

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 6/10/2013 through 6/21/2013**

| Securities Law Registration Filings Made with DFI's Securities Division | | | | |
|--------------------------------------------------------------------------------|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Registration Filings: 0 | | | | |

| Securities Law Exemption Filings Made with DFI's Securities Division | | | | |
|-----------------------------------------------------------------------------|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Exemption Filings: 0 | | | | |

| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---------------------------------------------------------------------------------------------------------------|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| FINANCIAL INVESTORS TR GRANDEUR PEAK GLOBAL REACH FUND INSTITUTIONAL CLASS | DENVER, CO | 6/18/2013 | 00675128-03 | Registered |
| FINANCIAL INVESTORS TR GRANDEUR PEAK GLOBAL REACH FUND INVESTOR CLASS | DENVER, CO | 6/18/2013 | 00675129-03 | Registered |
| ING MUTUAL FUNDS ING INTERNATIONAL CORE FUND CLASS I | SCOTTSDALE, AZ | 6/18/2013 | 00675131-03 | Registered |
| ING MUTUAL FUNDS ING INTERNATIONAL CORE FUND CLASS W | SCOTTSDALE, AZ | 6/18/2013 | 00675130-03 | Registered |
| AIM SECTOR FUNDS INVESCO SECTOR FUNDS INVESCO MID CAP GROWTH FUND CLASS R6 SHARES | HOUSTON, TX | 6/17/2013 | 00675109-03 | Registered |
| AIM TX EX FUNDS INVESCO TX EX FUNDS INVESCO MUNICIPAL INCOME FUND INVESTOR CLASS SHARES | HOUSTON, TX | 6/17/2013 | 00675110-03 | Registered |
| NUVEEN INVESTMENT TR 2 NUVEEN EQUITY LONG SHORT FUND CLASS A | CHIGACO, IL | 6/17/2013 | 00675111-03 | Registered |

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| Firm Name | Location | Date Received | File Number | Status |
| NUVEEN INVESTMENT TR 2 NUVEEN EQUITY LONG SHORT FUND CLASS C | CHIGACO, IL | 6/17/2013 | 00675113-03 | Registered |
| NUVEEN INVESTMENT TR 2 NUVEEN EQUITY LONG SHORT FUND CLASS I | CHIGACO, IL | 6/17/2013 | 00675112-03 | Registered |
| BOFA FUNDS SERIES TR BOFA GOVERNMENT PLUS RESERVES INSTITUTIONAL CLASS SHARES | BOSTON, MA | 6/14/2013 | 00675106-03 | Registered |
| BOFA FUNDS SERIES TR BOFA GOVERNMENT PLUS RESERVES LIQUIDITY CLASS SHARES | BOSTON, MA | 6/14/2013 | 00675107-03 | Registered |
| GABELLI VALUE FUND INC CLASS AAA SHARES | RYE, NY | 6/14/2013 | 00675108-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN CONCENTRATED CORE FUND CLASS A | CHIGACO, IL | 6/14/2013 | 00675098-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN CONCENTRATED CORE FUND CLASS C | CHIGACO, IL | 6/14/2013 | 00675102-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN CONCENTRATED CORE FUND CLASS I | CHIGACO, IL | 6/14/2013 | 00675094-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN CORE DIVIDEND FUND CLASS A | CHIGACO, IL | 6/14/2013 | 00675100-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN CORE DIVIDEND FUND CLASS C | CHIGACO, IL | 6/14/2013 | 00675096-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN CORE DIVIDEND FUND CLASS I | CHIGACO, IL | 6/14/2013 | 00675104-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN EQUITY MARKET NEUTRAL FUND CLASS I | CHIGACO, IL | 6/14/2013 | 00675105-03 | Registered |

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| NUVEEN INVESTMENT TR NUVEEN EQUITY MARKET NEUTRAL FUND CLASS C | CHIGACO, IL | 6/14/2013 | 00675103-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN EQUITY MARKET NEUTRAL FUND CLASS A | CHIGACO, IL | 6/14/2013 | 00675101-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN LARGE CAP CORE FUND CLASS A | CHIGACO, IL | 6/14/2013 | 00675090-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN LARGE CAP CORE FUND CLASS C | CHIGACO, IL | 6/14/2013 | 00675092-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN LARGE CAP CORE FUND CLASS I | CHIGACO, IL | 6/14/2013 | 00675093-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN LARGE CAP CORE PLUS FUND CLASS A | CHIGACO, IL | 6/14/2013 | 00675095-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN LARGE CAP CORE PLUS FUND CLASS C | CHIGACO, IL | 6/14/2013 | 00675097-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN LARGE CAP CORE PLUS FUND CLASS I | CHIGACO, IL | 6/14/2013 | 00675099-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN LARGE CAP GROWTH FUND CLASS A | CHIGACO, IL | 6/14/2013 | 00675088-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN LARGE CAP GROWTH FUND CLASS C | CHIGACO, IL | 6/14/2013 | 00675089-03 | Registered |
| NUVEEN INVESTMENT TR NUVEEN LARGE CAP GROWTH FUND CLASS I | CHIGACO, IL | 6/14/2013 | 00675091-03 | Registered |
| DREYFUS LAUREL FUNDS INC DREYFUS OPPORTUNISTIC EMERGING MARKETS DEBT FUND CLASS C | NEW YORK, NY | 6/13/2013 | 00675072-03 | Registered |

