

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 6/3/2013 through 6/14/2013**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BLACKSTONE ALTERNATIVE ALPHA FUND 2 BLACKSTONE ALTERNATIVE ALPHA FUND 2 ADVISOR CLASS I SHARES	NEW YORK, NY	6/6/2013	00674870-01	Registered
RESOURCE REAL ESTATE OPPORTUNITY REIT INC FOLLOW ON	PHILADELPHIA, PA	6/4/2013	00674949-01	Registered
ATEL 16 LLC	SAN FRANCISCO, CA	6/3/2013	00674852-01	Pending
<b>Number of Registration Filings: 3</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SIMON WORLDWIDE INC	IRVINE, CA	6/6/2013	00674981-08	Pending
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
VIRTUS OPPORTUNITIES TR VIRTUS LOW VOLATILITY EQUITY FUND CLASS A	GREENFIELD, MA	6/10/2013	00675012-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS LOW VOLATILITY EQUITY FUND CLASS I	GREENFIELD, MA	6/10/2013	00675014-03	Registered
VIRTUS OPPORTUNITIES TR VIRTUS LOW VOLATILITY EQUITY FUND CLASS C	GREENFIELD, MA	6/10/2013	00675013-03	Registered
ADVISORS DISCIPLINED TR 1031 BUSINESS DEVELOPMENT CO OPPORTUNITIES PORTFOLIO SERIES 2013 1	MONUMENT, CO	6/7/2013	00674986-05	Pending-Cleared

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS DISCIPLINED TR 1060 ADVISORS CORPORATE TR HIGH YIELD BOND PORTFOLIO SERIES 2013 JUNE	MONUMENT, CO	6/7/2013	00674985-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1074 SENIOR VARIABLE RATE & INCOME CLOSED END PORTFOLIO 2 YR SERIES 2013 2	MONUMENT, CO	6/7/2013	00674987-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1045 COVERED CALL & INCOME PORTFOLIO OF CEFS SERIES 31	LISLE, IL	6/7/2013	00674991-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1045 EQUITY & INCOME PORTFOLIO OF CEFS SERIES 34	LISLE, IL	6/7/2013	00674990-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1045 STRATEGIC INCOME PORTFOLIO SERIES 75	LISLE, IL	6/7/2013	00674989-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1026 HIGH 50 DIVIDEND STRATEGY PORTFOLIO SERIES 2013 2Q	MONUMENT, CO	6/6/2013	00674976-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1027 DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 2013 2Q	MONUMENT, CO	6/6/2013	00674975-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1028 PERONI GROWTH PORTFOLIO SUMMER 2013 SERIES	MONUMENT, CO	6/6/2013	00674977-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1030 EMERGING MARKETS DIVIDEND PORTFOLIO SERIES 2013 1	MONUMENT, CO	6/6/2013	00674974-05	Pending-Cleared
FEDERATED HIGH YIELD TR INSTITUTIONAL SHARES	WARRENDALE, PA	6/6/2013	00675010-03	Pending-Cleared

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FEDERATED MUNICIPAL SECURITIES INCOME TR FEDERATED MUNICIPAL HIGH YIELD ADVANTAGE FUND INSTITUTIONAL SHARES	WARRENDALE, PA	6/6/2013	00675009-03	Pending-Cleared
FT 4180 PRECIOUS METALS SELECT PORTFOLIO SERIES 32	WHEATON, IL	6/6/2013	00674968-05	Pending-Cleared
FT 4227 GLOBAL EQUITY PURCHASING POWER PORTFOLIO	WHEATON, IL	6/6/2013	00674958-05	Pending-Cleared
