

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 11/18/2013 through 11/29/2013**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
KBS STRATEGIC OPPORTUNITY REIT 2 INC	NEWPORT BEACH, CA	11/20/2013	00687608-01	Pending
<b>Number of Registration Filings: 1</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
1st CHRISTIAN CHURCH OF YUMA	YUMA, AZ	11/21/2013	00687610-10	Not Disallowed
C3 CHURCH NC	CLAYTON, NC	11/21/2013	00687611-10	Not Disallowed
<b>Number of Exemption Filings: 2</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESTMENT MANAGERS SERIES TR EUROPAC INTERNATIONAL DIVIDEND INCOME FUND CLASS A	MILWAUKEE, WI	11/22/2013	00687644-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR EUROPAC INTERNATIONAL DIVIDEND INCOME FUND CLASS I	MILWAUKEE, WI	11/22/2013	00687645-03	Pending-Cleared
FT 4119 MORGAN STANLEY TRIBECA STRATEGIC CORPORATE BOND PORTFOLIO SERIES	WHEATON, IL	11/20/2013	00687569-05	Pending-Cleared
FT 4499 TOP PICKS NOVEMBER 2013 PORTFOLIO	WHEATON, IL	11/20/2013	00687568-05	Pending-Cleared
FT 4500 MLP CLOSED END FUND & ENERGY PORTFOLIO SERIES 30	WHEATON, IL	11/20/2013	00687566-05	Pending-Cleared

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FT 4507 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 46	WHEATON, IL	11/20/2013	00687565-05	Pending-Cleared
FT 4545 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 88	WHEATON, IL	11/20/2013	00687567-05	Pending-Cleared
GOTTEX TR GOTTEX ENDOWMENT STRATEGY FUND CLASS A	BOSTON, MA	11/20/2013	00687441-03	Pending-Cleared
GOTTEX TR GOTTEX ENDOWMENT STRATEGY FUND CLASS I	BOSTON, MA	11/20/2013	00687440-03	Pending-Cleared
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN LONG SHORT MULTI MANAGER FUND CLASS C SHARES	NEW YORK, NY	11/20/2013	00687596-03	Pending-Cleared
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN LONG SHORT MULTI MANAGER FUND INSTITUTIONAL CLASS SHARES	NEW YORK, NY	11/20/2013	00687595-03	Pending-Cleared
NEUBERGER BERMAN ALTERNATIVE FUNDS NEUBERGER BERMAN LONG SHORT MULTI MANAGER FUND CLASS A SHARES	NEW YORK, NY	11/20/2013	00687597-03	Pending-Cleared
1ST TR COMBINED SERIES 429 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO LONG TERM SERIES 9	WHEATON, IL	11/19/2013	00686206-05	Pending-Cleared
COMPASS EMP FUNDS TR COMPASS EMP REC ENHANCED VOLATILITY WEIGHTED FUND CLASS A	SMITHTOWN, NY	11/18/2013	00686147-03	Registered
FRANKLIN ALTERNATIVE STRATEGIES TR FRANKLIN K2 ALTERNATIVE STRATEGIES FUND CLASS A	SAN MATEO, CA	11/18/2013	00685368-03	Registered

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FRANKLIN ALTERNATIVE STRATEGIES TR FRANKLIN K2 ALTERNATIVE STRATEGIES FUND ADVISOR CLASS	SAN MATEO, CA	11/18/2013	00685370-03	Registered
FRANKLIN ALTERNATIVE STRATEGIES TR FRANKLIN K2 ALTERNATIVE STRATEGIES FUND CLASS R	SAN MATEO, CA	11/18/2013	00685367-03	Registered
FRANKLIN ALTERNATIVE STRATEGIES TR FRANKLIN K2 ALTERNATIVE STRATEGIES FUND CLASS R6	SAN MATEO, CA	11/18/2013	00685369-03	Registered
FRANKLIN ALTERNATIVE STRATEGIES TR FRANKLIN K2 ALTERNATIVE STRATEGIES FUND CLASS C	SAN MATEO, CA	11/18/2013	00685366-03	Registered
FT 4495 INTERNATIONAL CAPITOL STRENGTH PORTFOLIO SERIES 18	WHEATON, IL	11/18/2013	00686199-05	Pending-Cleared
FT 4495 SMID CAPITAL STRENGTH PORTFOLIO SERIES 18	WHEATON, IL	11/18/2013	00686201-05	Pending-Cleared
FT 4496 REIT GROWTH & INCOME PLUS PORTFOLIO SERIES 17	WHEATON, IL	11/18/2013	00686203-05	Pending-Cleared
FT 4505 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 22	WHEATON, IL	11/18/2013	00686198-05	Pending-Cleared
FT 4506 BALANCED INCOME SELECT PORTFOLIO SERIES 41	WHEATON, IL	11/18/2013	00686204-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1088 GUGGENHEIM SHORT DURATION HIGH YIELD TR SERIES 30	LISLE, IL	11/18/2013	00686200-05	Pending-Cleared

