

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/27/2013 through 6/7/2013**

| <b>Securities Law Registration Filings Made with DFI's Securities Division</b> |                   |                      |                    |               |
|--|-------------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>   | <b>Location</b>   | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| ATEL 16 LLC  | SAN FRANCISCO, CA | 6/3/2013             | 00674852-01        | Pending       |
| <b>Number of Registration Filings: 1</b>                                       |                   |                      |                    |               |

| <b>Securities Law Exemption Filings Made with DFI's Securities Division</b> |                 |                      |                    |               |
|---|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| <b>Number of Exemption Filings: 0</b>                                       |                 |                      |                    |               |

| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                 |                      |                    |               |
|---|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| ARBITRAGE FUNDS<br>ARBITRAGE CREDIT<br>OPPORTUNITIES FUND CLASS<br>A  | NEW YORK, NY    | 6/3/2013             | 00674723-03        | Registered    |
| ARBITRAGE FUNDS<br>ARBITRAGE EVENT DRIVEN<br>FUND CLASS A   | NEW YORK, NY    | 6/3/2013             | 00674722-03        | Registered    |
| ARBITRAGE FUNDS<br>ARBITRAGE FUND CLASS A   | NEW YORK, NY    | 6/3/2013             | 00674721-03        | Registered    |
| CALAMOS INVESTMENT TR<br>CALAMOS LONG SHORT FUND<br>CLASS A   | MILWAUKEE, WI   | 6/3/2013             | 00674848-03        | Registered    |
| CALAMOS INVESTMENT TR<br>CALAMOS LONG SHORT FUND<br>CLASS C   | MILWAUKEE, WI   | 6/3/2013             | 00674850-03        | Registered    |
| CALAMOS INVESTMENT TR<br>CALAMOS LONG SHORT FUND<br>CLASS I   | MILWAUKEE, WI   | 6/3/2013             | 00674849-03        | Registered    |
| CALAMOS INVESTMENT TR<br>CALAMOS LONG SHORT FUND<br>CLASS R   | MILWAUKEE, WI   | 6/3/2013             | 00674851-03        | Registered    |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                 |                      |                    |                 |
|---|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| FT 4174 TARGET HIGH QUALITY DIVIDEND PORTFOLIO SERIES 2   | WHEATON, IL     | 6/3/2013             | 00674841-05        | Pending-Cleared |
| FT 4243 TECHNOLOGY DIVIDEND PORTFOLIO SERIES 4  | WHEATON, IL     | 6/3/2013             | 00674856-05        | Pending-Cleared |
| FT 4244 RICHARD BENSTEIN ADVISORS GLOBAL DIVIDEND KINGS SERIES 6  | WHEATON, IL     | 6/3/2013             | 00674843-05        | Pending-Cleared |
| FT 4245 REIT GROWTH & INCOME SELECT PORTFOLIO SERIES 24   | WHEATON, IL     | 6/3/2013             | 00674842-05        | Pending-Cleared |
| FT 4247 INVESTMENT GRADE SELECT CLOSED END PORTFOLIO SERIES 44  | WHEATON, IL     | 6/3/2013             | 00674854-05        | Pending-Cleared |
| FT 4262 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 53  | WHEATON, IL     | 6/3/2013             | 00674855-05        | Pending-Cleared |
| FT 4272 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 33   | WHEATON, IL     | 6/3/2013             | 00674844-05        | Pending-Cleared |
| GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC EMERGING MARKETS DEBT FUND CLASS IR SHARES                             | CHICAGO, IL     | 6/3/2013             | 00674728-03        | Registered      |
| GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES                        | CHICAGO, IL     | 6/3/2013             | 00674729-03        | Registered      |
| GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC EMERGING MARKETS DEBT FUND CLASS A SHARES                              | CHICAGO, IL     | 6/3/2013             | 00674726-03        | Registered      |
| GOLDMAN SACHS TR GOLDMAN SACHS DYNAMIC EMERGING MARKETS DEBT FUND CLASS R SHARES                              | CHICAGO, IL     | 6/3/2013             | 00674730-03        | Registered      |

