

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/25/2013 through 12/6/2013**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AMERICAN BAPTIST EXTENSION CORP	KNG OF PRUSSA, PA	12/3/2013	00688043-02	Pending
UNITED CHURCH OF CHRIST CORNERSTONE FUND INC	CLEVELAND, OH	11/26/2013	00687903-02	Registered
UNITED CHURCH OF CHRIST CORNERSTONE FUND INC	CLEVELAND, OH	11/26/2013	00687902-02	Registered
Number of Registration Filings: 3				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2020 FUND CLASS L	BOSTON, MA	12/4/2013	00688025-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2040 FUND CLASS N	BOSTON, MA	12/4/2013	00688031-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2035 FUND CLASS L	BOSTON, MA	12/4/2013	00688041-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2030 FUND CLASS N	BOSTON, MA	12/4/2013	00688033-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2010 FUND CLASS N	BOSTON, MA	12/4/2013	00688028-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2015 FUND CLASS N	BOSTON, MA	12/4/2013	00688024-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2025 FUND CLASS N	BOSTON, MA	12/4/2013	00688030-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2055 FUND CLASS L	BOSTON, MA	12/4/2013	00688040-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2020 FUND CLASS N	BOSTON, MA	12/4/2013	00688027-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2045 FUND CLASS N	BOSTON, MA	12/4/2013	00688034-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2040 FUND CLASS L	BOSTON, MA	12/4/2013	00688039-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2005 FUND CLASS N	BOSTON, MA	12/4/2013	00688022-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER INCOME FUND CLASS L	BOSTON, MA	12/4/2013	00688019-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2030 FUND CLASS L	BOSTON, MA	12/4/2013	00688037-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2010 FUND CLASS L	BOSTON, MA	12/4/2013	00688023-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2050 FUND CLASS L	BOSTON, MA	12/4/2013	00688036-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2015 FUND CLASS L	BOSTON, MA	12/4/2013	00688026-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2025 FUND CLASS L	BOSTON, MA	12/4/2013	00688029-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2045 FUND CLASS L	BOSTON, MA	12/4/2013	00688032-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2005 FUND CLASS L	BOSTON, MA	12/4/2013	00688021-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2035 FUND CLASS N	BOSTON, MA	12/4/2013	00688035-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2055 FUND CLASS N	BOSTON, MA	12/4/2013	00688042-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER INCOME FUND CLASS N	BOSTON, MA	12/4/2013	00688020-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2050 FUND CLASS N	BOSTON, MA	12/4/2013	00688038-03	Registered

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Firm Name	Location	Date Received	File Number	Status
GEORGE PUTNAM BALANCED FUND CLASS R5	BOSTON, MA	12/4/2013	00688018-03	Registered
GEORGE PUTNAM BALANCED FUND CLASS R6	BOSTON, MA	12/4/2013	00688017-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS A SHARES	BOSTON, MA	12/4/2013	00687997-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS B SHARES	BOSTON, MA	12/4/2013	00687999-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS C SHARES	BOSTON, MA	12/4/2013	00688001-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS I SHARES	BOSTON, MA	12/4/2013	00687998-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS R1 SHARES	BOSTON, MA	12/4/2013	00688000-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS R2 SHARES	BOSTON, MA	12/4/2013	00688002-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS R3 SHARES	BOSTON, MA	12/4/2013	00688003-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS R4 SHARES	BOSTON, MA	12/4/2013	00688005-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY EQUITY FUND CLASS R5 SHARES	BOSTON, MA	12/4/2013	00688013-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS I SHARES	BOSTON, MA	12/4/2013	00688004-03	Registered

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Firm Name	Location	Date Received	File Number	Status
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS A SHARES	BOSTON, MA	12/4/2013	00688007-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS R1 SHARES	BOSTON, MA	12/4/2013	00688006-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS R4 SHARES	BOSTON, MA	12/4/2013	00688012-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS B SHARES	BOSTON, MA	12/4/2013	00688011-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS R3 SHARES	BOSTON, MA	12/4/2013	00688010-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS R2 SHARES	BOSTON, MA	12/4/2013	00688008-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS R5 SHARES	BOSTON, MA	12/4/2013	00688014-03	Registered
MFS SERIES TR I MFS LOW VOLATILITY GLOBAL EQUITY FUND CLASS C SHARES	BOSTON, MA	12/4/2013	00688009-03	Registered
PUTNAM FUNDS TR PUTNAM INTERNATIONAL VALUE FUND CLASS R5	BOSTON, MA	12/4/2013	00688016-03	Registered
PUTNAM FUNDS TR PUTNAM INTERNATIONAL VALUE FUND CLASS R6	BOSTON, MA	12/4/2013	00688015-03	Registered
ALLIANZGI MANAGED ACCOUNTS TR FIXED INCOME SHARES SERIES LD	NEW YORK, NY	12/3/2013	00687983-03	Registered
NORTHERN FUNDS MULTI MANAGER EMERGING MARKETS DEBT OPPORTUNITY FUND	CHICAGO, IL	12/3/2013	00687984-03	Registered

