

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 2/18/2013 through 3/1/2013**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
LENDINGCLUB CORP	SAN FRANCISCO, CA	2/22/2013	00667786-01	Pending
<b>Number of Registration Filings: 1</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ALECO CONTAINER LLC	ARVADA, CO	2/18/2013	00667875-08	Pending
CHANTILLY BIBLE CHURCH	CHANTILLY, VA	2/18/2013	00667873-08	Pending
<b>Number of Exemption Filings: 2</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
SALIENT MF TR SALIENT ALTERNATIVE BETA FUND CLASS A	HOUSTON, TX	2/28/2013	00667882-03	Registered
SALIENT MF TR SALIENT ALTERNATIVE BETA FUND CLASS C	HOUSTON, TX	2/28/2013	00667883-03	Registered
SALIENT MF TR SALIENT ALTERNATIVE BETA FUND CLASS I	HOUSTON, TX	2/28/2013	00667884-03	Registered
ABERDEEN FUNDS ABERDEEN LATIN AMERICAN EQUITY FUND CLASS R	PHILADELPHIA, PA	2/26/2013	00667896-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN LATIN AMERICAN EQUITY FUND INSTITUTIONAL SERVICE CLASS	PHILADELPHIA, PA	2/26/2013	00667895-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN LATIN AMERICAN EQUITY FUND INSTITUTIONAL CLASS	PHILADELPHIA, PA	2/26/2013	00667894-03	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ABERDEEN FUNDS ABERDEEN LATIN AMERICAN EQITY FUND CLASS A	PHILADELPHIA, PA	2/26/2013	00667892-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN LATIN AMERICAN EQITY FUND CLASS C	PHILADELPHIA, PA	2/26/2013	00667893-03	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1007 GUGGENHEIM ABC HIGH DIVIDEND STRATEGY PORTFOLIO SERIES 13	LISLE, IL	2/26/2013	00667886-05	Pending-Cleared
INCAPITAL UNIT TR SERIES 21 NORTH AMERICAN DIVIDEND BUILDERS PORTFOLIO 1Q 2013	CHICAGO, IL	2/26/2013	00667887-05	Pending-Cleared
INCAPITAL UNIT TR SERIES 22 EUROPEAN DIVIDEND BUILDERS PORTFOLIO 1Q 2013	CHICAGO, IL	2/26/2013	00667885-05	Pending-Cleared
ADVISORS INNER CIRCLE FUND HARVEST FUNDS INTERMEDIATE BOND FUND INSTITUTIONAL CLASS SHARES	BOSTON, MA	2/25/2013	00667775-03	Registered
ADVISORS INNER CIRCLE FUND HARVEST FUNDS INTERMEDIATE BOND FUND CLASS A SHARES	BOSTON, MA	2/25/2013	00667774-03	Registered
VALUED ADVISERS TR SMI DYNAMIC ALLOCATION FUND	INDIANAPOLIS, IN	2/25/2013	00667781-03	Registered
FT 3991 GLOBAL HARD ASSETS PORTFOLIO SERIES 14	WHEATON, IL	2/22/2013	00667826-05	Pending-Cleared
FT 4030 CORE HOLDINGS GROWTH TR 2013 SPRING SERIES	WHEATON, IL	2/22/2013	00667827-05	Pending-Cleared
FT 4037 TARGET HIGH QUALITY DIVIDEND PORTFOLIO SERIES	WHEATON, IL	2/22/2013	00667839-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 4038 INCOME ALLOCATION CLOSED END MARCH 13	WHEATON, IL	2/22/2013	00667832-05	Pending-Cleared
FT 4039 DOW TARGET 10 MAR 13 TERM 4/9/14	WHEATON, IL	2/22/2013	00667845-05	Pending-Cleared
FT 4040 TARGET DIVERSIFIED GLOBAL ALLOCATION PORTFOLIO SERIES 7	WHEATON, IL	2/22/2013	00667848-05	Pending-Cleared
FT 4041 1ST TR ETF ALLOCATION PORTFOLIO SERIES 4	WHEATON, IL	2/22/2013	00667830-05	Pending-Cleared
FT 4042 INTEREST RATE HEDGE PORTFOLIO SERIES 63	WHEATON, IL	2/22/2013	00667841-05	Pending-Cleared
FT 4043 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 73	WHEATON, IL	2/22/2013	00667846-05	Pending-Cleared
FT 4044 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 32	WHEATON, IL	2/22/2013	00667831-05	Pending-Cleared
