

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 2/25/2013 through 3/8/2013**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
BAPTIST GENERAL CONFERENCE CORNERSTONE FUND	ARLINGTON HEIGHTS, IL	3/1/2013	00668287-02	Pending
BAPTIST GENERAL CONFERENCE CORNERSTONE FUND	ARLINGTON HEIGHTS, IL	3/1/2013	00668284-02	Pending
BAPTIST GENERAL CONFERENCE CORNERSTONE FUND	ARLINGTON HEIGHTS, IL	3/1/2013	00668288-02	Pending
BAPTIST GENERAL CONFERENCE CORNERSTONE FUND	ARLINGTON HEIGHTS, IL	3/1/2013	00668285-02	Pending
BAPTIST GENERAL CONFERENCE CORNERSTONE FUND	ARLINGTON HEIGHTS, IL	3/1/2013	00668286-02	Pending
NATIONAL COVENANT PROPERTIES	CHICAGO, IL	3/1/2013	00668278-02	Pending
NATIONAL COVENANT PROPERTIES	CHICAGO, IL	3/1/2013	00668282-02	Pending
NATIONAL COVENANT PROPERTIES	CHICAGO, IL	3/1/2013	00668281-02	Pending
NATIONAL COVENANT PROPERTIES	CHICAGO, IL	3/1/2013	00668280-02	Pending
NATIONAL COVENANT PROPERTIES	CHICAGO, IL	3/1/2013	00668279-02	Pending
NATIONAL COVENANT PROPERTIES	CHICAGO, IL	3/1/2013	00668283-02	Pending
COLE REAL ESTATE INCOME STRATEGY DAILY NAV INC	PHOENIX, AZ	2/28/2013	00668277-01	Pending
KBS REAL ESTATE INVESTMENT TR 3 INC FOLLOW ON OFFERING	NEWPORT BEACH, CA	2/28/2013	00668276-01	Pending

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METJET INC	DEPERE, WI	2/25/2013	00668177-02	Pending
<b>Number of Registration Filings: 14</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
HARTFORD MUTUAL FUNDS INC HARTFORD BALANCED INCOME FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668442-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD BALANCED INCOME FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668424-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD BALANCED INCOME FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668460-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD BALANCED INCOME FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668433-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD BALANCED INCOME FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668451-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD FLOATING RATE FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668459-03	Registered

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HARTFORD MUTUAL FUNDS INC HARTFORD FLOATING RATE FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668441-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD FLOATING RATE FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668423-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD FLOATING RATE FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668432-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD FLOATING RATE FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668450-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MID CAP VALUE FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668458-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MID CAP VALUE FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668440-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MID CAP VALUE FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668422-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MID CAP VALUE FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668449-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD MID CAP VALUE FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668431-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2015 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668443-03	Registered

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HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2015 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668425-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2015 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668452-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2015 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668416-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2015 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668434-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2025 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668444-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2025 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668426-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2025 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668417-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2025 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668453-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2025 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668435-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2035 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668445-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2035 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668427-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2035 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668418-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2035 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668436-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2035 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668454-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2040 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668446-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2040 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668428-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2040 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668419-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2040 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668437-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2040 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668455-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2045 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668447-03	Registered

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HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2045 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668429-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2045 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668456-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2045 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668420-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2045 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668438-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2050 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668448-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2050 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668430-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2050 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668421-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2050 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668457-03	Registered
HARTFORD MUTUAL FUNDS INC HARTFORD TARGET RETIREMENT 2050 FUND CLASS Y SHARES	BOSTON, MA	3/1/2013	00668439-03	Registered
VICTORY PORTFOLIOS GLOBAL EQUITY FUND CLASS R	COLUMBUS, OH	3/1/2013	00668099-03	Registered

