

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 4/8/2013 through 4/19/2013**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
PAR PETROLEUM CORP	HOUSTON, TX	4/10/2013	00670447-08	Not Disallowed
CHICAGO UNIVERSITY OF TAXABLE FIXED RATE BONDS SERIES 2013B	CHICAGO, IL	4/9/2013	00670399-08	Pending
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BLACKROCK FUNDS BLACKROCK EMERGING MARKET ALLOCATION PORTFOLIO INSTITUTIONAL SHARES	WILMINGTON, DE	4/12/2013	00670697-03	Pending-Cleared
BLACKROCK FUNDS BLACKROCK EMERGING MARKET ALLOCATION PORTFOLIO INVESTOR A SHARES	WILMINGTON, DE	4/12/2013	00670695-03	Pending-Cleared
BLACKROCK FUNDS BLACKROCK EMERGING MARKET ALLOCATION PORTFOLIO INVESTOR C SHARES	WILMINGTON, DE	4/12/2013	00670696-03	Pending-Cleared
TIAA CREF FUNDS TIAA CREF INTERNATIONAL OPPORTUNITIES FUND INSTITUTIONAL CLASS	NEW YORK, NY	4/11/2013	00670320-03	Registered
TIAA CREF FUNDS TIAA CREF INTERNATIONAL OPPORTUNITIES FUND RETAIL CLASS	NEW YORK, NY	4/11/2013	00670323-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TIAA CREF FUNDS TIAA CREF INTERNATIONAL OPPORTUNITIES FUND PREMIER CLASS	NEW YORK, NY	4/11/2013	00670322-03	Registered
TIAA CREF FUNDS TIAA CREF INTERNATIONAL OPPORTUNITIES FUND RETIREMENT CLASS	NEW YORK, NY	4/11/2013	00670321-03	Registered
ADVISORS DISCIPLINED TR 1013 GLOBAL DIVIDEND STRATEGY PORTFOLIO SERIES 2013 2Q	MONUMENT, CO	4/10/2013	00670429-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1017 ENHANCED EQUITY INCOME PORTFOLIO SERIES 2013 2Q	MONUMENT, CO	4/10/2013	00670428-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1033 ADVISORS CORPORATE TR HIGH YIELD BOND PORTFOLIO SERIES 2013 APRIL	MONUMENT, CO	4/10/2013	00670427-05	Pending-Cleared
BLAIR WILLIAM FUNDS BLAIR WILLIAM GLOBAL SMALL CAP GROWTH FUND CLASS I SHARES	CHICAGO, IL	4/10/2013	00670316-03	Registered
BLAIR WILLIAM FUNDS BLAIR WILLIAM GLOBAL SMALL CAP GROWTH FUND CLASS N SHARES	CHICAGO, IL	4/10/2013	00670317-03	Registered
BLAIR WILLIAM FUNDS BLAIR WILLIAM GLOBAL SMALL CAP GROWTH FUND INSTITUTIONAL CLASS SHARES	CHICAGO, IL	4/10/2013	00670315-03	Registered
FT 4151 DORSEY WRIGHT RELATIVE STRENGTH DIVIDEND PORTFOLIO SERIES 9	WHEATON, IL	4/10/2013	00670432-05	Pending-Cleared
FT 4158 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 6	WHEATON, IL	4/10/2013	00670431-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1023 GUGGENHEIM REIT PORTFOLIO SERIES 8	LISLE, IL	4/10/2013	00670430-05	Pending-Cleared
LEGG MASON PARTNERS EQUITY TR CLEARBRIDGE EQUITY INCOME FUND CLASS IS	NEW YORK, NY	4/10/2013	00670318-03	Registered
LEGG MASON PARTNERS EQUITY TR CLEARBRIDGE LARGE CAP VALUE FUND CLASS R	NEW YORK, NY	4/10/2013	00670319-03	Registered
NORTHERN LIGHTS FUND TR 2 AFTERSHOCK STRATEGIES FUND CLASS N	SMITHTOWN, NY	4/10/2013	00670440-03	Registered
TR FOR PROFESSIONAL MANAGERS DEARBORN PARTNERS RISING DIVIDEND FUND CLASS C SHARES	MILWAUKEE, NY	4/10/2013	00670442-03	Registered
TR FOR PROFESSIONAL MANAGERS DEARBORN PARTNERS RISING DIVIDEND FUND CLASS A SHARES	MILWAUKEE, NY	4/10/2013	00670443-03	Registered
TR FOR PROFESSIONAL MANAGERS DEARBORN PARTNERS RISING DIVIDEND FUND CLASS I SHARES	MILWAUKEE, NY	4/10/2013	00670441-03	Registered
INVESCO UNIT TR SERIES 1314 BUYOUT OPPORTUNITY PORTFOLIO 2013 1	OAKBROOK TER, IL	4/9/2013	00670414-05	Pending-Cleared
INVESCO UNIT TR SERIES 1319 PRECIOUS METALS & MINING PORTFOLIO 2013 2	OAKBROOK TER, IL	4/9/2013	00670413-05	Pending-Cleared