FT 4045 INFLATION HEDGE OPPORTUNITY PORTFOLIO SERIES 16	WHEATON, IL	2/22/2013	00667838-05	Pending-Cleared
FT 4046 REIT GROWTH & INCOME PLUS PORTFOLIO SERIES 14	WHEATON, IL	2/22/2013	00667835-05	Pending-Cleared
FT 4047 EMERGING MARKETS STRENGTH PORTFOLIO SERIES 17	WHEATON, IL	2/22/2013	00667843-05	Pending-Cleared
FT 4048 INTERNATIONAL CAPITAL STRENGTH PORTFOLIO SERIES 15	WHEATON, IL	2/22/2013	00667836-05	Pending-Cleared
FT 4048 SMID CAPITAL STRENGTH PORTFOLIO SERIES 15	WHEATON, IL	2/22/2013	00667837-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 4049 HOMEBUILDERS RECOVERY PORTFOLIO SERIES 5	WHEATON, IL	2/22/2013	00667847-05	Pending-Cleared
FT 4078 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 19	WHEATON, IL	2/22/2013	00667833-05	Pending-Cleared
FT 4079 GLOBAL EQUITY INCOME CLOSED END PORTFOLIO SERIES 28	WHEATON, IL	2/22/2013	00667840-05	Pending-Cleared
FT 4081 MUNICIPAL INCOME PLUSCLOSED END PORTFOLIO SERIES 36	WHEATON, IL	2/22/2013	00667829-05	Pending-Cleared
FT 4082 MULTI SECTOR INCOME PORTFOLIO SERIES 13	WHEATON, IL	2/22/2013	00667842-05	Pending-Cleared
FT 4083 CORE 3 CLOSED END ALLOCATION PORTFOLIO SERIES 7	WHEATON, IL	2/22/2013	00667828-05	Pending-Cleared
FT 4084 COVERED CALL SELECT CLOSED END PORTFOLIO SERIES 35	WHEATON, IL	2/22/2013	00667825-05	Pending-Cleared
FT 4085 MUNICIPAL ADVANTAGE CLOSED END & ETF PORTFOLIO SERIES 19	WHEATON, IL	2/22/2013	00667834-05	Pending-Cleared
FT 4086 TACTICAL INCOME PORTFOLIO SERIES 18	WHEATON, IL	2/22/2013	00667844-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1006 DIVIDEND STRENGTH PORTFOLIO SERIES 9	LISLE, IL	2/22/2013	00667822-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1006 ENERGY PORTFOLIO SERIES 14	LISLE, IL	2/22/2013	00667823-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1006 LARGE CAP CORE PORTFOLIO SERIES 19	LISLE, IL	2/22/2013	00667824-05	Pending-Cleared
ING EQUITY TR ING LARGE CAP VALUE FUND CLASS O	SCOTTSDALE, AZ	2/22/2013	00667722-03	Registered
INVESCO UNIT TR MUNICIPAL SERIES 1147 INSURED MUNICIPALS INCOME TR SERIES 662	OAKBROOK TER, IL	2/22/2013	00667810-05	Pending-Cleared
INVESCO UNIT TR MUNICIPAL SERIES 1148 INSURED MUNICIPALS INCOME TR SERIES 663	OAKBROOK TER, IL	2/22/2013	00667811-05	Pending-Cleared
INVESCO UNIT TR SERIES 1315 ALL CAP CORE STRATEGY 2013 2	OAKBROOK TER, IL	2/22/2013	00667812-05	Pending-Cleared
INVESCO UNIT TR SERIES 1315 LARGE CAP CORE STRATEGY 2013 2	OAKBROOK TER, IL	2/22/2013	00667816-05	Pending-Cleared
INVESCO UNIT TR SERIES 1315 MID CAP CORE STRATEGY 2013 2	OAKBROOK TER, IL	2/22/2013	00667815-05	Pending-Cleared
INVESCO UNIT TR SERIES 1315 S & P POWERPICKS PORTFOLIO 2013 2	OAKBROOK TER, IL	2/22/2013	00667813-05	Pending-Cleared
INVESCO UNIT TR SERIES 1315 SMALL CAP CORE STRATEGY 2013 2	OAKBROOK TER, IL	2/22/2013	00667814-05	Pending-Cleared
INVESCO UNIT TR SERIES 1316 CENTRAL EQUITY TR DIVERSIFIED INCOME SERIES 47	OAKBROOK TER, IL	2/22/2013	00667817-05	Pending-Cleared
INVESCO UNIT TR SERIES 1317 DIVIDEND INCOME & VALUE PORTFOLIO 2013 2	OAKBROOK TER, IL	2/22/2013	00667818-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INVESCO UNIT TR SERIES 1318 CLOSED END STRATEGY DIVERSIFIED CREDIT OPPORTUNITIES PORTFOLIO SERIES 10	OAKBROOK TER, IL	2/22/2013	00667821-05	Pending-Cleared
