

**WI Securities Law and Franchise Law Filings
for 2/3/2014 through 2/14/2014**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DIREXION FUNDS DIREXION INDEXED SYNTHETIC CONVERTIBLE STRATEGY BEAR FUND INVESTOR CLASS	MILWAUKEE, WI	2/5/2014	00696437-03	Registered
DIREXION FUNDS DIREXION INDEXED SYNTHETIC CONVERTIBLE STRATEGY FUND INVESTOR CLASS	MILWAUKEE, WI	2/5/2014	00696438-03	Registered
INVESTMENT MANAGERS SERIES TR PALMER SQUARE INCOME PLUS FUND	MILWAUKEE, WI	2/5/2014	00696444-03	Registered
Number of Investment Company Filings: 3				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FRED WOODLANDS LLC	MILWAUKEE, WI	2/6/2014	00696450-07	Filed
AGRICULTURE GAS CO	HUDSON, WI	2/3/2014	00696404-07	Filed
SII INVESTMENTS INC WI	APPLETON, WI	2/3/2014	00696402-07	Filed
Number of Regulation D Notice Filings: 3				

**WI Securities Law and Franchise Law Filings
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Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Snap-on Tools Company LLC / Snap-on Tools	Kenosha, WI	2/14/2014	606872	Registered
Ski's Meat Market Franchising, Inc.	Stevens Point, WI	2/7/2014	606857	Registered
Number of Franchise Filings: 2				