FT 4238 ETF GROWTH & INCOME JUNE 13	WHEATON, IL	6/6/2013	00674962-05	Pending-Cleared
FT 4240 NORTH AMERICAN SHALE PORTFOLIO SERIES 2	WHEATON, IL	6/6/2013	00674956-05	Pending-Cleared
FT 4241 DIVIDEND INCOME PLUS PORTFOLIO SERIES 10	WHEATON, IL	6/6/2013	00674961-05	Pending-Cleared
FT 4251 INTERNATIONAL HIGH DIVIDEND EQUITY PORTFOLIO SERIES 12	WHEATON, IL	6/6/2013	00674963-05	Pending-Cleared
FT 4274 INTEREST RATE HEDGE PORTFOLIO SERIES 70	WHEATON, IL	6/6/2013	00674957-05	Pending-Cleared
FT 4275 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 80	WHEATON, IL	6/6/2013	00674964-05	Pending-Cleared
FT 4276 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 39	WHEATON, IL	6/6/2013	00674959-05	Pending-Cleared
FT 4278 MULTI SECTOR INCOME PORTFOLIO SERIES 16	WHEATON, IL	6/6/2013	00674960-05	Pending-Cleared
FT 4286 CYCLICAL STRENGTH PORTFOLIO SERIES	WHEATON, IL	6/6/2013	00674966-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 4312 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 8	WHEATON, IL	6/6/2013	00674965-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1043 DIVERSIFIED INCOME WAVE PORTFOLIO 15 MONTH SERIES 38	LISLE, IL	6/6/2013	00674943-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1044 BULLETSHARES HIGH YIELD LOW DURATION 2015 2018 BOND LADDER OF ETFS SERIES 2	LISLE, IL	6/6/2013	00674967-05	Pending-Cleared
INCAPITAL UNIT TR SERIES 27 EUROPEAN DIVIDEND BUILDERS PORTFOLIO 2Q 2013	CHICAGO, IL	6/6/2013	00674973-05	Pending-Cleared
SECURITY LARGE CAP VALUE FUND INSTITUTIONAL CLASS	ROCKVILLE, MD	6/6/2013	00675011-03	Registered
DFA INVESTMENT DIMENSIONS GROUP INC US LARGE CAP EQUITY PORTFOLIO INSTITUTIONAL CLASS SHARES	AUSTIN, TX	6/5/2013	00674950-03	Pending-Cleared
CCA INVESTMENTS TR CCA AGGRESSIVE RETURN FUND INSTITUTIONAL CLASS	BEVERLY HILLS, CA	6/4/2013	00674945-03	Registered
CCA INVESTMENTS TR CCA AGGRESSIVE RETURN FUND INVESTOR CLASS	BEVERLY HILLS, CA	6/4/2013	00674946-03	Registered
CCA INVESTMENTS TR CCA CORE RETURN FUND INSTITUTIONAL CLASS	BEVERLY HILLS, CA	6/4/2013	00674947-03	Registered
CCA INVESTMENTS TR CCA CORE RETURN FUND INVESTOR CLASS	BEVERLY HILLS, CA	6/4/2013	00674948-03	Registered
MORGAN STANLEY LTD DURATION US GOVERNMENT TR CLASS I	NEW YORK, NY	6/4/2013	00674869-03	Registered

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PIMCO FUNDS PIMCO UNCONSTRAINED BOND FUND ADMINISTRATIVE CLASS SHARES	NEWPORT BEACH, CA	6/4/2013	00674868-03	Registered
ARBITRAGE FUNDS ARBITRAGE CREDIT OPPORTUNITIES FUND CLASS A	NEW YORK, NY	6/3/2013	00674723-03	Registered
ARBITRAGE FUNDS ARBITRAGE EVENT DRIVEN FUND CLASS A	NEW YORK, NY	6/3/2013	00674722-03	Registered
ARBITRAGE FUNDS ARBITRAGE FUND CLASS A	NEW YORK, NY	6/3/2013	00674721-03	Registered
CALAMOS INVESTMENT TR CALAMOS LONG SHORT FUND CLASS A	MILWAUKEE, WI	6/3/2013	00674848-03	Registered
CALAMOS INVESTMENT TR CALAMOS LONG SHORT FUND CLASS C	MILWAUKEE, WI	6/3/2013	00674850-03	Registered
