UTILITY & INFRASTRUCTURE SELECT PORTFOLIO SERIES 32	WHEATON, IL	3/10/2014	00699381-05	Registered
FT 4653 STRATEGIC INCOME CLOSED END PORTFOLIO SERIES 51	WHEATON, IL	3/10/2014	00699389-05	Registered
FT 4675 GLOBAL DEEP VALUE DIVIDEND PORTFOLIO SERIES	WHEATON, IL	3/10/2014	00699393-05	Registered
FT 4676 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 55	WHEATON, IL	3/10/2014	00699391-05	Registered
FT 4677 RICHARD BERNSTEIN ADVISORS QUALITY INCOME PORTFOLIO SERIES 12	WHEATON, IL	3/10/2014	00699390-05	Registered
FT 4702 DISCOUNT OPPORTUNITY CLOSED END PORTFOLIO SERIES 5	WHEATON, IL	3/10/2014	00699392-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1140 SMID DIVIDEND STRENGTH PORTFOLIO SERIES 1	LISLE, IL	3/10/2014	00699383-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1149 DIVERSIFIED INCOME WAVE PORTFOLIO SERIES 49	LISLE, IL	3/10/2014	00699382-05	Registered
INCAPITAL UNIT TR SERIES 43 EUROPEAN DIVIDEND BUILDERS PORTFOLIO 1Q 2014	CHICAGO, IL	3/10/2014	00699379-05	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INCAPITAL UNIT TR SERIES 43 NORTH AMERICAN DIVIDEND BUILDERS PORTFOLIO 1Q 2014	CHICAGO, IL	3/10/2014	00699378-05	Pending-Cleared
SMART TR HIGH 20 DIVIDEND STRATEGY TR SERIES 7	PARSIPPANY, NJ	3/10/2014	00699387-05	Registered
Number of Investment Company Filings: 61				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DICOM TRANSPORTATION GROUP LP	CHICAGO, IL	3/13/2014	00699473-07	Filed
STAT HEALTH SERVICES INC	SCOTTSDALE, AZ	3/13/2014	00699474-07	Filed
STONEY CREEK INVESTORS OF INDEPENDENCE LLC	MASON CITY, IA	3/13/2014	00699495-07	Filed
WALTON STREET REAL ESTATE FUND 7 LP	CHICAGO, IL	3/13/2014	00699472-07	Filed
GS&A US PREMIUM INCOME FUND	TORONTO, ON	3/12/2014	00699465-07	Filed
INSTEVENT INC	NEW YORK, NY	3/11/2014	00699424-07	Filed
GABELLI ASSOC LTD	GRAND CAYMAN, AA	3/10/2014	00699362-07	Filed
WEST OAKS VENTURE LLC	NORTHRIDGE, CA	3/10/2014	00699363-07	Filed
Number of Regulation D Notice Filings: 8				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
ALLEGRA NETWORK LLC / Signs Now	Plymouth, MI	3/20/2014	607022	Registered
ALLEGRA NETWORK LLC / Allegra, American Speedy Printing, Insty-Prints	Plymouth, MI	3/20/2014	607021	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
KS La Crosse Investments, LLC / Kitchen Solvers	La Crosse, WI	3/20/2014	607017	Registered
Certified Restoration DryCleaning Network, LLC / CRDN	Berkley, MI	3/20/2014	607015	Registered
The Little Gym International, Inc. / The Little Gym	Scottsdale, AZ	3/20/2014	607016	Registered
Budget Blinds, Inc. / Budget Blinds	Orange, CA	3/20/2014	607014	Registered
ProTect Painters International LLC / ProTect Painters	ANN ARBOR, MI	3/20/2014	607008	Registered
SMASHBURGER FRANCHISING LLC	DENVER, CO	3/20/2014	607007	Registered
GOSH ENTERPRISES INC / Charley's	COLUMBUS, OH	3/20/2014	607006	Registered
Breadsmith Franchising, Inc.	Whitefish Bay, WI	3/20/2014	607005	Registered
NATIONAL INTERNET CORP / WSI ICE	LEWES, DE	3/20/2014	607012	Registered
Modo Yoga International Inc. / Modo Yoga	Salt Spring Island, BC	3/19/2014	607004	Registered
Healthy Pet Partners, LLC / Wag N Wash	Colorado Springs, CO	3/19/2014	607003	Registered
J P OPERATIONS, INC. / JIMANO'S PIZZERIA	GURNEE, IL	3/19/2014	607002	Registered
ABC, INC. / ABC SEAMLESS	FARGO, ND	3/19/2014	606993	Registered
Bonchon Franchise LLC	New York, NY	3/19/2014	607011	Registered
VALSPAR CORPORATION / Guardsman FurniturePro	Minneapolis, MN	3/19/2014	607009	Registered
PROPERTY DAMAGE APPRAISERS INC	FORT WORTH, TX	3/18/2014	606983	Registered