INVESCO UNIT TR SERIES 1322 INFLATION HEDGE PORTFOLIO SERIES 9	OAKBROOK TER, IL	4/9/2013	00670412-05	Pending-Cleared

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Firm Name	Location	Date Received	File Number	Status
INVESCO UNIT TR SERIES 1325 ALTERNATIVES ALLOCATION PORTFOLIO 2013 2	OAKBROOK TER, IL	4/9/2013	00670411-05	Pending-Cleared
INVESCO UNIT TR SERIES 1329 CONSERVATIVE INCOME ALLOCATION PORTFOLIO SERIES 16	OAKBROOK TER, IL	4/9/2013	00670410-05	Pending-Cleared
1ST EAGLE FUNDS 1ST EAGLE FUND OF AMERICA CLASS I SHARES	NEW YORK, NY	4/8/2013	00670153-03	Registered
1ST INVESTORS INCOME FUNDS 1ST INVESTORS STRATEGIC INCOME FUND CLASS A	WALL STREET, NY	4/8/2013	00670377-03	Registered
FT 4127 LTD DURATION FIXED INCOME ETF PORTFOLIO SERIES	WHEATON, IL	4/8/2013	00670392-05	Pending-Cleared
FT 4131 BALANCED INCOME PORTFOLIO SERIES 39	WHEATON, IL	4/8/2013	00670389-05	Pending-Cleared
FT 4132 DIVIDEND STRENGTH PORTFOLIO SERIES 18	WHEATON, IL	4/8/2013	00670390-05	Pending-Cleared
FT 4134 DEFENSIVE EQUITY PORTFOLIO SERIES 23	WHEATON, IL	4/8/2013	00670394-05	Pending-Cleared
FT 4145 MUNICIPAL SELECT CLOSED END PORTFOLIO SERIES 52	WHEATON, IL	4/8/2013	00670391-05	Pending-Cleared
FT 4171 INTEREST RATE HEDGE PORTFOLIO SERIES 66	WHEATON, IL	4/8/2013	00670395-05	Pending-Cleared
FT 4173 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 35	WHEATON, IL	4/8/2013	00670393-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1020 CONVERTIBLE & INCOME PORTFOLIO OF FUNDS SERIES 17	LISLE, IL	4/8/2013	00670396-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NATIONWIDE MUTUAL FUNDS NATIONWIDE CORE PLUS BOND FUND INSTITUTIONAL CLASS	BOSTON, MA	4/8/2013	00670352-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE CORE PLUS BOND FUND INSTITUTIONAL SERVICE CLASS	BOSTON, MA	4/8/2013	00670353-03	Registered
NATIONWIDE MUTUAL FUNDS NATIONWIDE CORE PLUS BOND FUND CLASS A	BOSTON, MA	4/8/2013	00670351-03	Registered
Number of Investment Company Filings: 40				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SAB CAPITAL MARKETS LP	SAN ANTONIO, TX	4/11/2013	00670528-07	Filed
SAB EQUITY PARTNERSHIP LP	SAN ANTONIO, TX	4/11/2013	00670527-07	Filed
STEELPATH MLP PARTNERS LP	DALLAS, TX	4/11/2013	00670529-07	Filed
APX DRILLING PARTNERS 2013 LP	BUFFALO, NY	4/10/2013	00670444-07	Filed
ASSOC COMMUNITY BANC INC	GREENWICH, CT	4/10/2013	00670446-07	Filed
AMBOY 3 D JOINT VENTURE	SAN ANTONIO, TX	4/9/2013	00670415-07	Filed
CEVIAN CAPITAL 2 LP	GRAND CAYMAN, AA	4/9/2013	00670403-07	Filed
HG VORA SPECIAL OPPORTUNITIES FUND LTD	GRAND CAYMAN, AA	4/9/2013	00670400-07	Filed
ON THE BLOCK LLC	CLIVE, IA	4/9/2013	00670402-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
2013 SEABREEZE OFFSET DRILLING PROGRAM LP	BOWLING GREEN, KY	4/8/2013	00670379-07	Filed
ALTUS CAPITAL OPPORTUNITY FUND LP	SAN DIEGO, CA	4/8/2013	00670378-07	Filed
Number of Regulation D Notice Filings: 11				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
GN Independents, Inc	Madison, WI	4/19/2013	605756	Registered
FLEET FEET INCORPORATED / FLEET FEET SPORTS	CARRBORO, NC	4/19/2013	605755	Registered
