

**WI Securities Law and Franchise Law Filings
for 1/20/2014 through 1/31/2014**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TR FOR PROFESSIONAL MANAGERS SMEAD VALUE FUND CLASS A SHARES	MILWAUKEE, WI	1/21/2014	00695771-03	Registered
Number of Investment Company Filings: 1				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
DOCK TECHNOLOGIES INC	MADISON, WI	1/21/2014	00695789-07	Filed
Number of Regulation D Notice Filings: 1				