INVESCO UNIT TR SERIES 1318 CLOSED END STRATEGY GLOBAL INCOME PORTFOLIO SERIES 14	OAKBROOK TER, IL	2/22/2013	00667820-05	Pending-Cleared
INVESCO UNIT TR SERIES 1318 CLOSED END STRATEGY SENIOR LOAN & LTD DURATION PORTFOLIO SERIES 36	OAKBROOK TER, IL	2/22/2013	00667819-05	Pending-Cleared
LEGG MASON PARTNERS EQUITY TR LEGG MASON BATTERYMARCH MANAGED VOLATILITY GLOBAL DIVIDEND FUND CLASS IS	NEW YORK, NY	2/22/2013	00667723-03	Pending-Cleared
LEGG MASON PARTNERS EQUITY TR LEGG MASON BATTERYMARCH MANAGED VOLATILITY INTERNATIONAL DIVIDEND FUND CLASS IS	NEW YORK, NY	2/22/2013	00667724-03	Pending-Cleared
PIMCO FUNDS PIMCO EMERGING MARKETS FULL SPECTRUM BOND FUND CLASS P	NEWPORT BEACH, CA	2/21/2013	00667664-03	Registered
PIMCO FUNDS PIMCO EMERGING MARKETS FULL SPECTRUM BOND FUND CLASS C	NEWPORT BEACH, CA	2/21/2013	00667663-03	Registered
PIMCO FUNDS PIMCO EMERGING MARKETS FULL SPECTRUM BOND FUND CLASS A	NEWPORT BEACH, CA	2/21/2013	00667661-03	Registered
PIMCO FUNDS PIMCO EMERGING MARKETS FULL SPECTRUM BOND FUND CLASS D	NEWPORT BEACH, CA	2/21/2013	00667665-03	Registered
PIMCO FUNDS PIMCO EMERGING MARKETS FULL SPECTRUM BOND FUND INSTITUTIONAL CLASS	NEWPORT BEACH, CA	2/21/2013	00667662-03	Registered

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VALUED ADVISERS TR MITCHELL CAPITAL ALL CAP GROWTH FUND	INDIANAPOLIS, IN	2/21/2013	00667679-03	Registered
EAGLE SERIES TR EAGLE INTERNATIONAL STOCK FUND CLASS A SHARES	ST. PETERSBURG, FL	2/19/2013	00666420-03	Registered
EAGLE SERIES TR EAGLE INTERNATIONAL STOCK FUND CLASS C SHARES	ST. PETERSBURG, FL	2/19/2013	00666421-03	Registered
EAGLE SERIES TR EAGLE INTERNATIONAL STOCK FUND CLASS I SHARES	ST. PETERSBURG, FL	2/19/2013	00666422-03	Registered
EAGLE SERIES TR EAGLE INTERNATIONAL STOCK FUND CLASS R 3 SHARES	ST. PETERSBURG, FL	2/19/2013	00666424-03	Registered
EAGLE SERIES TR EAGLE INTERNATIONAL STOCK FUND CLASS R 5 SHARES	ST. PETERSBURG, FL	2/19/2013	00666423-03	Registered
EAGLE SERIES TR EAGLE INTERNATIONAL STOCK FUND CLASS R 6 SHARES	ST. PETERSBURG, FL	2/19/2013	00666425-03	Registered
JPMORGAN TR 1 JPMORGAN COMMODITIES STRATEGY FUND CLASS A	BOSTON, MA	2/19/2013	00666067-03	Registered
JPMORGAN TR 1 JPMORGAN COMMODITIES STRATEGY FUND CLASS C	BOSTON, MA	2/19/2013	00666068-03	Registered
JPMORGAN TR 1 JPMORGAN COMMODITIES STRATEGY FUND R6 CLASS SHARES	BOSTON, MA	2/19/2013	00666069-03	Registered
JPMORGAN TR 1 JPMORGAN COMMODITIES STRATEGY FUND SELECT CLASS SHARES	BOSTON, MA	2/19/2013	00666070-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
GUGGENHEIM DEFINED PORTFOLIOS SERIES 10003 GUGGENHEIM US HIGH DIVIDEND STRATEGY PORTFOLIO 2 YR SERIES 3	LISLE, IL	2/18/2013	00667682-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1003 BLUE CHIP GROWTH PORTFOLIO SERIES 2	LISLE, IL	2/18/2013	00667680-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1005 GUGGENHEIM EMERGING MARKETS DIVIDEND STRATEGY PORTFOLIO SERIES 5	LISLE, IL	2/18/2013	00667876-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1017 ML TOP PICKS FOR 2013	LISLE, IL	2/18/2013	00667877-05	Pending-Cleared
INCAPITAL UNIT TR SERIES 20 EMERGING MARKETS GDP GROWTH PORTFOLIO SERIES 2	CHICAGO, IL	2/18/2013	00667681-05	Pending-Cleared
<b>Number of Investment Company Filings: 77</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
INFINITY SPECIAL SITUATIONS FUND 1 LP	ATLANTA, GA	2/26/2013	00667912-07	Filed