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VICTORY PORTFOLIOS INTERNATIONAL FUND CLASS R	COLUMBUS, OH	3/1/2013	00668101-03	Registered
VICTORY PORTFOLIOS INTERNATIONAL SELECT FUND CLASS R	COLUMBUS, OH	3/1/2013	00668100-03	Registered
BLACKROCK FUNDS 2 LIFEPATH ACTIVE 2055 PORTFOLIO INSTITUTIONAL SHARES	WILMINGTON, DE	2/28/2013	00668152-03	Registered
BLACKROCK FUNDS 2 LIFEPATH ACTIVE 2055 PORTFOLIO CLASS K SHARES	WILMINGTON, DE	2/28/2013	00668153-03	Registered
BLACKROCK FUNDS 2 LIFEPATH ACTIVE 2055 PORTFOLIO CLASS R SHARES	WILMINGTON, DE	2/28/2013	00668154-03	Registered
BLACKROCK FUNDS 2 LIFEPATH ACTIVE 2055 PORTFOLIO INVESTOR A SHARES	WILMINGTON, DE	2/28/2013	00668151-03	Registered
DUNDEEWEALTH FUNDS JOHCM EMERGING MARKETS OPPORTUNITIES FUND CLASS I SHARES	BERWYN, PA	2/28/2013	00668150-03	Registered
DUNDEEWEALTH FUNDS JOHCM EMERGING MARKETS OPPORTUNITIES FUND INSTITUTIONAL SHARES	BERWYN, PA	2/28/2013	00668149-03	Registered
MANNING & NAPIER FUND INC GLOBAL FIXED INCOME SERIES CLASS I SHARES	FAIRPORT, NY	2/28/2013	00668146-03	Registered
MANNING & NAPIER FUND INC GLOBAL FIXED INCOME SERIES CLASS S SHARES	FAIRPORT, NY	2/28/2013	00668145-03	Registered
MFS SERIES TR 8 MFS GLOBAL GROWTH FUND CLASS R5 SHARES	BOSTON, MA	2/28/2013	00668147-03	Registered

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MFS SERIES TR 9 MFS INFLATION ADJUSTED BOND FUND CLASS R5 SHARES	BOSTON, MA	2/28/2013	00668148-03	Registered
SALIENT MF TR SALIENT ALTERNATIVE BETA FUND CLASS A	HOUSTON, TX	2/28/2013	00667882-03	Registered
SALIENT MF TR SALIENT ALTERNATIVE BETA FUND CLASS C	HOUSTON, TX	2/28/2013	00667883-03	Registered
SALIENT MF TR SALIENT ALTERNATIVE BETA FUND CLASS I	HOUSTON, TX	2/28/2013	00667884-03	Registered
TCW FUNDS INC TCW ENHANCED COMMODITY STRATEGY FUND CLASS I	LOS ANGELES, CA	2/28/2013	00668174-03	Registered
TCW FUNDS INC TCW ENHANCED COMMODITY STRATEGY FUND CLASS N	LOS ANGELES, CA	2/28/2013	00668175-03	Registered
ADVISORONE FUNDS MILESTONE TREASURY OBLIGATIONS FUND INSTITUTIONAL CLASS	HAUPPAUGE, NY	2/27/2013	00668232-03	Registered
ADVISORONE FUNDS MILESTONE TREASURY OBLIGATIONS FUND INVESTOR CLASS	HAUPPAUGE, NY	2/27/2013	00668231-03	Registered
AMERICAFIRST QUANTITATIVE FUNDS AMERICAFIRST ABSOLUTE RETURN FUND CLASS I	HAUPPAUGE, NY	2/27/2013	00668242-03	Registered
AMERICAFIRST QUANTITATIVE FUNDS AMERICAFIRST ABSOLUTE RETURN FUND CLASS U	HAUPPAUGE, NY	2/27/2013	00668243-03	Registered
AMERICAFIRST QUANTITATIVE FUNDS AMERICAFIRST ABSOLUTE RETURN FUND CLASS A	HAUPPAUGE, NY	2/27/2013	00668241-03	Registered

