

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/8/2013 through 7/19/2013**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CHRISTIAN & MISSIONARY ALLIANCE OF ST CROIX FALLS	ST CROIX, WI	7/10/2013	00676518-10	Not Disallowed
MACEDONIA BAPTIST CHURCH OF KANSAS CITY MO	KANSAS CITY, MO	7/10/2013	00676519-10	Not Disallowed
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
1ST TR COMBINED SERIES 422 TX EX MUNICIPAL INCOME TR LONG INTERMEDIATE SERIES 6	WHEATON, IL	7/15/2013	00676653-05	Pending-Cleared
ADVISERS INVESTMENT TR INDEPENDENT FRANCHISE PARTNERS US EQUITY FUND	COLUMBUS, OH	7/15/2013	00676604-03	Registered
AQR FUNDS AQR LONG SHORT EQUITY FUND CLASS I SHARES	GREENWICH, CT	7/15/2013	00676600-03	Registered
AQR FUNDS AQR LONG SHORT EQUITY FUND CLASS N SHARES	GREENWICH, CT	7/15/2013	00676602-03	Registered
AQR FUNDS AQR MANAGED FUTURES STRATEGY HV FUND CLASS I SHARES	GREENWICH, CT	7/15/2013	00676601-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/8/2013 through 7/19/2013**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
AQR FUNDS AQR MANAGED FUTURES STRATEGY HV FUND CLASS N SHARES	GREENWICH, CT	7/15/2013	00676603-03	Registered
FT 4302 MEGA CAP PORTFOLIO SERIES 28	WHEATON, IL	7/15/2013	00676639-05	Pending-Cleared
FT 4305 MARKET STRENGTH ALLOCATION PLUS PORTFOLIO SERIES 16	WHEATON, IL	7/15/2013	00676652-05	Pending-Cleared
FT 4317 MUNICIPAL INCOME SELECT CLOSED END & SHORT TREASURY ETF SERIES 3	WHEATON, IL	7/15/2013	00676638-05	Pending-Cleared
1ST WESTERN FUNDS TR 1ST WESTERN SHORT DURATION BOND FUND	CINCINNATI, OH	7/12/2013	00676654-03	Registered
ADVISORS DISCIPLINED TR 1049 GLOBAL GOLD INCOME PORTFOLIO SERIES 2013 3Q SMC PORTFOLIO	MONUMENT, CO	7/12/2013	00676633-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1050 DIVIDEND RULER COVERED CALL PORTFOLIO SERIES 2013 3Q	MONUMENT, CO	7/12/2013	00676634-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1052 GLOBAL TECHNOLOGY PORTFOLIO SERIES 2013 2	MONUMENT, CO	7/12/2013	00676635-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1053 STEELPATH MLP & INCOME PORTFOLIO SERIES 2013 3 OFI STEELPATH PORTFOLIO	MONUMENT, CO	7/12/2013	00676637-05	Pending-Cleared
FT 4283 SABRIENT DIVIDEND PORTFOLIO SERIES 2	WHEATON, IL	7/12/2013	00676641-05	Pending-Cleared
FT 4284 SELECT DSIP PORTFOLIO 3RD QTR 2013 SERIES	WHEATON, IL	7/12/2013	00676642-05	Pending-Cleared
FT 4347 INTEREST RATE HEDGE PORTFOLIO SERIES 72	WHEATON, IL	7/12/2013	00676640-05	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/8/2013 through 7/19/2013**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 4357 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 34	WHEATON, IL	7/12/2013	00676643-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIO SERIES 1057 GUGGENHEIM US HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 9	LISLE, IL	7/12/2013	00676636-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIO SERIES 1057 GUGGENHEIM US SMID HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 9	LISLE, IL	7/12/2013	00676631-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIO SERIES 1058 CANADIAN ENERGY & INCOME PORTFOLIO SERIES 28	LISLE, IL	7/12/2013	00676632-05	Pending-Cleared
HANCOCK JOHN BOND TR HANCOCK JOHN GLOBAL CONSERVATIVE ABSOLUTE RETURN FUND CLASS A	BOSTON, MA	7/12/2013	00676594-03	Pending-Cleared
HANCOCK JOHN BOND TR HANCOCK JOHN GLOBAL CONSERVATIVE ABSOLUTE RETURN FUND CLASS I	BOSTON, MA	7/12/2013	00676593-03	Pending-Cleared
HANCOCK JOHN BOND TR HANCOCK JOHN GLOBAL CONSERVATIVE ABSOLUTE RETURN FUND CLASS R6	BOSTON, MA	7/12/2013	00676595-03	Pending-Cleared
NEUBERGR BERMAN EQUITY FUNDS NEUBERGER BERMAN GREATER CHINA EQUITY FUND INSTITUTIONAL CLASS SHARES	NEW YORK, NY	7/12/2013	00676645-03	Pending-Cleared
