

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 12/31/2012 through 1/11/2013**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
TRANSAMERICA FUNDS TRANSAMERICA DIVIDEND FOCUSED CLASS A	ST. PETERSBURG, FL	1/3/2013	00662167-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA DIVIDEND FOCUSED CLASS C	ST. PETERSBURG, FL	1/3/2013	00662169-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA DIVIDEND FOCUSED CLASS I	ST. PETERSBURG, FL	1/3/2013	00662168-03	Pending-Cleared
TRANSAMERICA FUNDS TRANSAMERICA INTERNATIONAL SMALL CAP VALUE CLASS I	ST. PETERSBURG, FL	1/3/2013	00662170-03	Pending-Cleared
SALIENT MF TR SALIENT GLOBAL EQUITY FUND CLASS A	HOUSTON, TX	1/2/2013	00662138-03	Registered
SALIENT MF TR SALIENT GLOBAL EQUITY FUND CLASS C	HOUSTON, TX	1/2/2013	00662139-03	Registered
SALIENT MF TR SALIENT GLOBAL EQUITY FUND CLASS I	HOUSTON, TX	1/2/2013	00662140-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SALIENT MF TR SALIENT PURE TREND FUND CLASS A	HOUSTON, TX	1/2/2013	00662135-03	Registered
SALIENT MF TR SALIENT PURE TREND FUND CLASS C	HOUSTON, TX	1/2/2013	00662136-03	Registered
SALIENT MF TR SALIENT PURE TREND FUND CLASS I	HOUSTON, TX	1/2/2013	00662137-03	Registered
PACIFIC LIFE FUNDS PL PORTFOLIO OPTIMIZATION AGGRESSIVE ADVISOR CLASS	NEWPORT BEACH, CA	12/31/2012	00662134-03	Registered
PACIFIC LIFE FUNDS PL PORTFOLIO OPTIMIZATION CONSERVATIVE ADVISOR CLASS	NEWPORT BEACH, CA	12/31/2012	00662131-03	Registered
PACIFIC LIFE FUNDS PL PORTFOLIO OPTIMIZATION MODERATE CONSERVATIVE ADVISOR CLASS	NEWPORT BEACH, CA	12/31/2012	00662133-03	Registered
PACIFIC LIFE FUNDS PL PORTFOLIO OPTIMIZATION MODERATE ADVISOR CLASS	NEWPORT BEACH, CA	12/31/2012	00662130-03	Registered
PACIFIC LIFE FUNDS PL PORTFOLIO OPTIMIZATION MODERATE AGGRESSIVE ADVISOR CLASS	NEWPORT BEACH, CA	12/31/2012	00662132-03	Registered
Number of Investment Company Filings: 15				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

**All Securities Law, Franchise Law, and Take-Over Law Filings
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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
REALTY EXECUTIVES INTERNATIONAL INC / Realty Executives	PHOENIX, AZ	1/10/2013	605062	Registered
Nurse Next Door Home Healthcare Services (USA) Inc. / Nurse Next Door	Vancouver, BC	1/10/2013	605061	Registered
Hounds Mounds, Inc. / Poop 911	Dallas, TX	1/7/2013	605059	Registered
Fitness Revolution International LLC / Fitness Revolution	Elizabethtown, KY	1/2/2013	605040	Registered
ANNEX BRANDS INC / Annex Brands Retail Centers	SAN DIEGO, CA	1/2/2013	605052	Registered
Number of Franchise Filings: 5				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	