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| DREYFUS LAUREL FUNDS INC DREYFUS OPPORTUNISTIC EMERGING MARKETS DEBT FUND CLASS I | NEW YORK, NY | 6/13/2013 | 00675073-03 | Registered |
| DREYFUS LAUREL FUNDS INC DREYFUS OPPORTUNISTIC EMERGING MARKETS DEBT FUND CLASS A | NEW YORK, NY | 6/13/2013 | 00675071-03 | Registered |
| FEDERATED INCOME SECURITIES TR FEDERATED CAPITAL INCOME FUND CLASS R SHARES | WARRENDALE, PA | 6/13/2013 | 00675075-03 | Pending-Cleared |
| FEDERATED WORLD INVESTMENT SERIES INC FEDERATED INTERNATIONAL LEADERS FUND CLASS R SHARES | WARRENDALE, PA | 6/13/2013 | 00675074-03 | Pending-Cleared |
| SECURITY EQUITY FUND ENHANCED WORLD EQUITY FUND INSTITUTIONAL CLASS SHARES | ROCKVILLE, MD | 6/13/2013 | 00675076-03 | Registered |
| SECURITY EQUITY FUND ENHANCED WORLD EQUITY FUND A CLASS SHARES | ROCKVILLE, MD | 6/13/2013 | 00675077-03 | Registered |
| SECURITY EQUITY FUND ENHANCED WORLD EQUITY FUND C CLASS SHARES | ROCKVILLE, MD | 6/13/2013 | 00675078-03 | Registered |
| COLUMBIA ACORN TR COLUMBIA ACORN EMERGING MARKETS FUND CLASS Y | CHICAGO, IL | 6/11/2013 | 00675040-03 | Pending-Cleared |
| COLUMBIA FUNDS SERIES TR 1 COLUMBIA STRATEGIC INCOME FUND CLASS Y | BOSTON, MA | 6/11/2013 | 00675048-03 | Pending-Cleared |
| COLUMBIA FUNDS SERIES TR 2 COLUMBIA CAPITAL ALLOCATION AGGRESSIVE PORTFOLIO CLASS R4 | MINNEAPOLIS, MN | 6/11/2013 | 00675038-03 | Pending-Cleared |
| COLUMBIA FUNDS SERIES TR 2 COLUMBIA CAPITAL ALLOCATION AGGRESSIVE PORTFOLIO CLASS R5 | MINNEAPOLIS, MN | 6/11/2013 | 00675039-03 | Pending-Cleared |

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| COLUMBIA FUNDS SERIES TR 2 COLUMBIA CAPITAL ALLOCATION CONSERVATIVE PORTFOLIO CLASS R4 | MINNEAPOLIS, MN | 6/11/2013 | 00675043-03 | Pending-Cleared |
| COLUMBIA FUNDS SERIES TR 2 COLUMBIA CAPITAL ALLOCATION MODERATE PORTFOLIO CLASS R5 | MINNEAPOLIS, MN | 6/11/2013 | 00675047-03 | Pending-Cleared |
| COLUMBIA FUNDS SERIES TR 2 COLUMBIA CAPITAL ALLOCATION MODERATE PORTFOLIO CLASS Y | MINNEAPOLIS, MN | 6/11/2013 | 00675051-03 | Pending-Cleared |
| COLUMBIA FUNDS SERIES TR 2 COLUMBIA CAPITAL ALLOCATION AGGRESSIVE PORTFOLIO CLASS Y | MINNEAPOLIS, MN | 6/11/2013 | 00675050-03 | Pending-Cleared |
| COLUMBIA FUNDS SERIES TR 2 COLUMBIA CAPITAL ALLOCATION CONSERVATIVE PORTFOLIO CLASS R5 | MINNEAPOLIS, MN | 6/11/2013 | 00675041-03 | Pending-Cleared |
| COLUMBIA FUNDS SERIES TR 2 COLUMBIA CAPITAL ALLOCATION CONSERVATIVE PORTFOLIO CLASS Y | MINNEAPOLIS, MN | 6/11/2013 | 00675045-03 | Pending-Cleared |
| COLUMBIA FUNDS SERIES TR 2 COLUMBIA CAPITAL ALLOCATION MODERATE PORTFOLIO CLASS R4 | MINNEAPOLIS, MN | 6/11/2013 | 00675049-03 | Pending-Cleared |
| COLUMBIA FUNDS SERIES TR 2 COLUMBIA MID CAP VALUE OPPORTUNITY FUND CLASS Y | MINNEAPOLIS, MN | 6/11/2013 | 00675044-03 | Pending-Cleared |
| COLUMBIA FUNDS SERIES TR COLUMBIA CAPITAL ALLOCATION MODERATE CONSERVATIVE PORTFOLIO CLASS Y | BOSTON, MA | 6/11/2013 | 00675042-03 | Pending-Cleared |
| COLUMBIA FUNDS SERIES TR COLUMBIA CAPITAL ALLOCATION MODERATE AGGRESSIVE PORTFOLIO CLASS Y | BOSTON, MA | 6/11/2013 | 00675046-03 | Pending-Cleared |