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| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>     |                     |                      |                    |                 |
|---|---------------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b>     | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| GOLDMAN SACHS TR<br>GOLDMAN SACHS DYNAMIC<br>EMERGING MARKETS DEBT<br>FUND CLASS C SHARES                         | CHICAGO, IL         | 6/3/2013             | 00674727-03        | Registered      |
| GUGGENHEIM DEFINED<br>PORTFOLIOS SERIES 1042<br>INCOME & TREASURY LTD<br>DURATION PORTFOLIO FO<br>FUNDS SERIES 28 | LISLE, IL           | 6/3/2013             | 00674859-05        | Pending-Cleared |
| INVESTMENT MANAGERS<br>SERIES TR BRIDGEHAMPTON<br>VALUE STRATEGIES FUND<br>CLASS C                                | MILWAUKEE, WI       | 6/3/2013             | 00674853-03        | Pending-Cleared |
| STONE HARBOR INVESTMENT<br>FUNDS STONE HARBOR<br>INVESTMENT GRADE FUND<br>INSTITUTIONAL CLASS<br>SHARES           | NEW YORK, NY        | 6/3/2013             | 00674724-03        | Registered      |
| STONE HARBOR INVESTMENT<br>FUNDS STONE HARBOR<br>STRATEGIC INCOME FUND<br>INSTITUTIONAL CLASS<br>SHARES           | NEW YORK, NY        | 6/3/2013             | 00674725-03        | Registered      |
| ULTIMUS MANAGERS TR<br>CINCINNATI ASSET<br>MANAGEMENT FUNDS<br>BROAD MARKET STRATEGIC<br>INCOME FUND              | CINCINNATI, OH      | 6/3/2013             | 00674847-03        | Registered      |
| INVESCO UNIT TR MUNICIPAL<br>SERIES 1165 QUALITY<br>MUNICIPALS INCOME TR<br>73RD LTD MATURITY SERIES              | OAKBROOK TER,<br>IL | 5/31/2013            | 00674820-05        | Pending-Cleared |
| INVESCO UNIT TR MUNICIPAL<br>SERIES 1166 QUALITY<br>MUNICIPALS INCOME TR<br>74TH LTD MATURITY SERIES              | OAKBROOK TER,<br>IL | 5/31/2013            | 00674821-05        | Pending-Cleared |
| INVESCO UNIT TR MUNICIPAL<br>SERIES 1167 INSURED<br>MUNICIPALS INCOME TR<br>SERIES 666                            | OAKBROOK TER,<br>IL | 5/31/2013            | 00674822-05        | Pending-Cleared |
| INVESCO UNIT TR MUNICIPAL<br>SERIES 1168 INSURED<br>MUNICIPALS INCOME TR<br>SERIES 667                            | OAKBROOK TER,<br>IL | 5/31/2013            | 00674823-05        | Pending-Cleared |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/27/2013 through 6/7/2013**

| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                     |                      |                    |                 |
|---|---------------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b>     | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| INVESCO UNIT TR SERIES<br>1337 INSIDER BUY STRATEGY<br>2013 2   | OAKBROOK TER,<br>IL | 5/31/2013            | 00674824-05        | Pending-Cleared |
| INVESCO UNIT TR SERIES<br>1338 DOW JONES SELECT<br>DIVIDEND INDEX STRATEGY<br>PORTFOLIO 2013 3                | OAKBROOK TER,<br>IL | 5/31/2013            | 00674826-05        | Pending-Cleared |
| INVESCO UNIT TR SERIES<br>1338 EAFE SELECT 20<br>PORTFOLIO 2013 3   | OAKBROOK TER,<br>IL | 5/31/2013            | 00674825-05        | Pending-Cleared |
| INVESCO UNIT TR SERIES<br>1338 GLOBAL 45 DIVIDEND<br>STRATEGY PORTFOLIO 2013<br>3                             | OAKBROOK TER,<br>IL | 5/31/2013            | 00674830-05        | Pending-Cleared |
| INVESCO UNIT TR SERIES<br>1338 SELECT 10 INDUSTRIAL<br>PORTFOLIO 2013 3                                       | OAKBROOK TER,<br>IL | 5/31/2013            | 00674831-05        | Pending-Cleared |
| INVESCO UNIT TR SERIES<br>1338 SELECT 5 INDUSTRIAL<br>PORTFOLIO 2013 3  | OAKBROOK TER,<br>IL | 5/31/2013            | 00674827-05        | Pending-Cleared |
| INVESCO UNIT TR SERIES<br>1338 SELECT S&P CORE<br>PORFOLIO 2013 3   | OAKBROOK TER,<br>IL | 5/31/2013            | 00674829-05        | Pending-Cleared |
| INVESCO UNIT TR SERIES<br>1338 SELECT S&P<br>INDUSTRIAL PORTFOLIO 2013<br>3                                   | OAKBROOK TER,<br>IL | 5/31/2013            | 00674828-05        | Pending-Cleared |
| INVESCO UNIT TR SERIES<br>1340 ALL CAP CORE<br>STRATEGY 2013 3  | OAKBROOK TER,<br>IL | 5/31/2013            | 00674832-05        | Pending-Cleared |
| INVESCO UNIT TR SERIES<br>1340 LARGE CAP CORE<br>STRATEGY 2013 3  | OAKBROOK TER,<br>IL | 5/31/2013            | 00674833-05        | Pending-Cleared |
| INVESCO UNIT TR SERIES<br>1340 MID CAP CORE<br>STRATEGY 2013 3  | OAKBROOK TER,<br>IL | 5/31/2013            | 00674834-05        | Pending-Cleared |
| INVESCO UNIT TR SERIES<br>1340 SMALL CAP CORE<br>STRATEGY 2013 3  | OAKBROOK TER,<br>IL | 5/31/2013            | 00674835-05        | Pending-Cleared |