NEUBERGR BERMAN EQUITY FUNDS NEUBERGER BERMAN GREATER CHINA EQUITY FUND CLASS A SHARES	NEW YORK, NY	7/12/2013	00676646-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/8/2013 through 7/19/2013**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NEUBERGR BERMAN EQUITY FUNDS NEUBERGER BERMAN GREATER CHINA EQUITY FUND CLASS C SHARES	NEW YORK, NY	7/12/2013	00676644-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR EP ASIA SMALL CO FUND CLASS I	MILWAUKEE, WI	7/11/2013	00676528-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR EP LATIN AMERICA FUND CLASS I	MILWAUKEE, WI	7/11/2013	00676533-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR EUROPAC GOLD FUND CLASS A	MILWAUKEE, WI	7/11/2013	00676530-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR EUROPAC HARD ASSET FUND CLASS I	MILWAUKEE, WI	7/11/2013	00676529-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR EUROPAC INTERNATIONAL BOND FUND CLASS I	MILWAUKEE, WI	7/11/2013	00676531-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR EUROPAC INTERNATIONAL VALUE FUND CLASS I	MILWAUKEE, WI	7/11/2013	00676532-03	Pending-Cleared
SMART TR HIGH 20 DIVIDEND STRATEGY TR SERIES 5	PARSIPPANY, NJ	7/11/2013	00676525-05	Pending-Cleared
SMART TR STRATEGIC GROWTH & INCOME TR SERIES 3	PARSIPPANY, NJ	7/11/2013	00676526-05	Pending-Cleared
FT 4327 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 82	WHEATON, IL	7/10/2013	00676517-05	Pending-Cleared
JPMORGAN TR 1 JPMORGAN DYNAMIC GROWTH FUND CLASS A SHARES	BOSTON, MA	7/10/2013	00676578-03	Registered
JPMORGAN TR 1 JPMORGAN DYNAMIC GROWTH FUND CLASS C SHARES	BOSTON, MA	7/10/2013	00676579-03	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/8/2013 through 7/19/2013**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
JPMORGAN TR 1 JPMORGAN DYNAMIC GROWTH FUND CLASS R5 SHARES	BOSTON, MA	7/10/2013	00676580-03	Registered
USAA MUTUAL FUNDS TR FLEXIBLE INCOME FUND ADVISOR SHARES	SAN ANTONIO, TX	7/10/2013	00676571-03	Pending-Cleared
USAA MUTUAL FUNDS TR FLEXIBLE INCOME FUND INSTITUTIONAL SHARES	SAN ANTONIO, TX	7/10/2013	00676572-03	Pending-Cleared
USAA MUTUAL FUNDS TR FLEXIBLE INCOME FUND SHARES	SAN ANTONIO, TX	7/10/2013	00676573-03	Pending-Cleared
USAA MUTUAL FUNDS TR GLOBAL MANAGED VOLATILITY FUND INSTITUTIONAL SHARES	SAN ANTONIO, TX	7/10/2013	00676574-03	Pending-Cleared
USAA MUTUAL FUNDS TR GLOBAL MANAGED VOLATILITY FUND SHARES	SAN ANTONIO, TX	7/10/2013	00676577-03	Registered
USAA MUTUAL FUNDS TR TARGET RETIREMENT 2060 FUND	SAN ANTONIO, TX	7/10/2013	00676570-03	Pending-Cleared
USAA MUTUAL FUNDS TR TOTAL RETURN STRATEGY FUND INSTITUTIONAL SHARES	SAN ANTONIO, TX	7/10/2013	00676575-03	Pending-Cleared
USAA MUTUAL FUNDS TR ULTRA SHORT TERM BOND FUND INSTITUTIONAL SHARES	SAN ANTONIO, TX	7/10/2013	00676576-03	Pending-Cleared
BLACKROCK FUNDS BLACKROCK SHORT OBLIGATIONS FUND INSTITUTIONAL SHARES	WILMINGTON, DE	7/9/2013	00676547-03	Pending-Cleared
BLACKROCK FUNDS BLACKROCK ULTRA SHORT OBLIGATIONS FUND INSTITUTIONAL SHARES	WILMINGTON, DE	7/9/2013	00676548-03	Pending-Cleared

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/8/2013 through 7/19/2013**

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CA DAILY TAX FREE INCOME FUND INC CLASS B	NEW YORK, NY	7/9/2013	00676549-03	Registered
FT 4250 TARGET FOCUS 4 3Q 13 TERM 10/9/14	WHEATON, IL	7/9/2013	00676483-05	Pending-Cleared
Number of Investment Company Filings: 51				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
APOLLO INVESTMENT FUND I 8 LP	NEW YORK, NY	7/15/2013	00676658-07	Filed
GOLUB CAPITAL PARTNERS INTERNATIONAL 8 LP	GEORGE TOWN, GRAND CAYMAN, AA	7/15/2013	00676656-07	Filed