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| Firm Name | Location | Date Received | File Number | Status |
| VIRTUS OPPORTUNITIES TR VIRTUS LOW VOLATILITY EQUITY FUND CLASS A | GREENFIELD, MA | 6/10/2013 | 00675012-03 | Registered |
| VIRTUS OPPORTUNITIES TR VIRTUS LOW VOLATILITY EQUITY FUND CLASS C | GREENFIELD, MA | 6/10/2013 | 00675013-03 | Registered |
| VIRTUS OPPORTUNITIES TR VIRTUS LOW VOLATILITY EQUITY FUND CLASS I | GREENFIELD, MA | 6/10/2013 | 00675014-03 | Registered |
| Number of Investment Company Filings: 55 | | | | |

| Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division | | | | |
|-------------------------------------------------------------------------------------------------|--------------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| BRIGADE OPPORTUNISTIC CREDIT FUND ICL LP | NEW YORK, NY | 6/10/2013 | 00674994-07 | Filed |
| PASSCO GLEN AT ALEXANDER DST | IRVINE, CA | 6/10/2013 | 00674993-07 | Filed |
| PIMCO MULTI ASSET VOLATILITY OFFSHORE FUND 2 LTD | GEORGE TOWN, AA | 6/10/2013 | 00674992-07 | Filed |
| Number of Regulation D Notice Filings: 3 | | | | |

| Franchise Law Filings Made with DFI's Securities Division | | | | |
|--------------------------------------------------------------------------------|--------------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| Thinique Medical Weight Loss, LLC / Thinique Medical Weight Loss (Units) | Fort Worth, TX | 6/21/2013 | 606242 | Registered |
| Thinique Medical Weight Loss, LLC / Thinique Medical Weight Loss | Fort Worth, TX | 6/21/2013 | 606241 | Registered |
| FOR Franchising, LLC / Window Genie | Cincinnati, OH | 6/19/2013 | 606231 | Registered |
| KAIA F.I.T. | CARSON CITY, NV | 6/18/2013 | 606229 | Registered |

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|--------------------------------------------------------------------------------------------|---------------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| Scooter's Coffee, LLC / Scooter's Coffee, Scooter's Coffeehouse, Scooter's Coffee & Yogurt | Omaha, NE | 6/18/2013 | 606228 | Registered |
| Payless Car Sales, Inc. / Payless Car Sales | St. Petersburg, FL | 6/17/2013 | 606226 | Registered |
| Amarnani Franchise Inc / ALOHA | North Brunswick, NJ | 6/17/2013 | 606225 | Registered |
| BONANZA RESTAURANT CO | PLANO, TX | 6/17/2013 | 606238 | Registered |
| FARRELL'S EXTREME BODYSHAPING INC | WEST DES MOINES, IA | 6/17/2013 | 606239 | Registered |
| STORM GUARD FRANCHISE SYSTEMS, LLC | OAKDALE, MN | 6/17/2013 | 606240 | Registered |
| Planet Beach Franchising Corporation / Planet Beach Regional Developer | Marrero, LA | 6/14/2013 | 606222 | Registered |
| Solos Pizza Cafe LLC | Bloomington, MN | 6/14/2013 | 606233 | Registered |
| MEGADANCE USA CORP. / FRED ASTAIRE FRANCHISED DANCE STUDIO | LONGMEADOW, MA | 6/13/2013 | 606198 | Registered |
| TDM FRANCHISE COMPANY, LLC / THE DAILEY METHOD | CORTE MADERA, CA | 6/13/2013 | 606197 | Registered |
| TRC STAFFING SERVICES INC | ATLANTA, GA | 6/12/2013 | 606196 | Registered |
| Burger 21 International, Inc. | Tampa, FL | 6/12/2013 | 606206 | Registered |
| MELTING POT RESTAURANTS INC | TAMPA, FL | 6/12/2013 | 606207 | Registered |
| Best In Class Education Center, LLC | Mercer Island, WA | 6/11/2013 | 606193 | Registered |
| THE BARBERSHOP A HAIR SALON FOR MEN | DEPERE, WI | 6/10/2013 | 606180 | Registered |
| MARCOS FRANCHISING LLC / Store Program | TOLEDO, OH | 6/10/2013 | 606185 | Registered |
| SARPINOS USA INC | Lincolnshire, IL | 6/10/2013 | 606186 | Registered |
| SCA FRANCHISING CORP | BURBANK, CA | 6/10/2013 | 606187 | Registered |
| Number of Franchise Filings: 22 | | | | |

| Corporate Take-Over Law Filings Made with DFI's Securities Division | |
|----------------------------------------------------------------------------|----------------------|
| Takeover Filing Reference | Date Received |
| Number of Takeover Filings: 0 | |