PITA PIT INC. / THE PITA PIT	COEUR D'ALENE, ID	4/19/2013	605752	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER CLEAN - Small Business Services	Memphis, TN	4/19/2013	605754	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER CLEAN - On-Location Services	Memphis, TN	4/19/2013	605753	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER CLEAN - Janitorial Services	Memphis, TN	4/19/2013	605751	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER CLEAN - Floor Care Services	Memphis, TN	4/19/2013	605750	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER RECOVERY MANAGEMENT - Recovery Management Services	Memphis, TN	4/19/2013	605749	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER CLEAN - Disaster Restoration Services	Memphis, TN	4/19/2013	605748	Registered
SERVICEMASTER RESIDENTIAL/COMMERCIAL SERVICES LIMITED PARTNERSHIP / SERVICEMASTER RECOVERY MANAGEMENT - Recovery Management Services	Memphis, TN	4/19/2013	605747	Registered
MERRY MAIDS LIMITED PARTNERSHIP / MERRY MAIDS	Memphis, TN	4/19/2013	605745	Registered
United States Seamless, Inc.	Fargo, ND	4/19/2013	605744	Registered
Rebounderz Franchise and Development, Inc. / Rebounderz	Sanford, FL	4/19/2013	605743	Registered
Pancho's Franchise Corporation / Pancho's	Coralville, IA	4/18/2013	605742	Registered
World Inspection Network International, Inc. / WIN Home Inspection	Madison, AL	4/18/2013	605741	Registered
Ground Round Independent Owners Cooperative, LLC / Ground Round Grill & Bar Restaurants and Ground Round Sports Grille Restaurants	Freeport, ME	4/18/2013	605740	Registered
Next Day Access LLC	Bartlett, TN	4/18/2013	605738	Registered
Lil' Angels, LLC / Lil' Angels Photography	Olive Branch, MS	4/17/2013	605737	Registered
Assisted Transitions, LLC	Long Beach, CA	4/17/2013	605736	Registered
Zerorez Franchising Systems, Inc. / Zerorez	Pleasant Grove, UT	4/17/2013	605732	Registered
Canine Dimensions Franchising, LLC / Canine Dimensions	Marlton, NJ	4/16/2013	605731	Registered
SALSARITA'S FRANCHISING, LLC / SALSARITA'S	CHARLOTTE, NC	4/16/2013	605730	Registered
TROPICAL SMOOTHIE CAFE, LLC / Unit	Atlanta, GA	4/16/2013	605729	Registered
AUTO DRIVEAWAY FRANCHISE SYSTEMS, LLC / Auto Driveaway	LOMBARD, IL	4/15/2013	605727	Registered
Topper's Pizza, Inc. / Toppers Pizza	Whitewater, WI	4/15/2013	605726	Registered
Chick-fil-A, Inc. / "Chick-fil-A" or "Chick-fil-A, Inc."	Atlanta, GA	4/15/2013	605725	Registered

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Chick-fil-A, Inc. / "Chick-fil-A" or "Chick-fil-A, Inc."	Atlanta, GA	4/15/2013	605724	Registered
Curves International, Inc. / Curves and Curves for Women	Woodway, TX	4/15/2013	605723	Registered
EXPENSE REDUCTION ANALYSTS INC / Regional	Addison, TX	4/15/2013	605721	Registered
G'Day! Pet Care, LLC / G'Day! Pet Care	Lakewood, CO	4/15/2013	605720	Registered
Pinkberry Ventures, Inc. / Pinkberry	Santa Monica, CA	4/15/2013	605719	Registered
McDonald's USA, LLC / McDonald's	Oak Brook, IL	4/15/2013	605718	Registered
BIG MIKES SUPER SUBS FRANCHISING SYSTEMS INC / Milios	MADISON, WI	4/12/2013	605746	Registered
H-1 AUTO CARE INC / Honest 1	LAS VEGAS, NV	4/12/2013	605716	Registered
ActiKare, Inc. / ActiKare In Home Care Services	Tampa, FL	4/11/2013	605689	Registered
Club Z!, Inc. / Club Z!	Tampa, FL	4/11/2013	605678	Registered
Doc Popcorn Development, Inc. / Doc Popcorn	Boulder, CO	4/11/2013	605677	Registered
EAST COAST WINGS CORPORATION / EAST COAST WINGS & GRILL	WINSTON-SALEM, NC	4/10/2013	605676	Registered
Sixt Franchise USA, LLC / Sixt Rent A Car	Ft. Lauderdale, FL	4/10/2013	605675	Registered
Lillians Shoppes, LLC	Plymouth, MN	4/10/2013	605674	Registered
Max Brenner Franchising, LLC / Max Brenner	New York, NY	4/10/2013	605673	Registered
Flippin Pizza International, LLC / Flippin Pizza New York Style	Rancho Santa Fe, CA	4/10/2013	605697	Registered