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Firm Name	Location	Date Received	File Number	Status
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI BEST STYLES GLOBAL EQUITY FUND CLASS R6	NEW YORK, NY	12/2/2013	00687977-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS FIXED INCOME MACRO STRATEGIES FUND INSTITUTIONAL SHARES	CHICAGO, IL	12/2/2013	00687980-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS FIXED INCOME MACRO STRATEGIES FUND CLASS C SHARES	CHICAGO, IL	12/2/2013	00687979-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS FIXED INCOME MACRO STRATEGIES FUND CLASS IR	CHICAGO, IL	12/2/2013	00687982-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS FIXED INCOME MACRO STRATEGIES FUND CLASS A SHARES	CHICAGO, IL	12/2/2013	00687978-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS FIXED INCOME MACRO STRATEGIES FUND CLASS R SHARES	CHICAGO, IL	12/2/2013	00687981-03	Pending-Cleared
BROADVIEW FUNDS TR BROADVIEW OPPORTUNITY FUND	MILWAUKEE, WI	11/29/2013	00687974-03	Registered
FINANCIAL INVESTORS TR ALPS REAL ASSET INCOME FUND CLASS A	DENVER, CO	11/29/2013	00687967-03	Pending-Cleared
FINANCIAL INVESTORS TR ALPS REAL ASSET INCOME FUND CLASS C	DENVER, CO	11/29/2013	00687968-03	Pending-Cleared
FINANCIAL INVESTORS TR ALPS REAL ASSET INCOME FUND CLASS I	DENVER, CO	11/29/2013	00687969-03	Pending-Cleared

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JP MORGAN MUTUAL FUND INVESTMENT TR JPMORGAN GROWTH ADVANTAGE FUND CLASS R6 SHARES	BOSTON, MA	11/29/2013	00687976-03	Registered
JPMORGAN TR I JPMORGAN EMERGING MARKETS EQUITY FUND CLASS R6 SHARES	BOSTON, MA	11/29/2013	00687975-03	Registered
RBC FUNDS TR RBC BLUEBAY ABSOLUTE RETURN FUND CLASS A	MINNEAPOLIS, MN	11/29/2013	00687973-03	Registered
RBC FUNDS TR RBC BLUEBAY EMERGING MARKET CORPORATE BOND FUND CLASS A	MINNEAPOLIS, MN	11/29/2013	00687970-03	Registered
RBC FUNDS TR RBC BLUEBAY EMERGING MARKET SELECT BOND FUND CLASS A	MINNEAPOLIS, MN	11/29/2013	00687972-03	Registered
RBC FUNDS TR RBC BLUEBAY GLOBAL HIGH YIELD BOND FUND CLASS A	MINNEAPOLIS, MN	11/29/2013	00687971-03	Registered
FEDERATED EQUITY FUNDS FEDERATED MANAGED RISK FUND INSTITUTIONAL SHARES	WARRENDALE, PA	11/27/2013	00687961-03	Pending-Cleared
FEDERATED EQUITY FUNDS FEDERATED MANAGED RISK FUND CLASS A SHARES	WARRENDALE, PA	11/27/2013	00687962-03	Pending-Cleared
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN EMERGING MARKETS CORPORATE BOND FUND ADVISER CLASS	LOS ANGELES, CA	11/26/2013	00687835-03	Registered
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN EMERGING MARKETS CORPORATE BOND FUND INVESTOR CLASS	LOS ANGELES, CA	11/26/2013	00687832-03	Registered