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 1104 GUGGENHEIM EMERGING MARKETS DIVIDEND STRATEGY PORTFOLIO SERIES 8	LISLE, IL	11/18/2013	00686202-05	Pending-Cleared
<b>Number of Investment Company Filings: 26</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
APPATTACH INC	KIRKLAND, WA	11/22/2013	00687647-07	Filed
DECISIONPOINT SYSTEMS INC	IRVINE, CA	11/22/2013	00687646-07	Filed
INRANGE SYSTEMS INC	ALTOONA, PA	11/22/2013	00687648-07	Filed
JUVENTAS THERAPEUTICS INC	CLEVELAND, OH	11/21/2013	00687609-07	Filed
PARTNERS GROUP PRIVATE EQUITY INSTITUTIONAL LLC	NEW YORK, NY	11/20/2013	00687564-07	Filed
MAVERICK STABLE FUND LTD	GRAND CAYMAN, AA	11/19/2013	00686208-07	Filed
PROVIDENCE DEBT 3 PRIVATE INVESTORS LLC	NEW YORK, NY	11/19/2013	00686207-07	Filed
TERAPHYSICS CORP	CLEVELAND, OH	11/19/2013	00687416-07	Filed
GRESHAM PRIVATE EQUITY STRATEGIES LP	CHICAGO, IL	11/18/2013	00686141-07	Filed
GRESHAM REAL ASSETS STRATEGIES LP	CHICAGO, IL	11/18/2013	00686145-07	Filed
HARD ROCK PARTNERS 2013 LP	CHARLESTON, WV	11/18/2013	00686148-07	Filed
INFINITY PREMIER FUND QP CAYMAN LP	ATLANTA, GA	11/18/2013	00686144-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INFINITY PREMIER FUND QP LP	ATLANTA, GA	11/18/2013	00686143-07	Filed
PORTFOLIO ADVISORS PRIVATE EQUITY FUND 8 LP	DARIEN, CT	11/18/2013	00686142-07	Filed
US FARMING REALTY TR 2 LP	KINSTON, NC	11/18/2013	00686146-07	Filed
<b>Number of Regulation D Notice Filings: 15</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CK Franchising, Inc. / Comfort Keepers	Dayton, OH	11/27/2013	606679	Registered
Digital Doc Franchising, LLC / Digital Doc	Owensboro, KY	11/26/2013	606674	Registered
The C12 Group, LLC / C12 Group	Greensboro, NC	11/26/2013	606673	Registered
Freddy's LLC / Freddy's Frozen Custard & Steakburgers	Wichita, KS	11/25/2013	606681	Registered
AAMCO TRANSMISSIONS INC	HORSHAM, PA	11/22/2013	606678	Registered
WEATHERSBY GUILD INC	Peachtree, GA	11/22/2013	606676	Registered
CHESTERS INTERNATIONAL LLC / Chesters Chicken On-The-Fly (Area Director)	Mountain Brook, AL	11/20/2013	606675	Registered
Cupcake Charlie's Franchising, LLC	Pocasset, MA	11/19/2013	606669	Registered
CBD FRANCHISING, INC. / CLOSETS BY DESIGN	CYPRESS, CA	11/18/2013	606666	Registered
<b>Number of Franchise Filings: 9</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	