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AMERICAFIRST QUANTITATIVE FUNDS AMERICAFIRST DEFENSIVE GROWTH FUND CLASS I	HAUPPAUGE, NY	2/27/2013	00668239-03	Registered
AMERICAFIRST QUANTITATIVE FUNDS AMERICAFIRST DEFENSIVE GROWTH FUND CLASS A	HAUPPAUGE, NY	2/27/2013	00668240-03	Registered
AMERICAFIRST QUANTITATIVE FUNDS AMERICAFIRST DEFENSIVE GROWTH FUND CLASS U	HAUPPAUGE, NY	2/27/2013	00668238-03	Registered
AMERICAFIRST QUANTITATIVE FUNDS AMERICAFIRST INCOME TRENDS FUND CLASS I	HAUPPAUGE, NY	2/27/2013	00668236-03	Registered
AMERICAFIRST QUANTITATIVE FUNDS AMERICAFIRST INCOME TRENDS FUND CLASS U	HAUPPAUGE, NY	2/27/2013	00668235-03	Registered
AMERICAFIRST QUANTITATIVE FUNDS AMERICAFIRST INCOME TRENDS FUND CLASS A	HAUPPAUGE, NY	2/27/2013	00668237-03	Registered
AMERICAFIRST QUANTITATIVE FUNDS AMERICAFIRST QUANTITATIVE STRATEGIES FUND CLASS A	HAUPPAUGE, NY	2/27/2013	00668234-03	Registered
AMERICAFIRST QUANTITATIVE FUNDS AMERICAFIRST QUANTITATIVE STRATEGIES FUND CLASS C	HAUPPAUGE, NY	2/27/2013	00668233-03	Registered
NORTHERN LIGHTS FUND TR 3 GOOD HARBOR US TACTICAL CORE FUND CLASS C	HAUPPAUGE, NY	2/27/2013	00668229-03	Registered

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NORTHERN LIGHTS FUND TR 3 GOOD HARBOR US TACTICAL CORE FUND CLASS I	HAUPPAUGE, NY	2/27/2013	00668228-03	Registered
NORTHERN LIGHTS FUND TR 3 GOOD HARBOR US TACTICAL CORE FUND CLASS A	HAUPPAUGE, NY	2/27/2013	00668230-03	Registered
NUVEEN INVESTMENT FUNDS INC NUVEEN DIVIDEND VALUE FUND CLASS R6	CHIGACO, IL	2/27/2013	00668094-03	Registered
NUVEEN INVESTMENT FUNDS INC NUVEEN LARGE CAP GROWTH OPPORTUNITIES FUND CLASS R6	CHIGACO, IL	2/27/2013	00668093-03	Registered
NUVEEN INVESTMENT FUNDS INC NUVEEN MID CAP GROWTH OPPORTUNITIES FUND CLASS R6	CHIGACO, IL	2/27/2013	00668095-03	Registered
NUVEEN INVESTMENT TR 3 NUVEEN SYMPHONY HIGH YIELD BOND FUND CLASS A	CHIGACO, IL	2/27/2013	00668096-03	Registered
NUVEEN INVESTMENT TR 3 NUVEEN SYMPHONY HIGH YIELD BOND FUND CLASS C	CHIGACO, IL	2/27/2013	00668097-03	Registered
NUVEEN INVESTMENT TR 3 NUVEEN SYMPHONY HIGH YIELD BOND FUND CLASS I	CHIGACO, IL	2/27/2013	00668098-03	Registered
ABERDEEN FUNDS ABERDEEN LATIN AMERICAN EQUITY FUND INSTITUTIONAL CLASS	PHILADELPHIA, PA	2/26/2013	00667894-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN LATIN AMERICAN EQUITY FUND CLASS R	PHILADELPHIA, PA	2/26/2013	00667896-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN LATIN AMERICAN EQUITY FUND INSTITUTIONAL SERVICE CLASS	PHILADELPHIA, PA	2/26/2013	00667895-03	Pending-Cleared

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ABERDEEN FUNDS ABERDEEN LATIN AMERICAN EQUITY FUND CLASS A	PHILADELPHIA, PA	2/26/2013	00667892-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN LATIN AMERICAN EQUITY FUND CLASS C	PHILADELPHIA, PA	2/26/2013	00667893-03	Pending-Cleared
ADVISORS INNER CIRCLE FUND LSV SMALL CAP VALUE FUND	BOSTON, MA	2/26/2013	00668415-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR 1 COLUMBIA EMERGING MARKETS FUND CLASS K	BOSTON, MA	2/26/2013	00668400-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR 1 COLUMBIA EMERGING MARKETS FUND CLASS B	BOSTON, MA	2/26/2013	00668406-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR 1 COLUMBIA INTERMEDIATE BOND FUND CLASS K	BOSTON, MA	2/26/2013	00668404-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR 1 COLUMBIA MID CAP GROWTH FUND CLASS K	BOSTON, MA	2/26/2013	00668408-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR 2 COLUMBIA ABSOLUTE RETURN CURRENCY & INCOME FUND CLASS Y	MINNEAPOLIS, MN	2/26/2013	00668411-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR 2 COLUMBIA EQUITY VALUE FUND CLASS Y	MINNEAPOLIS, MN	2/26/2013	00668413-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR 2 COLUMBIA FLOATING RATE FUND CLASS R4	MINNEAPOLIS, MN	2/26/2013	00668407-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR 2 COLUMBIA LTD DURATION CREDIT FUND CLASS R4	MINNEAPOLIS, MN	2/26/2013	00668409-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR COLUMBIA CAPITAL ALLOCATION MODERATE CONSERVATIVE PORTFOLIO CLASS K	BOSTON, MA	2/26/2013	00668398-03	Registered

