

**WI Securities Law and Franchise Law Filings
for 12/2/2013 through 12/13/2013**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
STEBEN SELECT MULTI STRATEGY FUND	MILWAUKEE, WI	12/3/2013	00689030-01	Pending
BMO LLOYD GEORGE FRONTIER MARKETS EQUITY FUND INVESTOR CLASS	MILWAUKEE, WI	12/2/2013	00688840-01	Pending
Number of Registration Filings: 2				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TR FOR PROFESSIONAL MANAGERS CONVERGENCE OPORTUNITIES FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/3/2013	00689031-03	Registered
INVESTMENT MANAGERS SERIES TR CEDAR RIDGE UNCONSTRAINED CREDIT FUND INVESTOR CLASS	MILWAUKEE, WI	12/2/2013	00688842-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CEDAR RIDGE UNCONSTRAINED CREDIT FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/2/2013	00688841-03	Pending-Cleared
Number of Investment Company Filings: 3				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

**WI Securities Law and Franchise Law Filings
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Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
PAR FOOD SERVICE, INC. / GEORGE WEBB RESTAURANTS	WAUKESHA, WI	12/9/2013	606700	Registered
Number of Franchise Filings: 1				