

**WI Securities Law and Franchise Law Filings
for 2/24/2014 through 3/7/2014**

| Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Registration Filings: 0 | | | | |

| Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Exemption Filings: 0 | | | | |

| Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| AEGIS FUNDS AEGIS VALUE FUND CLASS A | MILWAUKEE, WI | 2/28/2014 | 00699116-03 | Registered |
| BROWN ADVISORY FUNDS BROWN ADVISORY WMC JAPAN ALPHA OPPORTUNITIES FUND INSTITUTIONAL SHARES | MILWAUKEE, WI | 2/28/2014 | 00699113-03 | Registered |
| BROWN ADVISORY FUNDS BROWN ADVISORY WMC JAPAN ALPHA OPPORTUNITIES FUND INVESTOR SHARES | MILWAUKEE, WI | 2/28/2014 | 00699112-03 | Registered |
| BROWN ADVISORY FUNDS BROWN ADVISORY WMC JAPAN ALPHA OPPORTUNITIES FUND ADVISOR SHARES | MILWAUKEE, WI | 2/28/2014 | 00699114-03 | Registered |
| NATIONWIDE MUTUAL FUNDS NATIONWIDE INVESTOR DESTINATIONS MODERATELY CONSERVATIVE FUND INSTITUTIONAL SERVICE CLASS | WEST ALLIS, WI | 2/28/2014 | 00699102-03 | Registered |
| NATIONWIDE MUTUAL FUNDS NATIONWIDE INVESTOR DESTINATIONS MODERATELY AGGRESSIVE FUND INSTITUTIONAL SERVICE CLASS | WEST ALLIS, WI | 2/28/2014 | 00699101-03 | Registered |

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| NATIONWIDE MUTUAL FUNDS NATIONWIDE INVESTOR DESTINATIONS CONSERVATIVE FUND INSTITUTIONAL SERVICE CLASS | WEST ALLIS, WI | 2/28/2014 | 00699100-03 | Registered |
| NATIONWIDE MUTUAL FUNDS NATIONWIDE INVESTOR DESTINATIONS AGGRESSIVE FUND INSTITUTIONAL SERVICE CLASS | WEST ALLIS, WI | 2/28/2014 | 00699099-03 | Registered |
| NATIONWIDE MUTUAL FUNDS NATIONWIDE INVESTOR DESTINATIONS MODERATE FUND INSTITUTIONAL SERVICE CLASS | WEST ALLIS, WI | 2/28/2014 | 00699103-03 | Registered |
| NATIONWIDE MUTUAL FUNDS NATIONWIDE SHORT DURATION BOND FUND INSTITUTIONAL SERVICE CLASS | WEST ALLIS, WI | 2/28/2014 | 00699104-03 | Registered |
| PERRITT FUNDS INC PERRITT LOW PRICED STOCK FUND | MILWAUKEE, WI | 2/28/2014 | 00699115-03 | Registered |
| MANAGED PORTFOLIO SERIES SMITH GROUP LARGE CAP CORE GROWTH FUND INVESTOR CLASS SHARES | MILWAUKEE, WI | 2/27/2014 | 00698954-03 | Registered |
| Number of Investment Company Filings: 12 | | | | |

| Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Regulation D Notice Filings: 0 | | | | |