

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 12/16/2013 through 12/27/2013**

| Securities Law Registration Filings Made with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| MOUNT EBENEZER MISSIONARY BAPTIST CHURCH | CHICAGO, IL | 12/17/2013 | 00689543-02 | Pending-Cleared |
| Number of Registration Filings: 1 | | | | |

| Securities Law Exemption Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Exemption Filings: 0 | | | | |

| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-------------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| ADVISERS INVESTMENT TR JOHCM EMERGING MARKETS OPPORTUNITIES FUND CLASS 2 SHARES | COLUMBUS, OH | 12/20/2013 | 00689854-03 | Registered |
| ADVISERS INVESTMENT TR JOHCM GLOBAL EQUITY FUND INSTITUTIONAL SHARES | COLUMBUS, OH | 12/20/2013 | 00689855-03 | Registered |
| ADVISERS INVESTMENT TR JOHCM INTERNATIONAL SMALL CAP EQUITY FUND CLASS 2 SHARES | COLUMBUS, OH | 12/20/2013 | 00689856-03 | Registered |
| FEDERATED EQUITY FUNDS FEDERATED KAUFMANN LARGE CAP FUND CLASS R6 SHARES | WARRENDALE, PA | 12/19/2013 | 00689853-03 | Pending-Cleared |
| ALLIANZ FUNDS ALLIANZGI NFJ DIVIDEND VALUE FUND CLASS R6 | NEW YORK, NY | 12/17/2013 | 00689813-03 | Registered |
| ALLIANZ FUNDS ALLIANZGI NFJ INTERNATIONAL VALUE FUND CLASS R6 | NEW YORK, NY | 12/17/2013 | 00689814-03 | Registered |

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| ALLIANZ FUNDS ALLIANZGI NFJ SMALL CAP VALUE FUND CLASS R6 | NEW YORK, NY | 12/17/2013 | 00689812-03 | Registered |
| ADVISORS DISCIPLINED TR 1150 ADVISORS CORPORATE TR NAVELLIER DIAL HIGH INCOME OPPORTUNITIES PORTFOLIO SERIES 79 | MONUMENT, CO | 12/16/2013 | 00689450-05 | Pending-Cleared |
| ADVISORS DISCIPLINED TR 1170 BLUE CHIP COVERED CALL PORTFOLIO SERIES 2013 4Q | MONUMENT, CO | 12/16/2013 | 00689451-05 | Pending-Cleared |
| COHEN & STEERS MLP & ENERGY OPPORTUNITY FUND INC CLASS A | NEW YORK, NY | 12/16/2013 | 00689499-03 | Pending-Cleared |
| COHEN & STEERS MLP & ENERGY OPPORTUNITY FUND INC CLASS C | NEW YORK, NY | 12/16/2013 | 00689500-03 | Pending-Cleared |
| COHEN & STEERS MLP & ENERGY OPPORTUNITY FUND INC CLASS I | NEW YORK, NY | 12/16/2013 | 00689501-03 | Pending-Cleared |
| COHEN & STEERS MLP & ENERGY OPPORTUNITY FUND INC CLASS Z | NEW YORK, NY | 12/16/2013 | 00689502-03 | Pending-Cleared |
| FT 4524 BALANCED INCOME PORTFOLIO SERIES 42 | WHEATON, IL | 12/16/2013 | 00689453-05 | Pending-Cleared |
| FT 4525 DIVIDEND STRENGTH PORTFOLIO SERIES 21 | WHEATON, IL | 12/16/2013 | 00689440-05 | Pending-Cleared |
| FT 4526 GLOBAL DEEP VALUE SUB 10 DIVIDEND PORTFOLIO SERIES 2 | WHEATON, IL | 12/16/2013 | 00689447-05 | Pending-Cleared |
| FT 4527 STRATEGIC DIVIDEND SELECT PORTFOLIO SERIES 12 | WHEATON, IL | 12/16/2013 | 00689448-05 | Pending-Cleared |
| FT 4529 EQUITY INCOME SELECT PORTFOLIO SERIES 38 | WHEATON, IL | 12/16/2013 | 00689454-05 | Pending-Cleared |

