

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/23/2013 through 1/3/2014**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PINE GROVE ALTERNATIVE INSTITUTIONAL FUND	SUMMIT, NJ	12/31/2013	00689878-01	Incomplete
<b>Number of Registration Filings: 1</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GREAT WEST FUNDS INC GREAT WEST ARIEL MID CAP VALUE FUND CLASS L	GREENWOOD VILLAGE, CO	12/23/2013	00692279-03	Registered
<b>Number of Investment Company Filings: 1</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Regulation D Notice Filings: 0</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Massage Retreat & Spa Franchise Company L.L.C. / Massage Retreat & Spa and Massage Refresh & Spa	New Hope, MN	1/3/2014	606765	Registered
REALTY EXECUTIVES INTERNATIONAL INC / Realty Executives	PHOENIX, AZ	1/3/2014	606757	Registered
SB Acquisition, LLC / Pizza Pit	Monona, WI	12/31/2013	606742	Registered

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Peak Franchising, Inc. / Max Muscle (Regional Director)	Orange, CA	12/30/2013	606740	Registered
Peak Franchising, Inc. / Max Muscle (Unit)	Orange, CA	12/30/2013	606739	Registered
Compass Group USA, Inc. by and through its Vendlink Vending Services division / Vendlink; Vendlink Vending Services	Charlotte, NC	12/30/2013	606738	Registered
HARDEES Restaurant, LLC / Anaheim	ST LOUIS, MO	12/27/2013	606761	Registered
HARDEES Restaurant, LLC / Red Burrito	ST LOUIS, MO	12/27/2013	606763	Registered
HARDEES Restaurant, LLC / St. Louis	ST LOUIS, MO	12/27/2013	606762	Registered
CARL'S JR. RESTAURANTS, LLC / Carl's Jr./Green Burrito	CARPINTERIA, CA	12/26/2013	606758	Registered
CARL'S JR. RESTAURANTS, LLC / Carl's Jr./Green Burrito	CARPINTERIA, CA	12/26/2013	606760	Registered
FIBRENEW USA LTD / FIBRENEW	Black Diamond, AB	12/26/2013	606759	Registered
Qdoba Restaurant Corporation / "Qdoba Mexican Grill®"	Wheat Ridge, CO	12/24/2013	606737	Registered
Sonic Franchising LLC / Sonic Drive-In -Sonic Franchising LLC Number 7 License Agreement	Oklahoma City, OK	12/23/2013	606735	Registered
Compass Group USA, Inc. by and through its Canteen Vending Services Division / Canteen Vending Services	Charlotte, NC	12/23/2013	606733	Registered
Xtend Holdings, LLC	Boca Raton, FL	12/23/2013	606732	Registered
Luby's Fuddruckers Restaurants, LLC / Fuddruckers	Houston, TX	12/23/2013	606750	Registered
<b>Number of Franchise Filings: 17</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	