CALAMOS INVESTMENT TR CALAMOS LONG SHORT FUND CLASS I	MILWAUKEE, WI	6/3/2013	00674849-03	Registered
CALAMOS INVESTMENT TR CALAMOS LONG SHORT FUND CLASS R	MILWAUKEE, WI	6/3/2013	00674851-03	Registered
FT 4174 TARGET HIGH QUALITY DIVIDEND PORTFOLIO SERIES 2	WHEATON, IL	6/3/2013	00674841-05	Pending-Cleared
FT 4243 TECHNOLOGY DIVIDEND PORTFOLIO SERIES 4	WHEATON, IL	6/3/2013	00674856-05	Pending-Cleared
FT 4244 RICHARD BENSTEIN ADVISORS GLOBAL DIVIDEND KINGS SERIES 6	WHEATON, IL	6/3/2013	00674843-05	Pending-Cleared
FT 4245 REIT GROWTH & INCOME SELECT PORTFOLIO SERIES 24	WHEATON, IL	6/3/2013	00674842-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 4247 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 44	WHEATON, IL	6/3/2013	00674854-05	Pending-Cleared
FT 4262 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 53	WHEATON, IL	6/3/2013	00674855-05	Pending-Cleared
FT 4272 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 33	WHEATON, IL	6/3/2013	00674844-05	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC EMERGING MARKETS DEBT FUND CLASS C SHARES	CHICAGO, IL	6/3/2013	00674727-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC EMERGING MARKETS DEBT FUND CLASS A SHARES	CHICAGO, IL	6/3/2013	00674726-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC EMERGING MARKETS DEBT FUND CLASS R SHARES	CHICAGO, IL	6/3/2013	00674730-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES	CHICAGO, IL	6/3/2013	00674729-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC EMERGING MARKETS DEBT FUND CLASS IR SHARES	CHICAGO, IL	6/3/2013	00674728-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1042 INCOME & TREASURY LTD DURATION PORTFOLIO FO FUNDS SERIES 28	LISLE, IL	6/3/2013	00674859-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR BRIDGEHAMPTON VALUE STRATEGIES FUND CLASS C	MILWAUKEE, WI	6/3/2013	00674853-03	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
STONE HARBOR INVESTMENT FUNDS STONE HARBOR INVESTMENT GRADE FUND INSTITUTIONAL CLASS SHARES	NEW YORK, NY	6/3/2013	00674724-03	Registered
STONE HARBOR INVESTMENT FUNDS STONE HARBOR STRATEGIC INCOME FUND INSTITUTIONAL CLASS SHARES	NEW YORK, NY	6/3/2013	00674725-03	Registered
ULTIMUS MANAGERS TR CINCINNATI ASSET MANAGEMENT FUNDS BROAD MARKET STRATEGIC INCOME FUND	CINCINNATI, OH	6/3/2013	00674847-03	Registered
<b>Number of Investment Company Filings: 62</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BRIGADE OPPORTUNISTIC CREDIT FUND ICL LP	NEW YORK, NY	6/10/2013	00674994-07	Filed
PASSCO GLEN AT ALEXANDER DST	IRVINE, CA	6/10/2013	00674993-07	Filed
PIMCO MULTI ASSET VOLATILITY OFFSHORE FUND 2 LTD	GEORGE TOWN, AA	6/10/2013	00674992-07	Filed
SINGULEX INC	ALAMEDA, CA	6/7/2013	00674988-07	Filed
CO CITY HOTEL GROUP LLC	COLORADO CITY, TX	6/6/2013	00674979-07	Filed
GREENPOINT REAL ESTATE DEVELOPMENT FUND	MADISON, WI	6/6/2013	00674955-07	Filed
HATTERAS GPEP FUND 2 LLC	RALEIGH, NC	6/6/2013	00674980-07	Filed
TIDAL PETROLEUM INC	SCHERTZ, TX	6/6/2013	00674978-07	Filed
