

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 2/4/2013 through 2/15/2013**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
HARTMAN SHORT TERM INCOME PROPERTIES 20 INC	HOUSTON, TX	2/12/2013	00665858-01	Pending
SAN DIEGO SEASIDE CHURCH OF RELIGIOUS SCIENCE	ENCINITAS, CA	2/12/2013	00665861-02	Pending
Number of Registration Filings: 2				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
CORPORATE PROPERTY ASSOC 17 GLOBAL INC	NEW YORK, NY	2/4/2013	00665796-08	Pending
MERCED MUTUAL INSURANCE COMPANY	,	2/4/2013	00664555-08	Pending
Number of Exemption Filings: 2				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
VICTORY PORTFOLIOS DIVIDEND GROWTH FUND CLASS A	COLUMBUS, OH	2/13/2013	00665728-03	Registered
VICTORY PORTFOLIOS DIVIDEND GROWTH FUND CLASS C	COLUMBUS, OH	2/13/2013	00665729-03	Registered
VICTORY PORTFOLIOS DIVIDEND GROWTH FUND CLASS I	COLUMBUS, OH	2/13/2013	00665730-03	Registered
VICTORY PORTFOLIOS DIVIDEND GROWTH FUND CLASS R	COLUMBUS, OH	2/13/2013	00665731-03	Registered

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Firm Name	Location	Date Received	File Number	Status
VICTORY PORTFOLIOS DIVIDEND GROWTH FUND CLASS Y	COLUMBUS, OH	2/13/2013	00665732-03	Registered
ABERDEEN FUNDS ABERDEEN EUROPEAN EQUITY FUND CLASS A	PHILADELPHIA, PA	2/12/2013	00665850-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN EUROPEAN EQUITY FUND CLASS C	PHILADELPHIA, PA	2/12/2013	00665851-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN EUROPEAN EQUITY FUND CLASS R	PHILADELPHIA, PA	2/12/2013	00665847-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN EUROPEAN EQUITY FUND INSTITUTIONAL CLASS	PHILADELPHIA, PA	2/12/2013	00665849-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN EUROPEAN EQUITY FUND INSTITUTIONAL SERVICE CLASS	PHILADELPHIA, PA	2/12/2013	00665848-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN TX FR INCOME FUND CLASS R	PHILADELPHIA, PA	2/12/2013	00665844-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN TX FR INCOME FUND INSTITUTIONAL CLASS	PHILADELPHIA, PA	2/12/2013	00665846-03	Pending-Cleared
ABERDEEN FUNDS ABERDEEN TX FR INCOME FUND INSTITUTIONAL SERVICE CLASS	PHILADELPHIA, PA	2/12/2013	00665845-03	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1002 ZACKS INCOME ADVANTAGE STRATEGY PORTFOLIO SERIES 20	LISLE, IL	2/12/2013	00665860-05	Pending-Cleared
WORLD FUNDS TR UNION STREET PARTNERS VALUE FUND CLASS C	RICHMOND, VA	2/12/2013	00665859-03	Registered

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Firm Name	Location	Date Received	File Number	Status
FT 4032 INTEREST RATE HEDGE PORTFOLIO SERIES 62	WHEATON, IL	2/8/2013	00665817-05	Pending-Cleared
FT 4034 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 31	WHEATON, IL	2/8/2013	00665816-05	Pending-Cleared
FT 4035 GLOBAL BOND INCOME CLOSED END PORTFOLIO SERIES 10	WHEATON, IL	2/8/2013	00665818-05	Pending-Cleared
FT 4071 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 51	WHEATON, IL	2/8/2013	00665819-05	Pending-Cleared
FT 4075 CORE 3 QUALIFIED CLOSED END ALLCOATION PORTFOLIO SERIES	WHEATON, IL	2/8/2013	00665815-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1001 FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 31	LISLE, IL	2/8/2013	00665822-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 986 CORPORATE HIGH YIELD & INCOME PORTFOLIO OF CEFS SERIES 23	LISLE, IL	2/8/2013	00665809-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 986 STRATEGIC INCOME PORTFOLIO SERIES 71	LISLE, IL	2/8/2013	00665810-05	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE HIGH YIELD MUNICIPAL BOND FUND CLASS A	SAN FRANCISCO, CA	2/6/2013	00665320-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE HIGH YIELD MUNICIPAL BOND FUND CLASS C	SAN FRANCISCO, CA	2/6/2013	00665324-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE HIGH YIELD MUNICIPAL BOND FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	2/6/2013	00665322-03	Registered

