

**WI Securities Law and Franchise Law Filings
for 9/30/2013 through 10/11/2013**

| Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Registration Filings: 0 | | | | |

| Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| CANDI COACHWORKS CORP WI CORP | MEQUON, WI | 9/30/2013 | 00681744-14 | Filed |
| NEW GLARUS BANCORP INC | NEW GLARUS, WI | 9/30/2013 | 00681745-08 | Pending |
| Number of Exemption Filings: 2 | | | | |

| Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| DIREXION FUNDS DIREXION LONG SHORT GLOBAL CURRENCY FUND INSTITUTIONAL CLASS | MILWAUKEE, WI | 9/30/2013 | 00681752-03 | Registered |
| DIREXION FUNDS DIREXION LONG SHORT GLOBAL CURRENCY FUND CLASS C | MILWAUKEE, WI | 9/30/2013 | 00681750-03 | Registered |
| DIREXION FUNDS DIREXION LONG SHORT GLOBAL CURRENCY FUND CLASS A SHARES | MILWAUKEE, WI | 9/30/2013 | 00681751-03 | Registered |
| HCIM TR HATTERAS PE INTELLIGENCE FUND INSTITUTIONAL CLASS | MILWAUKEE, WI | 9/30/2013 | 00681753-03 | Registered |
| MANAGED PORTFOLIO SERIES TORTOISE SELECT OPPORTUNITY FUND INSTITUTIONAL CLASS SHARES | MILWAUKEE, WI | 9/30/2013 | 00681755-03 | Registered |

**WI Securities Law and Franchise Law Filings
for 9/30/2013 through 10/11/2013**

| Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| MANAGED PORTFOLIO SERIES TORTOISE SELECT OPPORTUNITY FUND INVESTOR CLASS SHARES | MILWAUKEE, WI | 9/30/2013 | 00681754-03 | Registered |
| MANAGED PORTFOLIO SERIES TORTOISE SELECT OPPORTUNITY FUND C CLASS SHARES | MILWAUKEE, WI | 9/30/2013 | 00681756-03 | Registered |
| Number of Investment Company Filings: 7 | | | | |

| Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| MACARTHUR PARTNERS LLC | MILWAUKEE, WI | 10/3/2013 | 00681965-07 | Filed |
| Number of Regulation D Notice Filings: 1 | | | | |

| Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| Ian's Pizza United LLC / Ian's Pizza By The Slice | Madison, WI | 10/8/2013 | 606552 | Registered |
| Tazinos Global Franchising LLC | Oak Creek, WI | 10/7/2013 | 606544 | Registered |
| Number of Franchise Filings: 2 | | | | |