

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 3/11/2013 through 3/22/2013**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FABICK INC	,	3/20/2013	00668813-06	Pending
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
EATON VANCE GROWTH TR EATON VANCE ATLANTA CAPITAL SELECT EQUITY FUND CLASS C SHARES	BOSTON, MA	3/18/2013	00668769-03	Registered
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN CURRENCY & INCOME FUND CLASS R4	MINNEAPOLIS, MN	3/15/2013	00668757-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR 2 COLUMBIA AMT FREE TX EX BOND FUND CLASS R4	MINNEAPOLIS, MN	3/15/2013	00668754-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR 2 COLUMBIA COMMODITY STRATEGY FUND CLASS R4	MINNEAPOLIS, MN	3/15/2013	00668760-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR 2 COLUMBIA EMERGING MARKETS BOND FUND CLASS R4	MINNEAPOLIS, MN	3/15/2013	00668756-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR 2 COLUMBIA LARGE CORE QUANTITATIVE FUND CLASS R4	MINNEAPOLIS, MN	3/15/2013	00668762-03	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
COLUMBIA FUNDS SERIES TR 2 COLUMBIA LTD DURATION CREDIT FUND CLASS Y	MINNEAPOLIS, MN	3/15/2013	00668755-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR 2 COLUMBIA MN TX EX FUND CLASS R4	MINNEAPOLIS, MN	3/15/2013	00668759-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR 2 COLUMBIA RECOVERY & INFRASTRUCTURE FUND CLASS R4	MINNEAPOLIS, MN	3/15/2013	00668761-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR COLUMBIA SHORT TERM MUNICIPAL BOND FUND CLASS R4	BOSTON, MA	3/15/2013	00668758-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA EMERGING MARKETS FUND CLASS R4	BOSTON, MA	3/15/2013	00668747-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA GLOBAL DIVIDEND OPPORTUNITY FUND CLASS R4	BOSTON, MA	3/15/2013	00668749-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA GREATER CHINA FUND CLASS R4	BOSTON, MA	3/15/2013	00668750-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA HIGH YIELD MUNICIPAL FUND CLASS R4	BOSTON, MA	3/15/2013	00668753-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA INTERMEDIATE MUNICIPAL BOND FUND CLASS R4	BOSTON, MA	3/15/2013	00668752-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA PACIFIC ASIA FUND CLASS R4	BOSTON, MA	3/15/2013	00668748-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA TX EX FUND CLASS R4	BOSTON, MA	3/15/2013	00668751-03	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PUTNAM FUNDS TR PUTNAM EMERGING MARKETS INCOME FUND CLASS A	BOSTON, MA	3/15/2013	00668738-03	Registered
PUTNAM FUNDS TR PUTNAM EMERGING MARKETS INCOME FUND CLASS B	BOSTON, MA	3/15/2013	00668730-03	Registered
PUTNAM FUNDS TR PUTNAM EMERGING MARKETS INCOME FUND CLASS C	BOSTON, MA	3/15/2013	00668742-03	Registered
PUTNAM FUNDS TR PUTNAM EMERGING MARKETS INCOME FUND CLASS M	BOSTON, MA	3/15/2013	00668732-03	Registered