JAN PRO FRANCHISING INTERNATIONAL INC	ALPHARETTA, GA	4/10/2013	605698	Registered
MASSAGE ENVY FRANCHISING, LLC / Massage Envy Spa	Scottsdale, AZ	4/10/2013	605693	Registered
MASSAGE ENVY FRANCHISING, LLC / Ginger Hartman	Scottsdale, AZ	4/10/2013	605694	Registered
Maui Wowi Franchising, Inc. / Maui Wowi Hawaiian	Greenwood Village, CO	4/10/2013	605692	Registered
PILLAR TO POST INC / Exclusive Territory	TAMPA, FL	4/10/2013	605691	Registered
PILLAR TO POST INC / Non-Exclusive Territory	TAMPA, FL	4/10/2013	605696	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
SALADWORKS LLC	CONSHOHOCKE N, PA	4/10/2013	605699	Registered
Human Healthy Vending, LLC / H.U.M.A.N.	Culver City, CA	4/9/2013	605672	Registered
MIDWEST LAWN CARE LLC / Weed Man	MIDDLETON, WI	4/9/2013	605671	Registered
GC FRANCHISING SYSTEMS INC	CINCINNATI, OH	4/9/2013	605709	Registered
HARDEES Restaurant, LLC / Red Burrito	ST LOUIS, MO	4/9/2013	605690	Registered
HARDEES Restaurant, LLC / St. Louis	ST LOUIS, MO	4/9/2013	605713	Registered
HARDEES Restaurant, LLC / Multi Unit	ST LOUIS, MO	4/9/2013	605715	Registered
HH FRANCHISING SYSTEMS INC / Home Helpers	CINCINNATI, OH	4/9/2013	605683	Registered
KUMON NORTH AMERICA INC	TEANECK, NJ	4/9/2013	605685	Registered
SFF LLC / SweetFrog	Reston, VA	4/9/2013	605682	Registered
SMOOTHIE KING FRANCHISES INC	COVINGTON, LA	4/9/2013	605684	Registered
SNIP ITS FRANCHISE CO LLC	Eden Prairie, MN	4/9/2013	605681	Registered
SPEEDPRO USA LLC	SCOTTSDALE, AZ	4/9/2013	605680	Registered
Red Mango FC, LLC / Red Mango	Dallas, TX	4/8/2013	605670	Registered
American Poolplayers Association, Inc. / American Poolplayers Association, Inc.	Lake St. Louis, MO	4/8/2013	605664	Registered
Oxford Group International, LLC / Grade Power Learning	Farmingdale, NY	4/8/2013	605662	Registered
MANAGEMENT RECRUITERS INTERNATIONAL INC	PHILADELPHIA, PA	4/8/2013	605640	Registered
2B Organized Franchise System, Inc. / 2B ORGANIZED	Springfield, MO	4/8/2013	605639	Registered
1-800-Junkpro, LLC	Valley Center, KS	4/8/2013	605712	Registered
Benvenuto's Franchising Systems LLC	Beaver Dam, WI	4/8/2013	605686	Registered
bottle & bottega, Inc. / Bottle & Bottega	Chicago, IL	4/8/2013	605688	Registered
CARL'S JR. RESTAURANTS, LLC / Carl's Jr./Green Burrito	CARPINTERIA, CA	4/8/2013	605703	Registered
CARL'S JR. RESTAURANTS, LLC / Non-Traditional/Carl's Jr./Green Burrito	CARPINTERIA, CA	4/8/2013	605704	Registered
CICI ENTERPRISES LP / Cici's Pizza	COPPELL, TX	4/8/2013	605707	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
CT FRANCHISING SYSTEMS INC	CINCINNATI, OH	4/8/2013	605702	Registered
DISASTER KLEENUP INTERNATIONAL INC	WOOD DALE, IL	4/8/2013	605710	Registered
HARDEES Restaurant, LLC / Anaheim	ST LOUIS, MO	4/8/2013	605714	Registered
Hofbrauhaus of America LLC	Vassar, MI	4/8/2013	605700	Registered
HOMEVESTORS OF AMERICA INC	DALLAS, TX	4/8/2013	605708	Registered
JUST BETWEEN FRIENDS FRANCHISE SYSTEM INC	BROKEN ARROW, OK	4/8/2013	605711	Registered
KFC CORP / KFC TRADITIONAL FRANCHISE PROGRAM	LOUISVILLE, KY	4/8/2013	605687	Registered
KFC CORP / Express	LOUISVILLE, KY	4/8/2013	605701	Registered
MAID BRIGADE INC	ATLANTA, GA	4/8/2013	605706	Registered
ROCOCO FRANCHISE CORP / Rocky Rococo Pizza & Pasta	OCONOMOWOC, WI	4/8/2013	605695	Registered
We Olive Franchising, LLC	Paso Robles, CA	4/8/2013	605705	Registered
Number of Franchise Filings: 83				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	