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| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                     |                      |                    |                 |
|---|---------------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b>     | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| INVESCO UNIT TR SERIES<br>1340 SS&P POWERPICKS<br>PORTFOLIO 2013 3  | OAKBROOK TER,<br>IL | 5/31/2013            | 00674836-05        | Pending-Cleared |
| INVESCO UNIT TR SERIES<br>1341 CENTRAL EQUITY TR<br>DIVERSIFIED INCOME SERIES<br>48                           | OAKBROOK TER,<br>IL | 5/31/2013            | 00674837-05        | Pending-Cleared |
| INVESCO UNIT TR SERIES<br>1342 DIVIDEND INCOME &<br>VALUE PORTFOLIO 2013 3                                    | OAKBROOK TER,<br>IL | 5/31/2013            | 00674838-05        | Pending-Cleared |
| INVESCO UNIT TR SERIES<br>1346 RETURNS ON YOUR<br>CAPITAL PORTFOLIO 2013<br>SERIES                            | OAKBROOK TER,<br>IL | 5/31/2013            | 00674839-05        | Pending-Cleared |
| LEGG MASON PARTNERS<br>EQUITY TR CLEARBRIDGE<br>TACTICAL DIVIDEND INCOME<br>FUND CLASS A2                     | NEW YORK, NY        | 5/31/2013            | 00674764-03        | Registered      |
| PROFESSIONALLY MANAGED<br>PORTFOLIOS VILLERE EQUITY<br>FUND INSTITUTIONAL CLASS                               | MILWAUKEE, WI       | 5/31/2013            | 00674804-03        | Registered      |
| ALGER FUNDS ALGER<br>INTERNATIONAL GROWTH<br>FUND CLASS I   | NEW YORK, NY        | 5/30/2013            | 00674631-03        | Registered      |
| ALGER GLOBAL GROWTH<br>FUND CLASS I   | NEW YORK, NY        | 5/30/2013            | 00674630-03        | Registered      |
| ALGER GLOBAL GROWTH<br>FUND CLASS Z   | NEW YORK, NY        | 5/30/2013            | 00674632-03        | Registered      |
| FUNDVANTAGE TR GOTHAM<br>ENHANCED RETURN FUND<br>INSTITUTIONAL CLASS<br>SHARES                                | WILMINGTON, DE      | 5/30/2013            | 00674634-03        | Registered      |
| TETON WESTWOOD FUNDS<br>TETON WESTWOOD MID CAP<br>EQUITY FUND CLASS AAA<br>SHARES                             | RYE, NY             | 5/30/2013            | 00674633-03        | Registered      |
| FT 4169 DOW TARGET 10<br>JUNE 13 TERM 7/9/14  | WHEATON, IL         | 5/29/2013            | 00674676-05        | Pending-Cleared |

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|---|-----------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| FT 4188 MUNICIPAL INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 20   | WHEATON, IL     | 5/29/2013            | 00674675-05        | Pending-Cleared |
| FT 4246 HIGH DIVIDEND EMERGING MARKETS EQUITY PORTFOLIO SERIES 5  | WHEATON, IL     | 5/29/2013            | 00674674-05        | Pending-Cleared |
| FT 4259 CORE 3 CLOSED END ALLOCATION PORTFOLIO SERIES 10  | WHEATON, IL     | 5/29/2013            | 00674678-05        | Pending-Cleared |
| FT 4261 TACTICAL INCOME PORTFOLIO SERIES 21   | WHEATON, IL     | 5/29/2013            | 00674673-05        | Pending-Cleared |
| FT 4269 STRATEGIC INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 15   | WHEATON, IL     | 5/29/2013            | 00674672-05        | Pending-Cleared |
| FT 4271 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 37  | WHEATON, IL     | 5/29/2013            | 00674677-05        | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 1041 ALL CAP GROWTH STRATEGY PORTFOLIO SERIES 2                          | LISLE, IL       | 5/29/2013            | 00674666-05        | Pending-Cleared |
| GUGGENHEIM DEFINED PORTFOLIOS SERIES 1041 GUGGENHEIM ABC HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 14           | LISLE, IL       | 5/29/2013            | 00674667-05        | Pending-Cleared |
| TR FOR PROFESSIONAL MANAGERS GENEVA ADVISORS INTERNATIONAL GROWTH FUND CLASS I                                | MILWAUKEE, IL   | 5/29/2013            | 00674680-03        | Registered      |
| TR FOR PROFESSIONAL MANAGERS GENEVA ADVISORS INTERNATIONAL GROWTH FUND CLASS R                                | MILWAUKEE, IL   | 5/29/2013            | 00674679-03        | Registered      |
| ULTIMUS MANAGERS TR LYRICAL US VALUE EQUITY FUND  | GLENDAL, OH     | 5/29/2013            | 00674664-03        | Registered      |