PUTNAM FUNDS TR PUTNAM EMERGING MARKETS INCOME FUND CLASS Y	BOSTON, MA	3/15/2013	00668718-03	Registered
PUTNAM FUNDS TR PUTNAM GLOBAL DIVIDEND FUND CLASS A	BOSTON, MA	3/15/2013	00668719-03	Registered
PUTNAM FUNDS TR PUTNAM GLOBAL DIVIDEND FUND CLASS B	BOSTON, MA	3/15/2013	00668721-03	Registered
PUTNAM FUNDS TR PUTNAM GLOBAL DIVIDEND FUND CLASS C	BOSTON, MA	3/15/2013	00668723-03	Registered
PUTNAM FUNDS TR PUTNAM GLOBAL DIVIDEND FUND CLASS M	BOSTON, MA	3/15/2013	00668725-03	Registered
PUTNAM FUNDS TR PUTNAM GLOBAL DIVIDEND FUND CLASS Y	BOSTON, MA	3/15/2013	00668727-03	Registered
PUTNAM FUNDS TR PUTNAM INTERMEDIATE TERM MUNICIPAL INCOME FUND CLASS A	BOSTON, MA	3/15/2013	00668724-03	Registered
PUTNAM FUNDS TR PUTNAM INTERMEDIATE TERM MUNICIPAL INCOME FUND CLASS Y	BOSTON, MA	3/15/2013	00668728-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PUTNAM FUNDS TR PUTNAM INTERMEDIATE TERM MUNICIPAL INCOME FUND CLASS C	BOSTON, MA	3/15/2013	00668726-03	Registered
PUTNAM FUNDS TR PUTNAM INTERMEDIATE TERM MUNICIPAL INCOME FUND CLASS M	BOSTON, MA	3/15/2013	00668717-03	Registered
PUTNAM FUNDS TR PUTNAM INTERMEDIATE TERM MUNICIPAL INCOME FUND CLASS B	BOSTON, MA	3/15/2013	00668736-03	Registered
PUTNAM FUNDS TR PUTNAM LOW VOLATILITY EQUITY FUND CLASS A	BOSTON, MA	3/15/2013	00668729-03	Registered
PUTNAM FUNDS TR PUTNAM LOW VOLATILITY EQUITY FUND CLASS B	BOSTON, MA	3/15/2013	00668731-03	Registered
PUTNAM FUNDS TR PUTNAM LOW VOLATILITY EQUITY FUND CLASS C	BOSTON, MA	3/15/2013	00668733-03	Registered
PUTNAM FUNDS TR PUTNAM LOW VOLATILITY EQUITY FUND CLASS M	BOSTON, MA	3/15/2013	00668735-03	Registered
PUTNAM FUNDS TR PUTNAM LOW VOLATILITY EQUITY FUND CLASS Y	BOSTON, MA	3/15/2013	00668737-03	Registered
PUTNAM FUNDS TR PUTNAM SHORT TERM MUNICIPAL INCOME FUND CLASS B	BOSTON, MA	3/15/2013	00668720-03	Registered
PUTNAM FUNDS TR PUTNAM SHORT TERM MUNICIPAL INCOME FUND CLASS Y	BOSTON, MA	3/15/2013	00668745-03	Registered
PUTNAM FUNDS TR PUTNAM SHORT TERM MUNICIPAL INCOME FUND CLASS M	BOSTON, MA	3/15/2013	00668722-03	Registered

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
PUTNAM FUNDS TR PUTNAM SHORT TERM MUNICIPAL INCOME FUND CLASS A	BOSTON, MA	3/15/2013	00668740-03	Registered
PUTNAM FUNDS TR PUTNAM SHORT TERM MUNICIPAL INCOME FUND CLASS C	BOSTON, MA	3/15/2013	00668734-03	Registered
PUTNAM FUNDS TR PUTNAM STRATEGIC VOLATILITY EQUITY FUND CLASS M	BOSTON, MA	3/15/2013	00668746-03	Registered
PUTNAM FUNDS TR PUTNAM STRATEGIC VOLATILITY EQUITY FUND CLASS C	BOSTON, MA	3/15/2013	00668744-03	Registered
PUTNAM FUNDS TR PUTNAM STRATEGIC VOLATILITY EQUITY FUND CLASS A	BOSTON, MA	3/15/2013	00668739-03	Registered
PUTNAM FUNDS TR PUTNAM STRATEGIC VOLATILITY EQUITY FUND CLASS Y	BOSTON, MA	3/15/2013	00668743-03	Registered
PUTNAM FUNDS TR PUTNAM STRATEGIC VOLATILITY EQUITY FUND CLASS B	BOSTON, MA	3/15/2013	00668741-03	Registered