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| FT 4531 HEALTH CARE SELECT PORTFOLIO SERIES 31 | WHEATON, IL | 12/16/2013 | 00689437-05 | Pending-Cleared |
| FT 4532 SABDRIENT WEAK TREASURY PORTFOLIO SERIES 2 | WHEATON, IL | 12/16/2013 | 00689435-05 | Pending-Cleared |
| FT 4533 RICHARD BERNSTEIN ADVISORS TACTICAL SERIES JAPAN OPPORTUNITIES PORTFOLIO 2013 2 | WHEATON, IL | 12/16/2013 | 00689436-05 | Pending-Cleared |
| FT 4534 GLOBAL DIVIDEND PORTFOLIO SERIES 36 | WHEATON, IL | 12/16/2013 | 00689442-05 | Pending-Cleared |
| FT 4551 LONGS PEAK PORTFOLIO SERIES 7 | WHEATON, IL | 12/16/2013 | 00689439-05 | Pending-Cleared |
| FT 4553 SENIOR LOAN CLOSED END & ETF PORTFOLIO SERIES 2 | WHEATON, IL | 12/16/2013 | 00689444-05 | Pending-Cleared |
| FT 4557 HOMEBUILDERS RECOVERY SELECT PORTFOLIO SERIES 6 | WHEATON, IL | 12/16/2013 | 00689452-05 | Pending-Cleared |
| FT 4558 FINANCIALS SELECT PORTFOLIO SERIES 45 | WHEATON, IL | 12/16/2013 | 00689434-05 | Pending-Cleared |
| FT 4560 EUROPEAN DEEP VALUE SUB 10 DIVIDEND PORTFOLIO SERIES 8 | WHEATON, IL | 12/16/2013 | 00689443-05 | Pending-Cleared |
| FT 4565 INTEREST RATE HEDGE PORTFOLIO SERIES 79 | WHEATON, IL | 12/16/2013 | 00689441-05 | Registered |
| FT 4598 HIGH YIELD INCOME CLOSED END PORTFOLIO SERIES 61 | WHEATON, IL | 12/16/2013 | 00689438-05 | Pending-Cleared |
| GLENMEDE FUND INC LONG SHORT PORTFOLIO | BOSTON, MA | 12/16/2013 | 00689503-03 | Registered |
| GLENMEDE FUND INC TOTAL MARKET PORTFOLIO | BOSTON, MA | 12/16/2013 | 00689504-03 | Registered |

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| Firm Name | Location | Date Received | File Number | Status |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 1089 GUGGENHEIM SHORT DURATION HIGH YIELD TR SERIES 31 | LISLE, IL | 12/16/2013 | 00689445-05 | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 1115 DOW JONES VALUE DIVIDEND FOCUS PORTFOLIO SERIES 15 | LISLE, IL | 12/16/2013 | 00689455-05 | Pending-Cleared |
| INVESTMENT MANAGERS SERIES TR ZACKS SMALL CAP CORE FUND CLASS C | MILWAUKEE, IL | 12/16/2013 | 00689457-03 | Pending-Cleared |
| OPPENHEIMER CAPITAL INCOME FUND CLASS I | DENVER, CO | 12/16/2013 | 00689472-03 | Pending-Cleared |
| SMART TR MORNINGSTAR DIVIDEND YIELD FOCUS TR SERIES 4 | PARSIPPANY, NJ | 12/16/2013 | 00689446-05 | Pending-Cleared |
| SMART TR STRATEGIC GROWTH & INCOME TR SERIES 4 | PARSIPPANY, NJ | 12/16/2013 | 00689449-05 | Pending-Cleared |
| Number of Investment Company Filings: 37 | | | | |

| Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division | | | | |
|---|-------------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| AMICI QUALIFIED ASSOC LP | NEW YORK, NY | 12/16/2013 | 00689460-07 | Filed |
| HOPKINS GOLF INC | NEWPORT BEACH, CA | 12/16/2013 | 00689462-07 | Filed |
| MFR PARTNERS 15 LLC | WAYZATA, MN | 12/16/2013 | 00689463-07 | Filed |
| NOBLE ROYALTY ACCESS FUND 15 LP | ADDISON, TX | 12/16/2013 | 00689461-07 | Filed |
| TACTICAL WEALTH FIXED INCOME FUND LP | HUNTERSVILLE, NC | 12/16/2013 | 00689458-07 | Filed |
| ZEPPELINN ENERGY LP | SAN ANTONIO, TX | 12/16/2013 | 00689459-07 | Filed |
| Number of Regulation D Notice Filings: 6 | | | | |

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| Franchise Law Filings Made with DFI's Securities Division | | | | |
|---|-------------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| Qdoba Restaurant Corporation / "Qdoba Mexican Grill®" | Wheat Ridge, CO | 12/24/2013 | 606737 | Registered |
| Sonic Franchising LLC / Sonic Drive-In -Sonic Franchising LLC Number 7 License Agreement | Oklahoma City, OK | 12/23/2013 | 606735 | Registered |
| Compass Group USA, Inc. by and through its Canteen Vending Services Division / Canteen Vending Services | Charlotte, NC | 12/23/2013 | 606733 | Registered |
| Xtend Holdings, LLC | Boca Raton, FL | 12/23/2013 | 606732 | Registered |
| Priceless Rent-A-Car, LLC / Priceless Car and Truck Rental | Kensington, MD | 12/20/2013 | 606729 | Registered |
| Jack in the Box Inc. / Jack in the Box | San Diego, CA | 12/20/2013 | 606727 | Registered |
| SANDLER SYSTEMS INC / SANDLER TRAINING | Owings Mills, MD | 12/19/2013 | 606725 | Registered |
| Mac Tools | Westerville, OH | 12/17/2013 | 606728 | Registered |
| Bundy American, LLC / Rent-A-Wreck | Kensington, MD | 12/16/2013 | 606719 | Registered |
| COTTMAN TRANSMISSION SYSTEMS, LLC | HORSHAM, PA | 12/16/2013 | 606721 | Registered |
| Number of Franchise Filings: 10 | | | | |

| Corporate Take-Over Law Filings Made with DFI's Securities Division | |
|--|----------------------|
| Takeover Filing Reference | Date Received |
| Number of Takeover Filings: 0 | |