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| <b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b> |                   |                      |                    |                 |
|---|-------------------|----------------------|--------------------|-----------------|
| <b>Firm Name</b>  | <b>Location</b>   | <b>Date Received</b> | <b>File Number</b> | <b>Status</b>   |
| FT 4267 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 23                               | WHEATON, IL       | 5/28/2013            | 00674661-05        | Pending-Cleared |
| TD ASSET MANAGEMENT USA FUNDS INC TDAM SHORT TERM BOND FUND INSTITUTIONAL CLASS                               | NEW YORK, NY      | 5/28/2013            | 00674111-03        | Registered      |
| TD ASSET MANAGEMENT USA FUNDS INC TDAM SHORT TERM BOND FUND ADVISOR CLASS                                     | NEW YORK, NY      | 5/28/2013            | 00674112-03        | Registered      |
| WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE CONSERVATIVE INCOME FUND INSTITUTIONAL CLASS                       | SAN FRANCISCO, CA | 5/28/2013            | 00674113-03        | Registered      |
| <b>Number of Investment Company Filings: 67</b>   |                   |                      |                    |                 |

| <b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b> |                    |                      |                    |               |
|---|--------------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b>    | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| BLUEBAY DIRECT LENDING FUND I BWM LP  | ST. PETER PORT, AA | 6/4/2013             | 00674860-07        | Filed         |
| COSKATA INC   | WARRENVILLE, IL    | 6/4/2013             | 00674861-07        | Filed         |
| BAILARD REAL ESTATE INVESTMENT TR 1 INC   | FOSTER CITY, CA    | 6/3/2013             | 00674840-07        | Filed         |
| FUEL FROM WASTE 2 LLC   | FAIRFIELD, NJ      | 6/3/2013             | 00674857-07        | Filed         |
| ROCKBRIDGE HOSPITALITY FUND 6 LP  | COLUMBUS, OH       | 6/3/2013             | 00674845-07        | Filed         |
| SONOMA BEVERAGE WORKS INC   | HEALDSBURG, CA     | 6/3/2013             | 00674846-07        | Filed         |
| HAMLIN INCOME PLUS FUND LP  | NEW YORK, NY       | 5/31/2013            | 00674805-07        | Filed         |
| ALIGNMED INC  | SANTA ANA, CA      | 5/30/2013            | 00674670-07        | Filed         |

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/27/2013 through 6/7/2013**

| <b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b> |                 |                      |                    |               |
|---|-----------------|----------------------|--------------------|---------------|
| <b>Firm Name</b>  | <b>Location</b> | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| ATLAS GROWTH PARTNERS LP  | PITTSBURGH, PA  | 5/30/2013            | 00674668-07        | Filed         |
| DVINEWAVE INC   | SAN RAMON, CA   | 5/30/2013            | 00674669-07        | Filed         |
| YORKTOWN ENERGY PARTNERS 10 LP  | NEW YORK, NY    | 5/30/2013            | 00674671-07        | Filed         |
| AMBER ROYALTIES LLC   | ADDISON, TX     | 5/28/2013            | 00674658-07        | Filed         |
| BIOVENTRIX INC  | SAN RAMON, CA   | 5/28/2013            | 00674659-07        | Filed         |
| GREENPOINT TACTICAL INCOME FUND LLC   | MADISON, WI     | 5/28/2013            | 00674654-07        | Filed         |
| YTM4 CO INVESTMENT PARTNERS LP  | NEW YORK, NY    | 5/28/2013            | 00674660-07        | Filed         |
| <b>Number of Regulation D Notice Filings: 15</b>  |                 |                      |                    |               |

