

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 12/2/2013 through 12/13/2013**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN BAPTIST EXTENSION CORP	KING OF PRUSSA, PA	12/3/2013	00688043-02	Registered
AMERICAN BAPTIST EXTENSION CORP	KNG OF PRUSSA, PA	12/3/2013	00689038-02	Pending
STEBEN SELECT MULTI STRATEGY FUND	MILWAUKEE, WI	12/3/2013	00689030-01	Pending
BMO LLOYD GEORGE FRONTIER MARKETS EQUITY FUND INVESTOR CLASS	MILWAUKEE, WI	12/2/2013	00688840-01	Pending
BOARD OF CHURCH EXTENSION OF DISCIPLES OF CHRIST INC	INDIANAPOLIS, IN	12/2/2013	00688849-02	Pending
Number of Registration Filings: 5				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NATIONAL RURAL UTILITIES COOPERATIVE FINANCE CORP	DULLES, VA	12/3/2013	00689037-08	Not Disallowed
Number of Exemption Filings: 1				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2045 FUND CLASS N	BOSTON, MA	12/4/2013	00688034-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2035 FUND CLASS L	BOSTON, MA	12/4/2013	00688041-03	Registered

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FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2005 FUND CLASS N	BOSTON, MA	12/4/2013	00688022-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2010 FUND CLASS N	BOSTON, MA	12/4/2013	00688028-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2050 FUND CLASS L	BOSTON, MA	12/4/2013	00688036-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2020 FUND CLASS L	BOSTON, MA	12/4/2013	00688025-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2020 FUND CLASS N	BOSTON, MA	12/4/2013	00688027-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2030 FUND CLASS N	BOSTON, MA	12/4/2013	00688033-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2005 FUND CLASS L	BOSTON, MA	12/4/2013	00688021-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2015 FUND CLASS N	BOSTON, MA	12/4/2013	00688024-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2035 FUND CLASS N	BOSTON, MA	12/4/2013	00688035-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2025 FUND CLASS N	BOSTON, MA	12/4/2013	00688030-03	Registered

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FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2050 FUND CLASS N	BOSTON, MA	12/4/2013	00688038-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2015 FUND CLASS L	BOSTON, MA	12/4/2013	00688026-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER INCOME FUND CLASS L	BOSTON, MA	12/4/2013	00688019-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2045 FUND CLASS L	BOSTON, MA	12/4/2013	00688032-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2055 FUND CLASS L	BOSTON, MA	12/4/2013	00688040-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2025 FUND CLASS L	BOSTON, MA	12/4/2013	00688029-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2030 FUND CLASS L	BOSTON, MA	12/4/2013	00688037-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2055 FUND CLASS N	BOSTON, MA	12/4/2013	00688042-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2010 FUND CLASS L	BOSTON, MA	12/4/2013	00688023-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER INCOME FUND CLASS N	BOSTON, MA	12/4/2013	00688020-03	Registered

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FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2040 FUND CLASS N	BOSTON, MA	12/4/2013	00688031-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2040 FUND CLASS L	BOSTON, MA	12/4/2013	00688039-03	Registered
GEORGE PUTNAM BALANCED FUND CLASS R5	BOSTON, MA	12/4/2013	00688018-03	Registered
GEORGE PUTNAM BALANCED FUND CLASS R6	BOSTON, MA	12/4/2013	00688017-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS A SHARES	BOSTON, MA	12/4/2013	00687997-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS B SHARES	BOSTON, MA	12/4/2013	00687999-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS C SHARES	BOSTON, MA	12/4/2013	00688001-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS I SHARES	BOSTON, MA	12/4/2013	00687998-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS R1 SHARES	BOSTON, MA	12/4/2013	00688000-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS R2 SHARES	BOSTON, MA	12/4/2013	00688002-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS R3 SHARES	BOSTON, MA	12/4/2013	00688003-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS R4 SHARES	BOSTON, MA	12/4/2013	00688005-03	Registered