LEGG MASON PARTNERS INCOME TR WESTERN ASSET SHORT TERM YIELD FUND CLASS IS	NEW YORK, NY	3/14/2013	00668608-03	Registered
OPPENHEIMER INTERNATIONAL VALUE FUND CLASS I SHARES	DENVER, CO	3/12/2013	00668633-03	Pending-Cleared
RBC FUNDS TR RBC MICROCAP VALUE FUND CLASS I	MINNEAPOLIS, MN	3/12/2013	00668578-03	Registered
RBC FUNDS TR RBC SMALL CAP CORE FUND CLASS I	MINNEAPOLIS, MN	3/12/2013	00668579-03	Registered
1ST TR COMBINED SERIES 417 INVESTMENT GRADE MULTI ASSET INCOME PORTFOLIO 10 20 YR SERIES 6	WHEATON, IL	3/11/2013	00668616-05	Pending-Cleared

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ADVISORS DISCIPLINED TR 1000 HIGH 50 DIVIDEND STRATEGY PORTFOLIO SERIES 2013 1Q	MONUMENT, CO	3/11/2013	00668621-05	Pending-Cleared
ADVISORS DISCIPLINED TR 1005 ADVISORS CORPORATE TR HIGH YIELD BOND PORTFOLIO SERIES 2013 MARCH	MONUMENT, CO	3/11/2013	00668636-05	Pending-Cleared
ADVISORS DISCIPLINED TR 990 DIVIDEND INCOME VALUE STRATEGY PORTFOLIO SERIES 2013 1Q	MONUMENT, CO	3/11/2013	00668619-05	Pending-Cleared
ADVISORS DISCIPLINED TR 991 PERONI GROWTH PORTFOLIO SPRING 2013 SERIES	MONUMENT, CO	3/11/2013	00668620-05	Pending-Cleared
ADVISORS DISCIPLINED TR 992 GLOBAL COVERED BASIC MATERIALS PORTFOLIO SERIES 2013 1	MONUMENT, CO	3/11/2013	00668618-05	Pending-Cleared
FT 4072 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES 5	WHEATON, IL	3/11/2013	00668614-05	Pending-Cleared
FT 4088 TX ADVANTAGED MUNICIPAL CLOSED END PORTFOLIO SERIES 35	WHEATON, IL	3/11/2013	00668635-05	Pending-Cleared
FT 4102 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 33	WHEATON, IL	3/11/2013	00668615-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLKIOS SERIES 1010 INCOME & TREASURY LTD DURATION PORTFOLIO OF FUNDS SERIES 27	LISLE, IL	3/11/2013	00668617-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLKIOS SERIES 1012 DOW JONES VALUE RBP DIVIDEND FOCUS PORTFOLIO SERIES 12	LISLE, IL	3/11/2013	00668634-05	Pending-Cleared
<b>Number of Investment Company Filings: 62</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BRAEGER AUTO FINANCE GROUP LLC	MILWAUKEE, WI	3/12/2013	00668623-07	Filed
MORE THAN CONTEST LLC	NEW YORK, NY	3/12/2013	00668624-07	Filed
DELMAR PHARMACEUTICALS INC	VANCOUVER, BC	3/11/2013	00668622-07	Filed
<b>Number of Regulation D Notice Filings: 3</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Ultimate Franchises, Inc. / 18/8 Salon	Laguna Niguel, CA	3/22/2013	605311	Registered
EXPRESS SERVICES INC / Express Employment Professionals	OKLAHOMA CITY, OK	3/22/2013	605309	Registered
MONKEY JOES FRANCHISING INC / Monkey Joe's	ATLANTA, GA	3/22/2013	605308	Registered
JP OPERATIONS, INC. / JIMANO'S PIZZERIA	GURNEE, IL	3/21/2013	605307	Registered
FAMOUS DAVES OF AMERICA INC / Famous Dave's®	Minnetonka, MN	3/21/2013	605306	Registered
Kosama Franchising, LLC / Kosama, Kosama Complete Body Transformation	Chanhassen, MN	3/21/2013	605305	Registered
SandFree Systems, LLC	Ardmore, PA	3/21/2013	605297	Registered
