

**WI Securities Law and Franchise Law Filings
for 8/26/2013 through 9/6/2013**

Securities Law Registration Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HOTCHKIS & WILEY FUNDS GLOBAL VALUE FUND CLASS A	MILWAUKEE, WI	8/29/2013	00679419-03	Registered
HOTCHKIS & WILEY FUNDS GLOBAL VALUE FUND CLASS I	MILWAUKEE, WI	8/29/2013	00679420-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2010 FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	8/28/2013	00679331-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2010 FUND INVESTOR CLASS	MILWAUKEE, WI	8/28/2013	00679334-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2010 FUND RETIREMENT CLASS R 3	MILWAUKEE, WI	8/28/2013	00679332-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2010 FUND RETIREMENT CLASS R 6	MILWAUKEE, WI	8/28/2013	00679333-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2020 FUND RETIREMENT CLASS R 6	MILWAUKEE, WI	8/28/2013	00679337-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2020 FUND RETIREMENT CLASS R 3	MILWAUKEE, WI	8/28/2013	00679336-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BMO FUNDS INC BMO TARGET RETIREMENT 2020 FUND INVESTOR CLASS	MILWAUKEE, WI	8/28/2013	00679338-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2020 FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	8/28/2013	00679335-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2030 FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	8/28/2013	00679339-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2030 FUND INVESTOR CLASS	MILWAUKEE, WI	8/28/2013	00679342-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2030 FUND RETIREMENT CLASS R 3	MILWAUKEE, WI	8/28/2013	00679340-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2030 FUND RETIREMENT CLASS R 6	MILWAUKEE, WI	8/28/2013	00679341-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2040 FUND INVESTOR CLASS	MILWAUKEE, WI	8/28/2013	00679345-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2040 FUND RETIREMENT CLASS R 3	MILWAUKEE, WI	8/28/2013	00679344-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2040 FUND RETIREMENT CLASS R 6	MILWAUKEE, WI	8/28/2013	00679346-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2040 FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	8/28/2013	00679343-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2050 FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	8/28/2013	00679347-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2050 FUND INVESTOR CLASS	MILWAUKEE, WI	8/28/2013	00679350-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BMO FUNDS INC BMO TARGET RETIREMENT 2050 FUND RETIREMENT CLASS R 3	MILWAUKEE, WI	8/28/2013	00679348-03	Registered
BMO FUNDS INC BMO TARGET RETIREMENT 2050 FUND RETIREMENT CLASS R 6	MILWAUKEE, WI	8/28/2013	00679349-03	Registered
TR FOR PROFESSIONAL MANAGERS COLLINS ALTERNATIVE SOLUTIONS FUND CLASS A SHARES	MILWAUKEE, WI	8/28/2013	00679330-03	Registered
HATTERAS ALTERNATIVE MUTUAL FUNDS TR HATTERAS LONG SHORT DEBT FUND CLASS C	MILWAUKEE, WI	8/27/2013	00679319-03	Registered
Number of Investment Company Filings: 24				

Federal Covered Security-Regulation D Notice Filings Made by Wisconsin Companies with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HAYM SOLOMON FUND LLC	SPRING GREEN, WI	8/26/2013	00679316-07	Filed
Number of Regulation D Notice Filings: 1				

Franchise Law Filings Made by Wisconsin Companies with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
JUST DRIVE, INC.	PORT WASHINGTON, WI	8/30/2013	606439	Registered
DANCE WORKS OF WI LLC	PEWAUKEE, WI	8/28/2013	606435	Registered
Number of Franchise Filings: 2				