BASCOM VALUE ADDED APARTMENT INVESTORS 2 LLC	IRVINE, CA	2/22/2013	00667793-07	Filed
EMISSIONS FREE ENERGY LP	SHELBYVILLE, TN	2/22/2013	00667849-07	Filed
INVENRA INC	MADISON, WI	2/22/2013	00667851-07	Filed
MERCHANTS BANCORP	GRESHAM, OR	2/22/2013	00667852-07	Filed
SE ARLINGTON HIGHLANDS INVESTORS LLC	CHICAGO, IL	2/22/2013	00667850-07	Filed

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<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ALTERNATIVE STRATEGIES PLATFORM LLC ABS GLOBAL LONG SHORT 2 ASP FUND SERIES	CHARLOTTE, NC	2/20/2013	00667785-07	Filed
BROWN ADVISORY INVESTORS 2012 SLP 4 TE LLLP	BALTIMORE, MD	2/19/2013	00667702-07	Filed
AVENUE EUROPE OPPORTUNITIES FUND LP	NEW YORK, NY	2/18/2013	00667870-07	Filed
CAIS BLUE TREND FUND LLC	NEW YORK, NY	2/18/2013	00667872-07	Filed
DAVISON KEMPNER LONG TERM DISTRESSED OPPORTUNITIES FUND 2 LP	NEW YORK, NY	2/18/2013	00667871-07	Filed
<b>Number of Regulation D Notice Filings: 11</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
WAKA Franchising, LLC / WAKA	Reston, VA	3/1/2013	605191	Registered
DRAMA KIDS INTERNATIONAL INC	LEESBURG, VA	3/1/2013	605180	Registered
PROPERTY DAMAGE APPRAISERS INC	FORT WORTH, TX	2/28/2013	605178	Registered
CPR-Cell Phone Repair Franchise Systems, Inc. / CPR - Cell Phone Repair	Summerville, GA	2/28/2013	605187	Registered
LEADING EDGE MARKETING INC / ProSource Wholesale Floorcoverings	St. Louis, MO	2/28/2013	605190	Registered
MooYah Franchise LLC	Frisco, TX	2/28/2013	605189	Registered
WILD BIRDS UNLIMITED INC	Carmel, IN	2/28/2013	605188	Registered
SMASHBURGER FRANCHISING LLC	DENVER, CO	2/27/2013	605185	Registered
Stanley Black & Decker, Inc. / through its Mac Tools division	Westerville, OH	2/27/2013	605186	Registered
BAB SYSTEMS INC / Sweetduet Frozen Yogurt & Gourmet Muffins	DEERFIELD, IL	2/26/2013	605182	Registered

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
JIS Franchising LLC / Johnny's Italian Steakhouse	Moline, IL	2/25/2013	605171	Registered
Barre Code Franchisor LLC	Chicago, IL	2/25/2013	605181	Registered
FFH World Wide, LLC / French Fry Heaven	Jacksonville, FL	2/23/2013	605170	Registered
MONICAL PIZZA CORP	BRADLEY, IL	2/22/2013	605176	Registered
VENTI CORP / Pizzeria Venti Restaurants	Atlanta, GA	2/22/2013	605177	Registered
Integrity Brands, LLC / Uncle Maddio's Pizza Joint	Atlanta, GA	2/21/2013	605168	Registered
OWENS CORNING REMODELING SYSTEMS, LLC	TOLEDO, OH	2/21/2013	605175	Registered
Mister Sparky Franchising, L.L.C. / Mister Sparky	Sarasota, FL	2/20/2013	605164	Registered
Benjamin Franklin Franchising, LLC / d/b/a Benjamin Franklin Plumbing	Sarasota, FL	2/20/2013	605163	Registered
One Hour Air Conditioning Franchising, L.L.C. / One Hour Air Conditioning and Heating	Sarasota, FL	2/20/2013	605162	Registered
Tasting Station Inc / WineStyles Tasting Station	West Des Moines, IA	2/20/2013	605174	Registered
Cobblestone Hotels, LLC / Cobblestone Hotel, Cobblestone Hotel and Suites and Cobblestone Inn and Suites	Neenah, WI	2/19/2013	605158	Registered
PIRTEK USA LLC	ROCKLEDGE, FL	2/19/2013	605166	Registered
YOUNG REMBRANDTS FRANCHISE INC / YOUNG REMBRANDTS	ELGIN, IL	2/19/2013	605165	Registered
SNAP ON TOOLS CO LLC	KENOSHA, WI	2/18/2013	605167	Registered
<b>Number of Franchise Filings: 25</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	