

**WI Securities Law and Franchise Law Filings  
for 9/2/2013 through 9/13/2013**

<b>Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BMO FUNDS INC BMO GLOBAL LOW VOLITILITY EQUITY FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	9/11/2013	00680095-03	Pending-Cleared
BMO FUNDS INC BMO GLOBAL LOW VOLITILITY EQUITY FUND INVESTOR CLASS	MILWAUKEE, WI	9/11/2013	00680096-03	Pending-Cleared
BMO FUNDS INC BMO MICRO CAP FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	9/11/2013	00680094-03	Pending-Cleared
BMO FUNDS INC BMO MICRO CAP FUND INVESTOR CLASS	MILWAUKEE, WI	9/11/2013	00680093-03	Pending-Cleared
DOUBLELINE EQUITY FUNDS DOUBLELINE EQUITIES GROWTH FUND CLASS N	W ALLIS, WI	9/10/2013	00680051-03	Registered
DOUBLELINE EQUITY FUNDS DOUBLELINE EQUITIES GROWTH FUND CLASS I	W ALLIS, WI	9/10/2013	00680052-03	Registered
TR FOR PROFESSIONAL MANAGERS VISIUM EVENT DRIVEN FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	9/5/2013	00679716-03	Registered

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<b>Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TR FOR PROFESSIONAL MANAGERS VISIUM EVENT DRIVEN FUND INVESTOR CLASS	MILWAUKEE, WI	9/5/2013	00679715-03	Registered
INVESTMENT MANAGERS SERIES TR PALMER SQUARE FOUNTAIN HIGH INCOME FUND CLASS I	MILWAUKEE, WI	9/3/2013	00679646-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR PALMER SQUARE FOUNTAIN HIGH INCOME FUND CLASS A	MILWAUKEE, WI	9/3/2013	00679645-03	Pending-Cleared
TR FOR PROFESSIONAL MANAGERS ALLIANCEBERNSTEIN TWM GLOBAL EQUITY & COVERED CALL STRATEGY FUND INVETOR CLASS SHARES	MILWAUKEE, WI	9/3/2013	00679647-03	Registered
<b>Number of Investment Company Filings: 11</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BLACK MAPLE CAPITAL PARTNERS LP	ST. FRANCIS, WI	9/12/2013	00680099-07	Filed
<b>Number of Regulation D Notice Filings: 1</b>				