

**WI Securities Law and Franchise Law Filings
for 2/17/2014 through 2/28/2014**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS SERIES TR COLDSTREAM DIVIDEND GROWTH FUND	MILWAUKEE, WI	2/21/2014	00698851-03	Registered
INVESTMENT MANAGERS SERIES TR ARISTOTLE INTERNATIONAL EQUITY FUND CLASS I SHARES	MILWAUKEE, WI	2/20/2014	00698841-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR LIQUID 8 FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	2/20/2014	00698845-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR LIQUID 8 FUND INVESTOR CLASS	MILWAUKEE, WI	2/20/2014	00698846-03	Pending-Cleared
Number of Investment Company Filings: 4				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BAIRD VENTURE PARTNERS 4 LIMIED PARTNERSHIP	MILWAUKEE, WI	2/21/2014	00698849-07	Filed
BVP 4 AFFILIATES FUND LP	MILWAUKEE, WI	2/21/2014	00698850-07	Filed

**WI Securities Law and Franchise Law Filings
for 2/17/2014 through 2/28/2014**

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BVP 4 SPECIAL AFFILIATES LP	MILWAUKEE, WI	2/21/2014	00698852-07	Filed
Number of Regulation D Notice Filings: 3				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
US Ventures / Express Convenience Centers	Appleton, WI	2/23/2014	606892	Registered
A&Z DRAGON PROPERTIES, LLC	Oneida, WI	2/19/2014	606888	Refunded
Cobblestone Hotels, LLC / Boarders Inn and Suites by Cobblestone	Neenah, WI	2/19/2014	606876	Registered
Cobblestone Hotels, LLC / Cobblestone Inn and Suites; Cobblestone Hotel and Suites	Neenah, WI	2/19/2014	606875	Registered
Number of Franchise Filings: 4				