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COLUMBIA FUNDS SERIES TR COLUMBIA CAPITAL ALLOCATION MODERATE AGGRESSIVE PORTFOLIO CLASS K	BOSTON, MA	2/26/2013	00668412-03	Registered
COLUMBIA FUNDS SERIES TR COLUMBIA OVERSEAS VALUE FUND CLASS C	BOSTON, MA	2/26/2013	00668403-03	Registered
COLUMBIA FUNDS SERIES TR COLUMBIA OVERSEAS VALUE FUND CLASS B	BOSTON, MA	2/26/2013	00668401-03	Registered
COLUMBIA FUNDS SERIES TR COLUMBIA OVERSEAS VALUE FUND CLASS K	BOSTON, MA	2/26/2013	00668405-03	Registered
COLUMBIA FUNDS SERIES TR COLUMBIA OVERSEAS VALUE FUND CLASS A	BOSTON, MA	2/26/2013	00668399-03	Registered
COLUMBIA FUNDS SERIES TR I COLUMBIA SMALL CAP GROWTH FUND I CLASS K	BOSTON, MA	2/26/2013	00668410-03	Pending-Cleared
COLUMBIA FUNDS SERIES TR I COLUMBIA SMALL CAP GROWTH FUND I CLASS R5	BOSTON, MA	2/26/2013	00668402-03	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1007 GUGGENHEIM ABC HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 13	LISLE, IL	2/26/2013	00667886-05	Pending-Cleared
INCAPITAL UNIT TR SERIES 21 NORTH AMERICAN DIVIDEND BUILDERS PORTFOLIO 1Q 2013	CHICAGO, IL	2/26/2013	00667887-05	Pending-Cleared
INCAPITAL UNIT TR SERIES 22 EUROPEAN DIVIDEND BUILDERS PORTFOLIO 1Q 2013	CHICAGO, IL	2/26/2013	00667885-05	Pending-Cleared
SEI INSTITUTIONAL INVESTMENTS TR EXTENDED MARKET INDEX FUND CLASS A SHARES	OAKS, PA	2/26/2013	00668397-03	Registered

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WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE INTRINSIC VALUE FUND CLASS R	SAN FRANCISCO, CA	2/26/2013	00668414-03	Pending-Cleared
ADVISORS INNER CIRCLE FUND HARVEST FUNDS INTERMEDIATE BOND FUND CLASS A SHARES	BOSTON, MA	2/25/2013	00667774-03	Registered
ADVISORS INNER CIRCLE FUND HARVEST FUNDS INTERMEDIATE BOND FUND INSTITUTIONAL CLASS SHARES	BOSTON, MA	2/25/2013	00667775-03	Registered
VALUED ADVISERS TR SMI DYNAMIC ALLOCATION FUND	INDIANAPOLIS, IN	2/25/2013	00667781-03	Registered
<b>Number of Investment Company Filings: 115</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
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BROWN ADVISORY INVESTORS 2012 RS ENERGY 5 TE LLLP	BALTIMORE, MD	3/4/2013	00668289-07	Filed
CHICAGO APARTMENT FUND LLC	NORTHBROOK, IL	2/28/2013	00668269-07	Filed
CHICAGOLAND MULTIFAMILY DST	OAKBROOK, IL	2/28/2013	00668270-07	Filed
PNS GROCERY DST	OAKBROOK, IL	2/28/2013	00668271-07	Filed
BIOSIG TECHNOLOGIES INC	LOS ANGELES, CA	2/27/2013	00668218-07	Filed
MIDWEST LIFE SETTLEMENT FUND LLC	SOUTHLAKE, TX	2/27/2013	00668217-07	Filed
INFINITY SPECIAL SITUATIONS FUND 1 LP	ATLANTA, GA	2/26/2013	00667912-07	Filed