| <b>Franchise Law Filings Made with DFI's Securities Division</b> |                       |                      |                    |               |
|--|-----------------------|----------------------|--------------------|---------------|
| <b>Legal Name / Trade Name</b>                                   | <b>Location</b>       | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| HEALTH MART SYSTEMS INC  | SAN FRANCISCO, CA     | 6/7/2013             | 606179             | Registered    |
| CALLRN Franchise, LLC / Assisted Living Locators                 | Scottsdale, AZ        | 6/7/2013             | 606178             | Registered    |
| Seniors Helping Seniors, LLC / Seniors Helping Seniors           | Wyomissing Hills, PA  | 6/7/2013             | 606176             | Registered    |
| LMLC Holdings, Inc. / Little Minds Learning Center               | Hudson, WI            | 6/5/2013             | 606159             | Registered    |
| BOJANGLES INTERNATIONAL LLC                                      | CHARLOTTE, NC         | 6/5/2013             | 606174             | Registered    |
| BRUEGGERS FRANCHISE CORP   | BURLINGTON, VT        | 6/5/2013             | 606173             | Registered    |
| WOW CAFE & WINGERY FRANCHISING ACCOUNT LLC                       | COVINGTON, LA         | 6/5/2013             | 606172             | Registered    |
| Tom & Chee Worldwide, LLC  | Cincinnati, OH        | 6/4/2013             | 606170             | Registered    |
| Bookkeeping Express Enterprises, LLC                             | Vienna, VA            | 6/3/2013             | 606169             | Registered    |
| Donatos Pizzeria, LLC  | Columbus, OH          | 6/3/2013             | 606166             | Registered    |
| LaVida Massage Franchise Development, Inc.                       | Commerce Township, MI | 6/3/2013             | 606168             | Registered    |

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| <b>Franchise Law Filings Made with DFI's Securities Division</b>           |                      |                      |                    |               |
|--|----------------------|----------------------|--------------------|---------------|
| <b>Legal Name / Trade Name</b>   | <b>Location</b>      | <b>Date Received</b> | <b>File Number</b> | <b>Status</b> |
| Anago Franchising, Inc.  | Pompano Beach, FL    | 5/31/2013            | 606151             | Registered    |
| COVERALL NORTH AMERICA, INC.   | DEERFIELD BEACH, FL  | 5/31/2013            | 606154             | Registered    |
| FILTA GROUP INC  | ORLANDO, FL          | 5/31/2013            | 606164             | Registered    |
| SPICE & TEA EXCHANGE FRANCHISING LLC                                       | Palm Harbor, FL      | 5/31/2013            | 606163             | Registered    |
| CHHJ Franchising LLC / College Hunks Hauling Junk and College Hunks Moving | Tampa, FL            | 5/30/2013            | 606153             | Registered    |
| Dental Support Plus Franchise, LLC / Dental Support Plus                   | Scottsdale, AZ       | 5/30/2013            | 606152             | Registered    |
| FIREHOUSE OF AMERICA LLC   | JACKSONVILLE, FL     | 5/30/2013            | 606149             | Registered    |
| LearningRx Franchise Corporation / LearningRx Training Center              | COLORADO SPRINGS, CO | 5/30/2013            | 606148             | Registered    |
| EI FRANCHISE CO LLC / Extra Innings  | MIDDLETON, MA        | 5/30/2013            | 606162             | Registered    |
| GOLDS GYM FRANCHISING LLC / Full Amenity program - Legacy Version          | IRVING, TX           | 5/30/2013            | 606157             | Registered    |
| ROYAL FRANCHISING INC / JANI KING OF MILWAUKEE                             | BROOKFIELD, WI       | 5/29/2013            | 606146             | Registered    |
| Regal Nails, Salon & Spa, LLC / Regal Nails Salon & Spa                    | Baton Rouge, LA      | 5/29/2013            | 606145             | Registered    |
| EK Franchising Company / Engineering for Kids                              | Stafford, VA         | 5/29/2013            | 606171             | Registered    |
| GOLDS GYM FRANCHISING LLC / Full Amenity program - Royalty Version         | IRVING, TX           | 5/29/2013            | 606158             | Registered    |
| LEISURE SYSTEMS INC / Yogi Bear's Jellystone Park Camp-Resorts             | MILFORD, OH          | 5/29/2013            | 606165             | Registered    |
| Jani-King Franchising, Inc. / Jani-King                                    | Addison, TX          | 5/28/2013            | 606141             | Registered    |
| <b>Number of Franchise Filings: 27</b>                                     |                      |                      |                    |               |

| <b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b> |                      |
|--|----------------------|
| <b>Takeover Filing Reference</b>   | <b>Date Received</b> |
| <b>Number of Takeover Filings: 0</b>                                       |                      |