RED ROBIN INTERNATIONAL INC	GREENWOOD VILLAGE, CO	3/21/2013	605296	Registered
PRECISION HOLDINGS OF BREVARD INC / Precision Door Service	TITUSVILLE, FL	3/21/2013	605287	Registered
DECOR & YOU INC / DECOR&YOU	SOUTHBURY, CT	3/21/2013	605285	Registered
SandalStone Ventures, Inc. / Vanguard Cleaning Systems of SE Wisconsin	Greenfield, WI	3/20/2013	605284	Registered
DIPPIN' DOTS FRANCHISING, L.L.C. / DIPPIN' DOTS	Paducah, KY	3/20/2013	605283	Registered
f2o Franchising Company LLC / Fresh To Order	Alpharetta, GA	3/20/2013	605282	Registered
Ultimate Fitness Group LLC / Orange Theory Unit Franchise	Fort Lauderdale, FL	3/20/2013	605281	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Ultimate Fitness Group LLC / Orange Theory Area Representative Franchise	Fort Lauderdale, FL	3/20/2013	605280	Registered
Exercise Coach USA, LLC / The Excercise Coach	Lake Zurich, IL	3/20/2013	605278	Registered
Liquid Capital of America Corp. / Liquid Capital	Irving, TX	3/20/2013	605277	Registered
MINUTEMAN PRESS INTERNATIONAL INC	FARMINGDALE, NY	3/20/2013	605270	Registered
Chanticlear Franchise System LLC / Chanticlear Pizza	Ham Lake, MN	3/20/2013	605301	Registered
GOSH ENTERPRISES INC / Charley's	COLUMBUS, OH	3/20/2013	605299	Registered
HAPPY & HEALTHY PRODUCTS INC	BOCA ROTON, FL	3/20/2013	605298	Registered
NATIONAL INTERNET CORP	LEWES, DE	3/20/2013	605300	Registered
renters warehouse USA LLC	Minnetonka, MN	3/20/2013	605302	Registered
ROLY POLY FRANCHISE SYSTEMS LLC / Roly Poly Rolled Sandwiches	Key West, FL	3/20/2013	605295	Registered
VALSPAR CORP	Minneapolis, MN	3/20/2013	605294	Registered
WOODCRAFT FRANCHISE LLC	PAKERSBURG, WV	3/20/2013	605293	Registered
Budget Blinds, Inc. / Budget Blinds	Orange, CA	3/19/2013	605267	Registered
GUESTHOUSE INTERNATIONAL LLC / Settle Inn and Settle Inn & Suites	HENDERSONVILLE, TN	3/19/2013	605266	Registered
GUESTHOUSE INTERNATIONAL LLC	HENDERSONVILLE, TN	3/19/2013	605264	Registered
Junk King Franchise Systems, Inc. / Junk King	San Carlos, CA	3/19/2013	605262	Registered
BlueCrate Franchise Systems, LLC	St. Cloud, MN	3/19/2013	605303	Registered
COUSINS SUBS SYSTEMS INC	MENOMONEE FALLS, WI	3/19/2013	605288	Registered
NATIONAL PROPERTY INSPECTIONS INC	OMAHA, NE	3/19/2013	605289	Registered
RAPREC FRANCHISING INC	PEORIA, AZ	3/19/2013	605291	Registered
Sloan's Franchise, LLC	Palm Beach, FL	3/19/2013	605290	Registered
USA INSULATION FRANCHISE CORP	EASTLAKE, OH	3/19/2013	605286	Registered
WETZELS PRETZELS LLC	PASADENA, CA	3/19/2013	605292	Registered
EmbroidMe.com, Inc. / EmbroidMe	West Palm Beach, FL	3/18/2013	605241	Registered

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
KS La Crosse Investments, LLC	La Crosse, WI	3/18/2013	605272	Incomplete
STROLLER STRIDES LLC	SAN MARCOS, CA	3/18/2013	605271	Registered
WINMARK CORP / Play It Again Sports	MINNEAPOLIS, MN	3/18/2013	605276	Registered