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 2/25/2013 through 3/8/2013**

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ENCORE ENERGY INC	LOS ANGELES, CA	2/25/2013	00668176-07	Filed
<b>Number of Regulation D Notice Filings: 8</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
Project Pie Franchising, LLC / Project Pie	Carlsbad, CA	3/8/2013	605214	Registered
I9 SPORTS CORP / I9 SPORTS	RIVERVIEW, FL	3/8/2013	605213	Registered
ABM Franchising Group, LLC / TEGG Service	Canonsburg, PA	3/7/2013	605209	Registered
ABM Franchising Group, LLC / CurrentSAFE	Canonsburg, PA	3/7/2013	605208	Registered
ABM Franchising Group, LLC / GreenHomes America	Canonsburg, PA	3/7/2013	605207	Registered
ABM Franchising Group, LLC / Linc Service	Canonsburg, PA	3/7/2013	605206	Registered
Mama Fu's Franchise Group, LLC / Mama Fu's Asian House	Austin, TX	3/7/2013	605203	Registered
ARCpoint Franchise Group, LLC. / ARCpoint	Greenville, SC	3/6/2013	605201	Registered
POSTNET INTERNATIONAL FRANCHISE CORP / PostNet	Denver, CO	3/5/2013	605196	Registered
UNITED SOCCER LEAGUES LLC / United Soccer Leagues (USL)	Tampa, FL	3/5/2013	605198	Registered
Mathnasium Center Licensing, LLC / MATHNASIUM and MATHNASIUM THE MATH LEARNING CENTER	Los Angeles, CA	3/5/2013	605195	Registered
BRIGHTSTAR FRANCHISING LLC / BrightStar	Gurnee, IL	3/5/2013	605205	Registered
LA BAMBAM FRANCHISE SERVICES LLC	CHAMPAIGN, IL	3/5/2013	605210	Registered
Rockin Jump Franchise LLC	Pleasanton, CA	3/5/2013	605204	Registered
PAK MAIL CENTERS OF AMERICA INC / PAK MAIL	CENTENNIAL, CO	3/4/2013	605211	Registered
WAKA Franchising, LLC / WAKA	Reston, VA	3/1/2013	605191	Registered
DRAMA KIDS INTERNATIONAL INC	LEESBURG, VA	3/1/2013	605180	Registered
AMERICINN INTERNATIONAL LLC	CHANHASSEN, MN	3/1/2013	605194	Registered
Holiday Diversified Services Inc	Minneapolis, MN	3/1/2013	605197	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 2/25/2013 through 3/8/2013**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
RE MAX LLC	Denver, CO	3/1/2013	605192	Registered
PROPERTY DAMAGE APPRAISERS INC	FORT WORTH, TX	2/28/2013	605178	Registered
CPR-Cell Phone Repair Franchise Systems, Inc. / CPR - Cell Phone Repair	Summerville, GA	2/28/2013	605187	Registered
JUST A BUCK LICENSING INC	NEW WINDSOR, NY	2/28/2013	605193	Registered
LEADING EDGE MARKETING INC / ProSource Wholesale Floorcoverings	St. Louis, MO	2/28/2013	605190	Registered
MooYah Franchise LLC	Frisco, TX	2/28/2013	605189	Registered
WILD BIRDS UNLIMITED INC	Carmel, IN	2/28/2013	605188	Registered
SMASHBURGER FRANCHISING LLC	DENVER, CO	2/27/2013	605185	Registered
Stanley Black & Decker, Inc. / through its Mac Tools division	Westerville, OH	2/27/2013	605186	Registered
BAB SYSTEMS INC / Sweetduet Frozen Yogurt & Gourmet Muffins	DEERFIELD, IL	2/26/2013	605182	Registered
JIS Franchising LLC / Johnny's Italian Steakhouse	Moline, IL	2/25/2013	605171	Registered
Barre Code Franchisor LLC	Chicago, IL	2/25/2013	605181	Registered
<b>Number of Franchise Filings: 31</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	