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Firm Name	Location	Date Received	File Number	Status
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE HIGH YIELD MUNICIPAL BOND FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	2/6/2013	00665326-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE STRATEGIC INCOME FUND CLASS A	SAN FRANCISCO, CA	2/6/2013	00665319-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE STRATEGIC INCOME FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	2/6/2013	00665323-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE STRATEGIC INCOME FUND ADMINISTRATOR CLASS	SAN FRANCISCO, CA	2/6/2013	00665321-03	Registered
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE STRATEGIC INCOME FUND CLASS C	SAN FRANCISCO, CA	2/6/2013	00665325-03	Registered
EATON VANCE SERIES FUND INC EATON VANCE INSTITUTIONAL EMERGING MARKETS LOCAL DEBT FUND	BOSTON, MA	2/4/2013	00665286-03	Registered
EPIPHANY FUNDS EPIPHANY FFV LATIN AMERICA FUND CLASS N	IRVING, TX	2/4/2013	00665807-03	Pending-Cleared
FT 4002 HIGH DIVIDEND EQUIGTY PORTFOLIO SERIES 13	WHEATON, IL	2/4/2013	00665801-05	Pending-Cleared
FT 4005 DIVERSIFIED HIGH INCOME CLOSED END PORTFOLIO SERIES 10	WHEATON, IL	2/4/2013	00665802-05	Pending-Cleared
FT 4017 EMERGING MARKETS CLOSED END & ETF PORTFOLIO SERIES 2	WHEATON, IL	2/4/2013	00665803-05	Pending-Cleared
FT 4060 DIVIDEND & INCOME SELECT CLOSED END PORTFOLIO SERIES 30	WHEATON, IL	2/4/2013	00665804-05	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
FT 4061 DIVIDEND & INCOME PLUS CLOSED END PORTFOLIO SERIES 41	WHEATON, IL	2/4/2013	00665800-05	Pending-Cleared
FT 4062 STRATEGIC INCOME PLUS CLOSED END PORTFOLIO SERIES 49	WHEATON, IL	2/4/2013	00665799-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 1000 DIVERSIFIED MUNICIPAL INCOME STRATEGY PORTFOLIO SERIES 5	LISLE, IL	2/4/2013	00665806-05	Pending-Cleared
Number of Investment Company Filings: 40				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
NORTHWEST HOSPITAL CUSTODIAL ACCOUNT LLC	LITTLE ROCK, AR	2/12/2013	00665857-07	Filed
SLIPSTREAM LLC	FORT AKINSON, WI	2/12/2013	00665856-07	Filed
CDK CAPITAL BERMUDA LTD CDK GLOBAL SELECT FUND	NEW YORK, NY	2/11/2013	00665814-07	Filed
ENTOURAGE MEDICAL TECHNOLOGIES INC	MENLO PARK, CA	2/11/2013	00665820-07	Filed
REEF 2012 PRIVATE DRILLING FUND LP	RICHARDSON, TX	2/11/2013	00665813-07	Filed
ALTERNATIVE STRATEGIES PRIVATE EQUITY PLATFORM LLC BLACKROCK SPECIAL CREDIT OPPORTUNITIES 2 ASP FUND	CHARLOTTE, NC	2/8/2013	00665808-07	Filed
GREEN BAY PACKAGING INC	GREEN BAY, WI	2/8/2013	00665812-07	Filed
MPIC FUND 1 LP	VANCOUVER, BC	2/8/2013	00665811-07	Filed
ELITE DAIRY GENOMICS LLC	DOWNERS GROVE, IL	2/4/2013	00665798-07	Filed

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Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
INVICTA OFFSHORE FUND LTD	GEORGE TOWN, GRAND CAYMAN, AA	2/4/2013	00665797-07	Filed
SII INVESTMENTS INC WI	APPLETON, WI	2/4/2013	00665805-07	Filed
Number of Regulation D Notice Filings: 11				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
STEAK N SHAKE ENTERPRISES INC / Steak n Shake	INDIANAPOLIS, IN	2/13/2013	605129	Registered
Ski's Meat Market Franchising, Inc.	Stevens Point, WI	2/8/2013	605122	Registered
Costal Angler Magazine Franchising Inc	Inian Harbour Beach, FL	2/8/2013	605128	Registered
BAB SYSTEMS INC / BIG APPLE BAGELS, MY FAVORITE MUFFIN	DEERFIELD, IL	2/7/2013	605125	Registered
Home Grown Industries of GA Inc / Mellow Mushroom Pizza	Atlanta, GA	2/7/2013	605127	Registered
YGM Franchise LLC / You've Got Maids	Mount Pleasant, SC	2/7/2013	605126	Registered
Number of Franchise Filings: 6				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	