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Firm Name	Location	Date Received	File Number	Status
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS R5 SHARES	BOSTON, MA	12/4/2013	00688013-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS R2 SHARES	BOSTON, MA	12/4/2013	00688008-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS B SHARES	BOSTON, MA	12/4/2013	00688011-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS A SHARES	BOSTON, MA	12/4/2013	00688007-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS C SHARES	BOSTON, MA	12/4/2013	00688009-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS R3 SHARES	BOSTON, MA	12/4/2013	00688010-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS R5 SHARES	BOSTON, MA	12/4/2013	00688014-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS R1 SHARES	BOSTON, MA	12/4/2013	00688006-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS I SHARES	BOSTON, MA	12/4/2013	00688004-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS R4 SHARES	BOSTON, MA	12/4/2013	00688012-03	Registered
PUTNAM FUNDS TR PUTNAM INTERNATIONAL VALUE FUND CLASS R5	BOSTON, MA	12/4/2013	00688016-03	Registered
PUTNAM FUNDS TR PUTNAM INTERNATIONAL VALUE FUND CLASS R6	BOSTON, MA	12/4/2013	00688015-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ALLIANZGI MANAGED ACCOUNTS TR FIXED INCOME SHARES SERIES LD	NEW YORK, NY	12/3/2013	00687983-03	Registered
NORTHERN FUNDS MULTI MANAGER EMERGING MARKETS DEBT OPPORTUNITY FUND	CHICAGO, IL	12/3/2013	00687984-03	Registered
TR FOR PROFESSIONAL MANAGERS CONVERGENCE OPORTUNITIES FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/3/2013	00689031-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI BEST STYLES GLOBAL EQUITY FUND CLASS R6	NEW YORK, NY	12/2/2013	00687977-03	Registered
ALPINE INCOME TR ALPINE HIGH YIELD MANAGED DURATION MUNICIPAL FUND CLASS A	PURCHASE, NY	12/2/2013	00688834-03	Registered
ALPINE INCOME TR ALPINE HIGH YIELD MANAGED DURATION MUNICIPAL FUND INSTITUTIONAL CLASS	PURCHASE, NY	12/2/2013	00688835-03	Registered
FT 4497 RICHARD BERNSTEIN ADVISORS GLOBAL DIVIDEND KINGS SERIES 8	WHEATON, IL	12/2/2013	00688844-05	Pending-Cleared
FT 4508 PREFERRED INCOME PORTFOLIO SERIES 65	WHEATON, IL	12/2/2013	00688816-05	Pending-Cleared
FT 4514 ETF GROWTH & INCOME DEC 13	WHEATON, IL	12/2/2013	00688845-05	Pending-Cleared
FT 4515 INTERNATIONAL HIGH DIVIDEND EQUITY PORTFOLIO SERIES 14	WHEATON, IL	12/2/2013	00688820-05	Pending-Cleared
FT 4516 ALTERNATIVE INCOME PORTFOLIO SERIES 3	WHEATON, IL	12/2/2013	00688847-05	Pending-Cleared
FT 4517 NORTH AMERICAN SHALE PORTFOLIO SERIES 4	WHEATON, IL	12/2/2013	00688817-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 4518 DIVIDEND INCOME PLUS PORTFOLIO SERIES 12	WHEATON, IL	12/2/2013	00688821-05	Pending-Cleared
FT 4540 BALANCED INCOME EQUITY & ETF PORTFOLIO SERIES 7	WHEATON, IL	12/2/2013	00688818-05	Pending-Cleared
FT 4541 JANUARY EFFECT PLUS PORTFOLIO SERIES 3	WHEATON, IL	12/2/2013	00688824-05	Pending-Cleared
FT 4542 LTD DURATION & HIGH YIELD FIXED INCOME ETF PORTFOLIO ETF PORTFOLIO SERIES 2	WHEATON, IL	12/2/2013	00688846-05	Pending-Cleared
FT 4546 EQUITY CLOSED END PORTFOLIO SERIES 29	WHEATON, IL	12/2/2013	00688843-05	Pending-Cleared
FT 4549 DOW TARGET 10 DEC 13 TERM 1/19/15	WHEATON, IL	12/2/2013	00688819-05	Pending-Cleared
FT 4552 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 12	WHEATON, IL	12/2/2013	00688848-05	Pending-Cleared
FT 4554 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 3	WHEATON, IL	12/2/2013	00688825-05	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS FIXED INCOME MACRO STRATEGIES FUND CLASS IR	CHICAGO, IL	12/2/2013	00687982-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS FIXED INCOME MACRO STRATEGIES FUND CLASS A SHARES	CHICAGO, IL	12/2/2013	00687978-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS FIXED INCOME MACRO STRATEGIES FUND CLASS R SHARES	CHICAGO, IL	12/2/2013	00687981-03	Pending-Cleared