TWC SECURITIZED OPPORTUNITIES LP	LOS ANGELES, CA	6/6/2013	00674969-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ARWMB LLC	NEW HAVEN, CT	6/5/2013	00674954-07	Filed
BLUEBAY DIRECT LENDING FUND I BWM LP	ST. PETER PORT, AA	6/4/2013	00674860-07	Filed
COSKATA INC	WARRENVILLE, IL	6/4/2013	00674861-07	Filed
US PREVENTIVE MEDICINE INC	JACKSONVILLE, FL	6/4/2013	00674944-07	Filed
BAILARD REAL ESTATE INVESTMENT TR 1 INC	FOSTER CITY, CA	6/3/2013	00674840-07	Filed
FUEL FROM WASTE 2 LLC	FAIRFIELD, NJ	6/3/2013	00674857-07	Filed
ROCKBRIDGE HOSPITALITY FUND 6 LP	COLUMBUS, OH	6/3/2013	00674845-07	Filed
SONOMA BEVERAGE WORKS INC	HEALDSBURG, CA	6/3/2013	00674846-07	Filed
<b>Number of Regulation D Notice Filings: 17</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Planet Beach Franchising Corporation / Planet Beach Regional Developer	Marrero, LA	6/14/2013	606222	Registered
MEGADANCE USA CORP. / FRED ASTAIRE FRANCHISED DANCE STUDIO	LONGMEADOW, MA	6/13/2013	606198	Registered
TDM FRANCHISE COMPANY, LLC / THE DAILEY METHOD	CORTE MADERA, CA	6/13/2013	606197	Registered
TRC STAFFING SERVICES INC	ATLANTA, GA	6/12/2013	606196	Registered
Burger 21 International, Inc.	Tampa, FL	6/12/2013	606206	Registered
MELTING POT RESTAURANTS INC	TAMPA, FL	6/12/2013	606207	Registered
Best In Class Education Center, LLC	Mercer Island, WA	6/11/2013	606193	Registered
THE BARBERSHOP A HAIR SALON FOR MEN	DEPERE, WI	6/10/2013	606180	Registered
MARCOS FRANCHISING LLC / Store Program	TOLEDO, OH	6/10/2013	606185	Registered
SARPINOS USA INC	Lincolnshire, IL	6/10/2013	606186	Registered

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<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SCA FRANCHISING CORP	BURBANK, CA	6/10/2013	606187	Registered
HEALTH MART SYSTEMS INC	SAN FRANCISCO, CA	6/7/2013	606179	Registered
CALLRN Franchise, LLC / Assisted Living Locators	Scottsdale, AZ	6/7/2013	606178	Registered
Seniors Helping Seniors, LLC / Seniors Helping Seniors	Wyomissing Hills, PA	6/7/2013	606176	Registered
HOT BOX PIZZA FRANCHISING LLC	INDIANAPOLIS, IN	6/7/2013	606190	Registered
QUAKER STEAK & LUBE FRANCHISING CORP	SHARON, PA	6/7/2013	606189	Registered
TUTORING CENTER FRANCHISE CORP	LONG BEACH, CA	6/7/2013	606188	Registered
LMLC Holdings, Inc. / Little Minds Learning Center	Hudson, WI	6/5/2013	606159	Registered
BOJANGLES INTERNATIONAL LLC	CHARLOTTE, NC	6/5/2013	606174	Registered
BRUEGGERS FRANCHISE CORP	BURLINGTON, VT	6/5/2013	606173	Registered
WOW CAFE & WINGERY FRANCHISING ACCOUNT LLC	COVINGTON, LA	6/5/2013	606172	Registered
Tom & Chee Worldwide, LLC	Cincinnati, OH	6/4/2013	606170	Registered
Bookkeeping Express Enterprises, LLC	Vienna, VA	6/3/2013	606169	Registered
Donatos Pizzeria, LLC	Columbus, OH	6/3/2013	606166	Registered
LaVida Massage Franchise Development, Inc.	Commerce Township, MI	6/3/2013	606168	Registered
<b>Number of Franchise Filings: 25</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	