Halloween Express, LLC / Halloween Express	Owenton, KY	3/18/2014	607000	Registered
WINMARK CORP / Once Upon A Child Franchise Program	MINNEAPOLIS, MN	3/18/2014	606998	Registered
Winmark Corporation / Style Encore	Minneapolis, MN	3/18/2014	606997	Registered
Winmark Corporation / Music Go Round	Minneapolis, MN	3/18/2014	606996	Registered
Winmark Corporation / Plato's Closet	Minneapolis, MN	3/18/2014	607001	Registered
Winmark Corporation / Play It Again Sports	Minneapolis, MN	3/18/2014	606995	Registered
JUMPBUNCH INC	ANNAPOLIS, MD	3/17/2014	606980	Registered
9Round Franchising, LLC / 9Round	Greenville, SC	3/17/2014	606978	Registered

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Legal Name / Trade Name	Location	Date Received	File Number	Status
Freddy's LLC / Freddy's Frozen Custard & Steakburgers	Wichita, KS	3/17/2014	606984	Registered
HDYR, LLC / How Do You Roll?	Austin, TX	3/17/2014	606991	Registered
STROLLER STRIDES LLC / Fit4Mom	SAN MARCOS, CA	3/17/2014	606990	Registered
Liquid Capital of America Corp. / Liquid Capital	Irving, TX	3/14/2014	606977	Registered
Holiday Diversified Services Inc / Holiday Stationstores	Minneapolis, MN	3/14/2014	606985	Registered
Pak Mail Centers of America, Inc. / Pak Mail	Centennial, CO	3/14/2014	606988	Registered
ROLY POLY FRANCHISE SYSTEMS LLC / Roly Poly Rolled Sandwiches	Key West, FL	3/14/2014	607013	Registered
Leadership Management, Inc.	Waco, TX	3/13/2014	606963	Registered
Mathnasium Center Licensing, LLC / MATHNASIUM and MATHNASIUM THE MATH LEARNING CENTER	Los Angeles, CA	3/13/2014	606962	Registered
PRECISION HOLDINGS OF BREVARD INC / Precision Door Service	TITUSVILLE, FL	3/13/2014	606960	Registered
Monster Franchise LLC / Monster Tree Service	Fort Washington, PA	3/13/2014	606958	Registered
HAPPY & HEALTHY PRODUCTS INC	BOCA ROTON, FL	3/12/2014	606953	Registered
PACCAR LEASING CO / PacLease	BELLEVUE, WA	3/12/2014	606987	Registered
Persona Pizza Holdings, LLC / Persona Pizza Holdings, LLC	Miami Beach, FL	3/12/2014	606950	Registered
WEICHERT REAL ESTATE AFFILIATES INC	MORRIS PLAINS, NJ	3/12/2014	606986	Registered
Zippy Shell USA, LLC / Zippy Shell	Washington, DC	3/12/2014	606989	Registered
HOBBY TOWN UNLIMITED INC	LINCOLN, NE	3/11/2014	606947	Registered
PLAN AHEAD EVENTS INC	WEST PALM BEACH, FL	3/11/2014	606946	Registered
City Wide Franchise Company, Inc. / CITY WIDE	Lenexa, KS	3/11/2014	606945	Registered
ABSCP Inc. / Always Best Care Senior Services	Roseville, CA	3/11/2014	606957	Registered
D.O.G. Development LLC / Camp Bow Wow, Behavior Buddies, Home Buddies	Broomfield, CO	3/11/2014	606951	Registered
Maid Right Franchising LLC / Might Right	Alphretta, GA	3/11/2014	606949	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
MONICAL PIZZA CORP	BRADLEY, IL	3/11/2014	606952	Registered
NMTC INC / Matco Tools	STOW, OH	3/11/2014	606956	Registered
PAPA JOHNS INTERNATIONAL INC	LOUISVILLE, KY	3/11/2014	606955	Registered
WETZELS PRETZELS LLC	PASADENA, CA	3/11/2014	606948	Registered
STEAK N SHAKE ENTERPRISES INC / Steak n Shake	INDIANAPOLIS, IN	3/10/2014	606942	Registered
Sharks Franchising LLC / Shark Fish & Chicken®	South Holland, IL	3/10/2014	606936	Registered
Gotcha Covered Franchising, LLC / Gotcha Covered	Denver, CO	3/10/2014	606935	Registered
G6 Hospitality Franchising LLC / Motel 6	CARROLTON, TX	3/10/2014	606943	Registered
G6 Hospitality Franchising LLC / Studio 6	CARROLTON, TX	3/10/2014	606941	Registered
LA BAMBA FRANCHISE SERVICES LLC	CHAMPAIGN, IL	3/10/2014	606954	Registered
Number of Franchise Filings: 58				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	