TANGO PUBLISHING CORP	NEW YORK, NY	7/15/2013	00676655-07	Filed
TEXLARK EXPLORATION CO INC	PLANO, TX	7/15/2013	00676657-07	Filed
BRG PETROLEUM LLC	TULSA, OK	7/12/2013	00676651-07	Filed
HOMETOWN BANKSHARES CORP	ROANOKE, VA	7/12/2013	00676647-07	Filed
ICONIQ STRATEGIC PARTNERS LP	SAN FRANCISCO, CA	7/12/2013	00676648-07	Filed
NEW HARBOR CAPITAL FUND LP	CHICAGO, IL	7/12/2013	00676650-07	Filed
TOGETHER MOBILE INC	AUSTIN, TX	7/12/2013	00676649-07	Filed
BAIRD CAPITAL PARTNERS EUROPE 2 SPECIAL AFFILIATES LP	LONDON, AA	7/11/2013	00676523-07	Filed
LONGFORD CAPITAL FUND 1 LP	CHICAGO, IL	7/11/2013	00676522-07	Filed
PENNANT WINDWARD FUND LTD	GEORGE TOWN, GRAND CAYMAN, AA	7/11/2013	00676521-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/8/2013 through 7/19/2013**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
POINTER QP LP	CHATTANOOGA, TN	7/11/2013	00676524-07	Filed
GEARBOX EXPRESS LLC	MUKWONAGO, WI	7/10/2013	00676520-07	Filed
SEMPER US RMBS OPPORTUNITY REIT INC	NEW YORK, NY	7/10/2013	00676516-07	Filed
TRADEWINDS CAPITAL LP	SAUSALITO, CA	7/9/2013	00676484-07	Filed
BIG LAKE HOTEL GROUP LLC	BIG LAKE, TX	7/8/2013	00676449-07	Filed
BLACK GOLD INDUSTRIES LLC	ARLINGTON, TX	7/8/2013	00676475-07	Filed
HASKELL EAST 1 PROSPECT JOINT VENTURE	PLANO, TX	7/8/2013	00676474-07	Filed
Number of Regulation D Notice Filings: 19				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
ExecuStay Franchising, LLC / ExecuStay	Los Angeles, CA	7/19/2013	606331	Registered
Creative World Schools Franchising Company, Inc. / Creative World School	Bonita Springs, FL	7/19/2013	606328	Registered
Citadel Panda Express, Inc. / Panda Express	Rosemead, CA	7/18/2013	606327	Registered
FOCUS FRANCHISING, INC. / JANI-KING OF MADISON	MADISON, WI	7/18/2013	606326	Registered
Suite Management Franchising, LLC / My Salon Suite	Kenner, LA	7/17/2013	606325	Registered
FIREHOUSE OF AMERICA LLC / Area Representative Franchise	JACKSONVILLE, FL	7/17/2013	606324	Registered
Ultimate Franchises, Inc. / 18/8 Salon	Laguna Niguel, CA	7/17/2013	606321	Registered
Cups, LLC / Cups Frozen Yogurt	Livingston, NJ	7/17/2013	606319	Registered
COAST TO COAST ENGINEERING SERVICES INC / Criterium Engineers	PORTLAND, ME	7/16/2013	606323	Registered
INTERSTATE BATTERY FRANCHISING & DEVELOPMENT INC	Urbandale, IA	7/16/2013	606322	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 7/8/2013 through 7/19/2013**

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Fleet Enterprises, Inc.	Troy, MI	7/12/2013	606302	Registered
5 D Inc. / United Real Estate	Kansas City, MO	7/12/2013	606312	Registered
Big O Tires, LLC	Palm Beach Gardens, FL	7/12/2013	606307	Registered
Big O Tires, LLC / Business Format Franchise	Palm Beach Gardens, FL	7/12/2013	606310	Registered
Midas International Corporation / Midas Shop	Palm Beach Gardens, FL	7/12/2013	606308	Registered
Midas International Corporation; Speedee Worldwide Corporation / Midas/Speedee Shop	Palm Beach Gardens, FL	7/12/2013	606309	Registered
OILERIE USA INC	Egg Harbor, WI	7/12/2013	606306	Registered
REMEDY INTELLIGENT STAFFING INC	SANTA BARBARA, CA	7/11/2013	606305	Registered
RMG Rehabilitation Management Group LP / OsteoArthritis Centers of America	Houston, TX	7/11/2013	606311	Registered
Best Brains, Inc.	Arlington Heights, IL	7/10/2013	606313	Registered
TMC FRANCHISE CORP / Circle K	TEMPE, AZ	7/9/2013	606291	Registered
Izon Global Media, Inc. / Izon Global Media	West Palm Beach, FL	7/8/2013	606277	Registered
Care Patrol Franchise Systems, LLC / CarePatrol	Gilbert, AZ	7/8/2013	606316	Registered
Number of Franchise Filings: 23				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	