

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 5/20/2013 through 5/31/2013**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TD ASSET MANAGEMENT USA FUNDS INC TDAM SHORT TERM BOND FUND INSTITUTIONAL CLASS	NEW YORK, NY	5/28/2013	00674111-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM SHORT TERM BOND FUND ADVISOR CLASS	NEW YORK, NY	5/28/2013	00674112-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE CONSERVATIVE INCOME FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	5/28/2013	00674113-03	Pending-Cleared
GREAT WEST FUNDS INC GREAT WEST MFS INTERNATIONAL VALUE FUND CLASS L	GREENWOOD VILLAGE, CO	5/24/2013	00673940-03	Registered
PIMCO FUNDS PIMCO EM FUNDAMENTAL INDEXPLUS AR STRATEGY FUND CLASS A	NEWPORT BEACH, CA	5/24/2013	00673937-03	Registered
PIMCO FUNDS PIMCO EM FUNDAMENTAL INDEXPLUS AR STRATEGY FUND CLASS C	NEWPORT BEACH, CA	5/24/2013	00673938-03	Registered

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PIMCO FUNDS PIMCO EM FUNDAMENTAL INDEXPLUS AR STRATEGY FUND CLASS D	NEWPORT BEACH, CA	5/24/2013	00673939-03	Registered
WILMINGTON FUNDS TR WILMINGTON US GOVERNMENT MONEY MARKET FUND ADMINISTRATIVE CLASS SHARES	BALTIMORE, MD	5/24/2013	00673941-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM CORE BOND FUND ADVISOR CLASS	NEW YORK, NY	5/21/2013	00673715-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM CORE BOND FUND INSTITUTIONAL CLASS	NEW YORK, NY	5/21/2013	00673717-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM GLOBAL ALL CAP FUND ADVISOR CLASS	NEW YORK, NY	5/21/2013	00673723-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM GLOBAL ALL CAP FUND INSTITUTIONAL CLASS	NEW YORK, NY	5/21/2013	00673725-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM GLOBAL EQUITY INCOME FUND INSTITUTIONAL CLASS	NEW YORK, NY	5/21/2013	00673724-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM GLOBAL EQUITY INCOME FUND ADVISOR CLASS	NEW YORK, NY	5/21/2013	00673726-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM GLOBAL LOW VOLATILITY EQUITY FUND ADVISOR CLASS	NEW YORK, NY	5/21/2013	00673720-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM GLOBAL LOW VOLATILITY EQUITY FUND INSTITUTIONAL CLASS	NEW YORK, NY	5/21/2013	00673721-03	Registered

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TD ASSET MANAGEMENT USA FUNDS INC TDAM HIGH YIELD BOND FUND ADVISOR CLASS	NEW YORK, NY	5/21/2013	00673714-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM HIGH YIELD BOND FUND INSTITUTIONAL CLASS	NEW YORK, NY	5/21/2013	00673716-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM INSTITUTIONAL MUNICIPAL MONEY MARKET FUND INSTITUTIONAL SERVICE CLASS	NEW YORK, NY	5/21/2013	00673713-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM INSTITUTIONAL MUNICIPAL MONEY MARKET FUND INSTITUTIONAL CLASS	NEW YORK, NY	5/21/2013	00673712-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM INSTITUTIONAL MONEY MARKET FUND COMMERCIAL CLASS	NEW YORK, NY	5/21/2013	00673711-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM TARGET RETURN FUND ADVISOR CLASS	NEW YORK, NY	5/21/2013	00673727-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM TARGET RETURN FUND INSTITUTIONAL CLASS	NEW YORK, NY	5/21/2013	00673729-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM US EQUITY INCOME FUND INSTITUTIONAL CLASS	NEW YORK, NY	5/21/2013	00673719-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM US EQUITY INCOME FUND ADVISOR CLASS	NEW YORK, NY	5/21/2013	00673718-03	Registered
TD ASSET MANAGEMENT USA FUNDS INC TDAM US LARGE CAP CORE EQUITY FUND INSTITUTIONAL CLASS	NEW YORK, NY	5/21/2013	00673722-03	Registered

