

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 1/21/2013 through 2/1/2013**

| Securities Law Registration Filings Made with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Registration Filings: 0 | | | | |

| Securities Law Exemption Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| | , | 1/22/2013 | 00663554-55 | Pending |
| Number of Exemption Filings: 1 | | | | |

| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| LOOMIS SAYLES FUNDS 1 LOOMIS SAYLES BOND FUND CLASS N | BOSTON, MA | 1/31/2013 | 00664370-03 | Pending-Cleared |
| LOOMIS SAYLES FUNDS 1 LOOMIS SAYLES GLOBAL BOND FUND CLASS N | BOSTON, MA | 1/31/2013 | 00664365-03 | Pending-Cleared |
| LOOMIS SAYLES FUNDS 1 LOOMIS SAYLES SMALL CAP VALUE FUND CLASS N | BOSTON, MA | 1/31/2013 | 00664371-03 | Pending-Cleared |
| LOOMIS SAYLES FUNDS 2 LOOMIS SAYLES GROWTH FUND CLASS N | BOSTON, MA | 1/31/2013 | 00664372-03 | Pending-Cleared |
| LOOMIS SAYLES FUNDS 2 LOOMIS SAYLES INVESTMENT GRADE BOND FUND CLASS N | BOSTON, MA | 1/31/2013 | 00664367-03 | Pending-Cleared |
| LOOMIS SAYLES FUNDS 2 LOOMIS SAYLES MID CAP GROWTH FUND CLASS N | BOSTON, MA | 1/31/2013 | 00664366-03 | Pending-Cleared |
| LOOMIS SAYLES FUNDS 2 LOOMIS SAYLES SMALL CAP GROWTH FUND CLASS N | BOSTON, MA | 1/31/2013 | 00664369-03 | Pending-Cleared |

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| Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|-----------------|
| Firm Name | Location | Date Received | File Number | Status |
| LOOMIS SAYLES FUNDS 2 LOOMIS SAYLES STRATEGIC INCOME FUND CLASS N | BOSTON, MA | 1/31/2013 | 00664373-03 | Pending-Cleared |
| LOOMIS SAYLES FUNDS 2 LOOMIS SAYLES VALUE FUND CLASS N | BOSTON, MA | 1/31/2013 | 00664368-03 | Pending-Cleared |
| MFS SERIES TR 11 MFS MID CAP VALUE FUND CLASS R5 SHARES | BOSTON, MA | 1/31/2013 | 00664364-03 | Registered |
| NATIXIS FUNDS TR 1 LOOMIS SAYLES CORE PLUS BOND FUND CLASS N | BOSTON, MA | 1/31/2013 | 00664374-03 | Pending-Cleared |
| NUVEEN INVESTMENT TR 2 NUVEEN SANTA BARBARA INTERNATIONAL DIVIDEND GROWTH FUND CLASS C | CHIGACO, IL | 1/31/2013 | 00664359-03 | Registered |
| NUVEEN INVESTMENT TR 2 NUVEEN SANTA BARBARA INTERNATIONAL DIVIDEND GROWTH FUND CLASS A | CHIGACO, IL | 1/31/2013 | 00664357-03 | Registered |
| NUVEEN INVESTMENT TR 2 NUVEEN SANTA BARBARA INTERNATIONAL DIVIDEND GROWTH FUND CLASS I | CHIGACO, IL | 1/31/2013 | 00664358-03 | Registered |
| NUVEEN INVESTMENT TR 2 NUVEEN SANTA BARBARA INTERNATIONAL DIVIDEND GROWTH FUND CLASS R3 | CHIGACO, IL | 1/31/2013 | 00664360-03 | Registered |
| NUVEEN MUNICIPAL TR NUVEEN SHORT DURATION HIGH YIELD MUNICIPAL BOND FUND CLASS A | CHIGACO, IL | 1/31/2013 | 00664362-03 | Registered |
| NUVEEN MUNICIPAL TR NUVEEN SHORT DURATION HIGH YIELD MUNICIPAL BOND FUND CLASS I | CHIGACO, IL | 1/31/2013 | 00664361-03 | Registered |

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|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| NUVEEN MUNICIPAL TR NUVEEN SHORT DURATION HIGH YIELD MUNICIPAL BOND FUND CLASS C | CHIGACO, IL | 1/31/2013 | 00664363-03 | Registered |
| VICTORY PORTFOLIOS DIVERSIFIED STOCK FUND CLASS Y | COLUMBUS, OH | 1/30/2013 | 00664304-03 | Registered |
| VICTORY PORTFOLIOS ESTABLISHED VALUE FUND CLASS Y | COLUMBUS, OH | 1/30/2013 | 00664305-03 | Registered |
| VICTORY PORTFOLIOS FUND FOR INCOME CLASS Y | COLUMBUS, OH | 1/30/2013 | 00664309-03 | Registered |
| VICTORY PORTFOLIOS LARGE CAP GROWTH FUND CLASS Y | COLUMBUS, OH | 1/30/2013 | 00664306-03 | Registered |
| VICTORY PORTFOLIOS NATIONAL MUNICIPAL BOND FUND CLASS Y | COLUMBUS, OH | 1/30/2013 | 00664310-03 | Registered |
| VICTORY PORTFOLIOS SMALL CO OPPORTUNITY FUND CLASS Y | COLUMBUS, OH | 1/30/2013 | 00664307-03 | Registered |
| VICTORY PORTFOLIOS SPECIAL VALUE FUND CLASS Y | COLUMBUS, OH | 1/30/2013 | 00664308-03 | Registered |
| Number of Investment Company Filings: 25 | | | | |

| Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division | | | | |
|---|-----------------|----------------------|--------------------|---------------|
| Firm Name | Location | Date Received | File Number | Status |
| Number of Regulation D Notice Filings: 0 | | | | |

| Franchise Law Filings Made with DFI's Securities Division | | | | |
|--|-----------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| Campero USA Corp. / Pollo Campero | Dallas, TX | 2/1/2013 | 605100 | Registered |

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|---|------------------|----------------------|--------------------|---------------|
| Legal Name / Trade Name | Location | Date Received | File Number | Status |
| 800 DC, LLC, d/b/a Bizzie, and 1 800 DryClean / Bizzie | Berkley, MI | 1/29/2013 | 605094 | Registered |
| Staybull, Inc. | Jacksonville, FL | 1/29/2013 | 605093 | Registered |
| Mastercare Franchising, LLC / Mastercare | Honolulu, HI | 1/28/2013 | 605092 | Registered |
| OTA FRANCHISE CORP | IRVINE, CA | 1/28/2013 | 605095 | Registered |
| Smoke's Poutinerie US Franchising Inc. / SMOKE'S POUTINERIE | Ajax, ON | 1/24/2013 | 605089 | Registered |
| Number of Franchise Filings: 6 | | | | |

| Corporate Take-Over Law Filings Made with DFI's Securities Division | |
|--|----------------------|
| Takeover Filing Reference | Date Received |
| Number of Takeover Filings: 0 | |