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GOLDMAN SACHS TR GOLDMAN SACHS FIXED INCOME MACRO STRATEGIES FUND CLASS C SHARES	CHICAGO, IL	12/2/2013	00687979-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS FIXED INCOME MACRO STRATEGIES FUND INSTITUTIONAL SHARES	CHICAGO, IL	12/2/2013	00687980-03	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1110 INCOME & TREASURY LTD DURATION PORTFOLIO OF FUNDS TERM 3/6/15 SERIES 32	LISLE, IL	12/2/2013	00688822-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1111 DIVERSIFIED INCOME WAVE PORTFOLIO 18 MONTH SERIES 42	LISLE, IL	12/2/2013	00688823-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CEDAR RIDGE UNCONSTRAINED CREDIT FUND INVESTOR CLASS	MILWAUKEE, WI	12/2/2013	00688842-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CEDAR RIDGE UNCONSTRAINED CREDIT FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	12/2/2013	00688841-03	Pending-Cleared
THORNBURG INVESTMENT TR THORNBURG LOW DURATION BOND FUND CLASS A	SANTA FE, NM	12/2/2013	00689029-03	Pending-Cleared
THORNBURG INVESTMENT TR THORNBURG LOW DURATION BOND FUND CLASS I	SANTA FE, NM	12/2/2013	00689028-03	Pending-Cleared
THORNBURG INVESTMENT TR THORNBURG LOW DURATION MUNICIPAL FUND CLASS I	SANTA FE, NM	12/2/2013	00689026-03	Pending-Cleared
THORNBURG INVESTMENT TR THORNBURG LOW DURATION MUNICIPAL FUND CLASS A	SANTA FE, NM	12/2/2013	00689027-03	Pending-Cleared
Number of Investment Company Filings: 79				

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BLUESPRUCE FUND LP	CHICAGO, IL	12/3/2013	00689036-07	Filed
KILTEARN GLOBAL EQUITY FUND	PITTSBURGH, PA	12/3/2013	00689034-07	Filed
LANDMARK EQUITY PARTNERS 15 ASP FUND A SERIES OF ALTERNATIVE STRATEGIES PRIVATE EQUITY PLATFORM LLC	CHARLOTTE, NC	12/3/2013	00689032-07	Filed
MIDWEST AGENERGY GROUP LLC	BISMARCK, ND	12/3/2013	00689035-07	Filed
NEUVOKAS CORP	CALUMET, MI	12/3/2013	00689033-07	Filed
DESTINATION GROUP LLC	WAYNESBORO, PA	12/2/2013	00688839-07	Filed
METRO ENERGY SOLAR & GEOTHERMAL 2011	NEWPORT BEACH, CA	12/2/2013	00688815-07	Filed
Number of Regulation D Notice Filings: 7				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Valvoline Instant Oil Change Franchising Inc.	Lexington, KY	12/9/2013	606695	Registered
PAR FOOD SERVICE, INC. / GEORGE WEBB RESTAURANTS	WAUKESHA, WI	12/9/2013	606700	Registered
PREMIER RENTAL PURCHASE INC	WILLIAMSBURG, VA	12/9/2013	606701	Registered
GolfTEC Franchising LLC / GolfTEC	Centennial, CO	12/6/2013	606694	Registered
MVP Motorcyle Value Program, Inc. / MVP Motorcycle Value Program	St. Paul, MN	12/6/2013	606693	Registered
First General Services United States Inc	Fort Lauderdale, FL	12/6/2013	606698	Registered
Lexington Franchising Inc / Lexington Hotel Lexington Inn	Coral Springs, FL	12/6/2013	606699	Registered
Learning Express, Inc. / Learning Express	Devens, MA	12/5/2013	606689	Registered
Creative Colors International, Inc. / Creative Colors International	Mokena, IL	12/5/2013	606684	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
REAL LIVING REAL ESTATE, LLC	Irvine, CA	12/4/2013	606692	Registered
Number of Franchise Filings: 10				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	