

**WI Securities Law and Franchise Law Filings
for 1/13/2014 through 1/24/2014**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESTMENT MANAGERS SERIES TR 361 GLOBAL MANAGED FUTURES STRATEGY FUND CLASS I	MILWAUKEE, WI	1/17/2014	00695333-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR 361 GLOBAL MANAGED FUTURES STRATEGY FUND CLASS A	MILWAUKEE, WI	1/17/2014	00695332-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR TOROSO NEWFOUND TACTICAL ALLOCATION FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	1/16/2014	00695307-03	Registered
INVESTMENT MANAGERS SERIES TR TOROSO NEWFOUND TACTICAL ALLOCATION FUND CLASS C SHARES	MILWAUKEE, WI	1/16/2014	00695306-03	Registered
INVESTMENT MANAGERS SERIES TR TOROSO NEWFOUND TACTICAL ALLOCATION FUND CLASS A SHARES	MILWAUKEE, WI	1/16/2014	00695305-03	Registered
INVESTMENT MANAGERS SERIES TR ZACKS DIVIDEND STRATEGY FUND INVESTOR CLASS SHARES	MILWAUKEE, WI	1/13/2014	00694486-03	Pending-Cleared
Number of Investment Company Filings: 6				

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Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BRANDBUMPS MARKETING GROUP INC	MADISON, WI	1/13/2014	00694498-07	Filed
Number of Regulation D Notice Filings: 1				