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PAYDEN & RYGEL INVESTMENT GROUP PAYDEN FLOATING RATE FUND ADVISOR CLASS	LOS ANGELES, CA	11/26/2013	00687834-03	Registered
PAYDEN & RYGEL INVESTMENT GROUP PAYDEN FLOATING RATE FUND INVESTOR CLASS	LOS ANGELES, CA	11/26/2013	00687833-03	Registered
ADVISORS DISCIPLINED TR 1149 DIVIDEND SUSTAINABILITY PORTFOLIO SERIES 2013 1	MONUMENT, CO	11/25/2013	00687814-05	Pending-Cleared
FT 4513 HIGH DIVIDEND EMERGING MARKETS EQUITY PORTFOLIO SERISE 6	WHEATON, IL	11/25/2013	00687820-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1105 DIVIDEND STRENGTH PORTFOLIO SERIES 11	LISLE, IL	11/25/2013	00687817-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1105 DIVIDEND GROWTH PORTFOLIO SERIES 4	LISLE, IL	11/25/2013	00687818-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1105 MERGERS & ACQUISITIONS PORTFOLIO SERIES 2	LISLE, IL	11/25/2013	00687816-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1106 FLOATING RATE & DIVIDEND GROWTH PORTFOLIO SERIES 3	LISLE, IL	11/25/2013	00687819-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1109 ALL CAP GROWTH PORTFOLIO SERIES 4	LISLE, IL	11/25/2013	00687813-05	Pending-Cleared
INVESCO UNIT TR SERIES 1181 INSURED MUNICIPALS INCOME TR SERIES 670	DOWNERS GROVE, IL	11/25/2013	00687828-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR SERIES 1182 INSURED MUNICIPALS INCOME TR SERIES 671	DOWNERS GROVE, IL	11/25/2013	00687829-05	Pending-Cleared
INVESCO UNIT TR SERIES 1393 CLOSED END COVERED CALL INCOME PORTFOLIO 2014 1	DOWNERS GROVE, IL	11/25/2013	00687825-05	Pending-Cleared
INVESCO UNIT TR SERIES 1393 CLOSED END STRATEGY MASTER INCOME PORTFOLIO SERIES 38	DOWNERS GROVE, IL	11/25/2013	00687826-05	Pending-Cleared
INVESCO UNIT TR SERIES 1393 CLOSED END STRATEGY MASTER MUNICIPAL INCOME PORTFOLIO SERIES 34	DOWNERS GROVE, IL	11/25/2013	00687827-05	Pending-Cleared
INVESCO UNIT TR SERIES 1393 CLOSED END STRATEGY VALUE EQUITY & INCOME PORTFOLIO 2014 1	DOWNERS GROVE, IL	11/25/2013	00687824-05	Pending-Cleared
INVESCO UNIT TR SERIES 1395 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 50	DOWNERS GROVE, IL	11/25/2013	00687830-05	Pending-Cleared
INVESCO UNIT TR SERIES 1396 DIVIDEND INCOME & VALUE PORTFOLIO 2014 1	DOWNERS GROVE, IL	11/25/2013	00687831-05	Pending-Cleared
SMARTTR ADELANTE REIT GROWTH & INCOME TR SERIES 3	PARSIPPANY, NJ	11/25/2013	00687815-05	Pending-Cleared
SMARTTR TX FR INCOME TR SERIES 3	PARSIPPANY, NJ	11/25/2013	00687812-05	Pending-Cleared
UNDISCOVERED MANAGERS FUNDS UNDISCOVERED MANAGERS BEHAVIORAL VALUE FUND CLASS R2 SHARES	BOSTON, MA	11/25/2013	00687914-03	Registered
UNDISCOVERED MANAGERS FUNDS UNDISCOVERED MANAGERS BEHAVIORAL VALUE FUND CLASS R6 SHARES	BOSTON, MA	11/25/2013	00687915-03	Registered

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Firm Name	Location	Date Received	File Number	Status
UNDISCOVERED MANAGERS FUNDS UNDISCOVERED MANAGERS BEHAVIORAL VALUE FUND SELECT CLASS SHARES	BOSTON, MA	11/25/2013	00687916-03	Registered
Number of Investment Company Filings: 90				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BREP EUROPE 4 REAL ESTATE FUND OFFSHORE LP	NEW YORK, NY	11/26/2013	00687896-07	Filed
BREP EUROPE 4 REAL ESTATE TR	NEW YORK, NY	11/26/2013	00687898-07	Filed
JEFFERSON CITY RETIREMENT LLC	ABERDEEN, SD	11/26/2013	00687899-07	Filed
PEOPLEJAR INC	PHOENIX, AZ	11/26/2013	00687900-07	Filed
PINNACLE INVESTMENT CORP	YARDLEY, PA	11/26/2013	00687897-07	Filed
ITASCA CAPITAL PARTNERS LLC	MINNEAPOLIS, MN	11/25/2013	00687821-07	Filed
ROUND HILL MUSIC ROYALTY FUND LP	NEW YORK, NY	11/25/2013	00687822-07	Filed
TWMG KOOBARE FUND LP	CORNELIUS, NC	11/25/2013	00687823-07	Filed
Number of Regulation D Notice Filings: 8				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
GolfTEC Franchising LLC / GolfTEC	Centennial, CO	12/6/2013	606694	Registered
MVP Motorcycle Value Program, Inc. / MVP Motorcycle Value Program	St. Paul, MN	12/6/2013	606693	Registered

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Legal Name / Trade Name	Location	Date Received	File Number	Status
Learning Express, Inc. / Learning Express	Devens, MA	12/5/2013	606689	Registered
Creative Colors International, Inc. / Creative Colors International	Mokena, IL	12/5/2013	606684	Registered
REAL LIVING REAL ESTATE, LLC	Irvine, CA	12/4/2013	606692	Registered
CK Franchising, Inc. / Comfort Keepers	Dayton, OH	11/27/2013	606679	Registered
Digital Doc Franchising, LLC / Digital Doc	Owensboro, KY	11/26/2013	606674	Registered
The C12 Group, LLC / C12 Group	Greensboro, NC	11/26/2013	606673	Registered
Freddy's LLC / Freddy's Frozen Custard & Steakburgers	Wichita, KS	11/25/2013	606681	Registered
Number of Franchise Filings: 9				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	