

**WI Securities Law and Franchise Law Filings
for 10/28/2013 through 11/8/2013**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
ADVISORS SERIES TR DAVIDSON MULTI CAP EQUITY FUND CLASS I	MILWAUKEE, WI	10/28/2013	00684525-03	Registered
BRIDGE BUILDER TR BRIDGE BUILDER BOND FUND	MILWAUKEE, WI	10/28/2013	00684524-03	Registered
INVESTMENT MANAGERS SERIES TR SILVERPEPPER COMMODITY STRATEGIES GLOBAL MARCO FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	10/28/2013	00684535-03	Registered
INVESTMENT MANAGERS SERIES TR SILVERPEPPER COMMODITY STRATEGIES GLOBAL MARCO FUND ADVISOR CLASS	MILWAUKEE, WI	10/28/2013	00684536-03	Registered
INVESTMENT MANAGERS SERIES TR SILVERPEPPER MERGER ARBITRAGE FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	10/28/2013	00684534-03	Registered
INVESTMENT MANAGERS SERIES TR SILVERPEPPER MERGER ARBITRAGE FUND ADVISOR CLASS	MILWAUKEE, WI	10/28/2013	00684533-03	Registered
Number of Investment Company Filings: 6				

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Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				