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TD ASSET MANAGEMENT USA FUNDS INC TDAM US LARGE CAP CORE EQUITY FUND ADVISOR CLASS	NEW YORK, NY	5/21/2013	00673728-03	Registered
GUGGENHEIM DEFINED PORTFOLIO SERIES 1031 DIVIDEND GROWTH PORTFOLIO SERIES 3	LISLE, IL	5/20/2013	00672918-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIO SERIES 1031 GUGGENHEIM EMERGING MARKETS DIVIDEND STRATEGY PORTFOLIO SERIES 6	LISLE, IL	5/20/2013	00672919-05	Pending-Cleared
<b>Number of Investment Company Filings: 29</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
MEWBOURNE ENERGY PARTNERS 13A LP	TYLER, TX	5/20/2013	00672917-07	Filed
<b>Number of Regulation D Notice Filings: 1</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Anago Franchising, Inc.	Pompano Beach, FL	5/31/2013	606151	Registered
COVERALL NORTH AMERICA, INC.	DEERFIELD BEACH, FL	5/31/2013	606154	Registered
CHHJ Franchising LLC / College Hunks Hauling Junk and College Hunks Moving	Tampa, FL	5/30/2013	606153	Registered
Dental Support Plus Franchise, LLC / Dental Support Plus	Scottsdale, AZ	5/30/2013	606152	Registered
FIREHOUSE OF AMERICA LLC	JACKSONVILLE, FL	5/30/2013	606149	Registered
LearningRx Franchise Corporation / LearningRx Training Center	COLORADO SPRINGS, CO	5/30/2013	606148	Registered

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ROYAL FRANCHISING INC / JANI KING OF MILWAUKEE	BROOKFIELD, WI	5/29/2013	606146	Registered
Regal Nails, Salon & Spa, LLC / Regal Nails Salon & Spa	Baton Rouge, LA	5/29/2013	606145	Registered
Jani-King Franchising, Inc. / Jani-King	Addison, TX	5/28/2013	606141	Registered
HomeSmart International, LLC / HomeSmart	Phoenix, AZ	5/24/2013	606125	Registered
Bin There USA, LLC / Bin There .....Dump That	Mississauga Ontario, ON	5/24/2013	606139	Registered
GREASE MONKEY FRANCHISING LLC	GREENWOOD VILLAGE, CO	5/24/2013	606135	Registered
SHOWHOMES FRANCHISE CORP	NASHVILLE, TN	5/24/2013	606137	Registered
SYLVAN LEARNING INC / Ace It! Tutoring	BALTIMORE, MD	5/24/2013	606134	Registered
SYLVAN LEARNING INC / Sylvan Learning Center	BALTIMORE, MD	5/24/2013	606136	Registered
The Extreme Pita Franchising USA, Inc. / Extreme Pita	Scottsdale, AZ	5/23/2013	606122	Registered
Supporting Strategies Partners, LLC / Supporting Strategies	Beverly, MA	5/23/2013	606121	Registered
YOGEN FRUZ USA FRANCHISE CO INC	Markham Ontario, BC	5/23/2013	606132	Registered
Massage Green International Franchise Corp.	Farmington Hills, MI	5/22/2013	606119	Registered
Zaxby's Franchising, Inc. / Zaxby's	Athens, GA	5/21/2013	606118	Registered
AAAC Supoprt Services, Inc.	Dunbar, WV	5/21/2013	606144	Registered
Above Grade Level, LLC	Morganville, NJ	5/21/2013	606140	Registered
HOME INSTEAD INC / Home Instead Senior Care	OMAHA, NE	5/21/2013	606131	Registered
RadioShack Corporation / RadioShack - Royalty Version	Fort Worth, TX	5/20/2013	606104	Registered
RADIOSHACK CORPORATION / RadioShack - NonRoyalty Version	FORT WORTH, TX	5/20/2013	606103	Registered
Sears Home Appliance Showrooms, LLC / Sears Outlet Store	Hoffman Estates, IL	5/20/2013	606097	Registered
Sears Home Appliance Showrooms, LLC / Shears Home Appliance Showroom	Hoffman Estates, IL	5/20/2013	606096	Registered
SEARS HOME APPLIANCE SHOWROOMS, LLC / Sears Appliance & Hardware Store	HOFFMAN ESTATES, IL	5/20/2013	606095	Registered

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CITY PUBLICATIONS FRANCHISE GROUP INC	ATLANTA, GA	5/20/2013	606113	Registered
COLORTYME INC	PLANO, TX	5/20/2013	606115	Registered
PHYSICIANS WEIGHT LOSS CENTERS OF AMERICA INC	AKRON, OH	5/20/2013	606114	Registered
<b>Number of Franchise Filings: 31</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	