WINMARK CORP / Music Go Round	MINNEAPOLIS, MN	3/18/2013	605274	Registered
WINMARK CORP / Plato's Closet Franchise Program	MINNEAPOLIS, MN	3/18/2013	605275	Registered
WINMARK CORP / Once Upon A Child Franchise Program	MINNEAPOLIS, MN	3/18/2013	605273	Registered
9Round Franchising, LLC / 9Round	Greenville, SC	3/16/2013	605239	Registered
Snap Fitness, Inc. / Snap Fitness	Chanhausen, MN	3/15/2013	605237	Registered
Suspended in Time, Inc. / Suspended in Time	Orem, UT	3/15/2013	605236	Registered
ProTect Painters International LLC / ProTect Painters	ANN ARBOR, MI	3/15/2013	605235	Registered
MR HANDYMAN INTERNATIONAL LLC	ANN ARBOR, MI	3/15/2013	605234	Registered
MOLLY MAID INC	ANN ARBOR, MI	3/15/2013	605233	Registered
BREADSMITH FRANCHISING INC	WHITEFISH BAY, WI	3/15/2013	605260	Registered
Cobblestone Hotels LLC / Boarders Inn & Suites by Cobblestone	Neenah, WI	3/15/2013	605259	Registered
PACCAR LEASING CO	BELLEVUE, WA	3/15/2013	605255	Registered
PAPA JOHNS INTERNATIONAL INC	LOUISVILLE, KY	3/15/2013	605261	Registered
BHH Affiliates LLC / Berkshire Hathaway HomeServices	Irvine, CA	3/14/2013	605256	Registered
LEADERSHIP MANAGEMENT INC	WACO, TX	3/14/2013	605254	Registered
Famiglia-DeBartolo Franchise Systems, LLC / Famous Famiglia	White Plains, NY	3/13/2013	605231	Registered
JUMPBUNCH INC	ANNAPOLIS, MD	3/13/2013	605230	Registered
TES FRANCHISING, LLC / THE ENTREPRENEUR'S SOURCE SINGLE UNIT/AREA DEVELOPMENT	SOUTHBURY, CT	3/13/2013	605228	Registered
Schmizza International, Inc. / Pizza Schmizza	Salem, OR	3/12/2013	605227	Registered
FIGAROS ITALIAN PIZZA INC / Figaro's and Nick-N-Willy's	SALEM, OR	3/12/2013	605226	Registered

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MOBILE REPAIR GUYS NEW L.L.C.	Rubicon, WI	3/12/2013	605223	Registered
Sonny Bryan's Franchise System LLC / Sonny Bryan's Smokehouse	Dallas, TX	3/12/2013	605222	Registered
Business Partner Franchising, LLC / Business Partner One Stop Marketing Single Unit/Area Development	Southbury, CT	3/12/2013	605221	Registered
ABCSP INC / Always Best Care Senior Services	ROSEVILLE, CA	3/12/2013	605250	Registered
EAGLERIDER INC	HAWTHORNE, CA	3/12/2013	605249	Registered
MAID RITE FRANCHISING LLC	Alphretta, GA	3/12/2013	605251	Registered
PLAN AHEAD EVENTS INC	WEST PALM BEACH, FL	3/11/2013	605215	Registered
COLLEGE NANNIES & TUTORS DEVELOPMENT INC	WAYZATA, MN	3/11/2013	605224	Registered
G6 Hospitality Franchising LLC / Studio 6	CARROLTON, TX	3/11/2013	605243	Registered
G6 Hospitality Franchising LLC / Studio 6	CARROLTON, TX	3/11/2013	605225	Registered
Lap of Love International Inc / Lap of Love Veterinary Hospice Business	Tampa, FL	3/11/2013	605252	Registered
MARTIN FRANCHISES INC / 1 HOUR MARTINIZING DRY CLEANING	CINCINNATI, OH	3/11/2013	605247	Registered
NMTC INC / Matco Tools	STOW, OH	3/11/2013	605248	Registered
<b>Number of Franchise Filings: 74</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	