

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 12/24/2012 through 1/4/2013**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
SALIENT MF TR SALIENT GLOBAL EQUITY FUND CLASS A	HOUSTON, TX	1/2/2013	00662138-03	Registered
SALIENT MF TR SALIENT GLOBAL EQUITY FUND CLASS C	HOUSTON, TX	1/2/2013	00662139-03	Registered
SALIENT MF TR SALIENT GLOBAL EQUITY FUND CLASS I	HOUSTON, TX	1/2/2013	00662140-03	Registered
SALIENT MF TR SALIENT PURE TREND FUND CLASS A	HOUSTON, TX	1/2/2013	00662135-03	Registered
SALIENT MF TR SALIENT PURE TREND FUND CLASS C	HOUSTON, TX	1/2/2013	00662136-03	Registered
SALIENT MF TR SALIENT PURE TREND FUND CLASS I	HOUSTON, TX	1/2/2013	00662137-03	Registered
PACIFIC LIFE FUNDS PL PORTFOLIO OPTIMIZATION AGGRESSIVE ADVISOR CLASS	NEWPORT BEACH, CA	12/31/2012	00662134-03	Registered

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Firm Name	Location	Date Received	File Number	Status
PACIFIC LIFE FUNDS PL PORTFOLIO OPTIMIZATION CONSERVATIVE ADVISOR CLASS	NEWPORT BEACH, CA	12/31/2012	00662131-03	Registered
PACIFIC LIFE FUNDS PL PORTFOLIO OPTIMIZATION MODERATE CONSERVATIVE ADVISOR CLASS	NEWPORT BEACH, CA	12/31/2012	00662133-03	Registered
PACIFIC LIFE FUNDS PL PORTFOLIO OPTIMIZATION MODERATE AGGRESSIVE ADVISOR CLASS	NEWPORT BEACH, CA	12/31/2012	00662132-03	Registered
PACIFIC LIFE FUNDS PL PORTFOLIO OPTIMIZATION MODERATE ADVISOR CLASS	NEWPORT BEACH, CA	12/31/2012	00662130-03	Registered
ALGER INSTITUTIONAL FUNDS ALGER CAPITAL APPRECIATION FOCUS FUND CLASS C	NEW YORK, NY	12/28/2012	00662116-03	Pending-Cleared
ALGER INSTITUTIONAL FUNDS ALGER CAPITAL APPRECIATION FOCUS FUND CLASS Z	NEW YORK, NY	12/28/2012	00662117-03	Pending-Cleared
ALGER INSTITUTIONAL FUNDS ALGER CAPITAL APPRECIATION FOCUS FUND CLASS A	NEW YORK, NY	12/28/2012	00662115-03	Pending-Cleared
BPV FAMILY OF FUNDS BPV CORE DIVERSIFICATION FUND ADVISOR SHARES	KNOXVILLE, TN	12/28/2012	00662113-03	Registered
BPV FAMILY OF FUNDS BPV WEALTH PRESERVATION FUND ADVISOR SHARES	KNOXVILLE, TN	12/28/2012	00662114-03	Registered
EAGLE SERIES TR EAGLE SMALL CAP STOCK FUND CLASS A SHARES	ST. PETERSBURG, FL	12/28/2012	00662106-03	Registered
EAGLE SERIES TR EAGLE SMALL CAP STOCK FUND CLASS C SHARES	ST. PETERSBURG, FL	12/28/2012	00662107-03	Registered

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EAGLE SERIES TR EAGLE SMALL CAP STOCK FUND CLASS I SHARES	ST. PETERSBURG, FL	12/28/2012	00662110-03	Registered
EAGLE SERIES TR EAGLE SMALL CAP STOCK FUND CLASS R 3 SHARES	ST. PETERSBURG, FL	12/28/2012	00662108-03	Registered
EAGLE SERIES TR EAGLE SMALL CAP STOCK FUND CLASS R 5 SHARES	ST. PETERSBURG, FL	12/28/2012	00662109-03	Registered
EAGLE SERIES TR EAGLE SMALL CAP STOCK FUND CLASS R 6 SHARES	ST. PETERSBURG, FL	12/28/2012	00662111-03	Registered
SIT MUTUAL FUNDS 2 INC SIT QUALITY INCOME FUND [SERIES G]	MINNEAPOLIS, MN	12/28/2012	00662112-03	Pending-Cleared
BLACKROCK FUNDS BLACKROCK MULTI ASSET REAL RETURN FUND INVESTOR A SHARES	WILMINGTON, DE	12/26/2012	00661757-03	Pending-Cleared
BLACKROCK FUNDS BLACKROCK MULTI ASSET REAL RETURN FUND INSTITUTIONAL SHARES	WILMINGTON, DE	12/26/2012	00661761-03	Pending-Cleared
BLACKROCK FUNDS BLACKROCK MULTI ASSET REAL RETURN FUND INVESTOR C SHARES	WILMINGTON, DE	12/26/2012	00661759-03	Pending-Cleared
BLACKROCK FUNDS BLACKROCK STRATEGIC RISK ALLOCATION FUND INVESTOR A SHARES	WILMINGTON, DE	12/26/2012	00661758-03	Pending-Cleared
BLACKROCK FUNDS BLACKROCK STRATEGIC RISK ALLOCATION FUND INSTITUTIONAL SHARES	WILMINGTON, DE	12/26/2012	00661762-03	Pending-Cleared
BLACKROCK FUNDS BLACKROCK STRATEGIC RISK ALLOCATION FUND INVESTOR C SHARES	WILMINGTON, DE	12/26/2012	00661760-03	Pending-Cleared

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RIVERNORTH FUNDS RIVERNORTH OAKTREE HIGH INCOME FUND CLASS I	CHICAGO, IL	12/26/2012	00661755-03	Registered
RIVERNORTH FUNDS RIVERNORTH OAKTREE HIGH INCOME FUND CLASS R	CHICAGO, IL	12/26/2012	00661756-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER INCOME FUND	BOSTON, MA	12/24/2012	00661680-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2020 FUND	BOSTON, MA	12/24/2012	00661684-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2035 FUND	BOSTON, MA	12/24/2012	00661688-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2005 FUND	BOSTON, MA	12/24/2012	00661682-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2025 FUND	BOSTON, MA	12/24/2012	00661686-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2030 FUND	BOSTON, MA	12/24/2012	00661690-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2010 FUND	BOSTON, MA	12/24/2012	00661681-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2040 FUND	BOSTON, MA	12/24/2012	00661685-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2050 FUND	BOSTON, MA	12/24/2012	00661689-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2015 FUND	BOSTON, MA	12/24/2012	00661683-03	Registered

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FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2045 FUND	BOSTON, MA	12/24/2012	00661687-03	Registered
FIDELITY BOYLSTON STREET TR STRATEGIC ADVISERS MULTI MANAGER 2055 FUND	BOSTON, MA	12/24/2012	00661691-03	Registered
Number of Investment Company Filings: 43				

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
Fitness Revolution International LLC / Fitness Revolution	Elizabethtown, KY	1/2/2013	605040	Registered
ANNEX BRANDS INC / Annex Brands Retail Centers	SAN DIEGO, CA	1/2/2013	605052	Registered
Peak Franchising, Inc. / Max Muscle (Regional Director)	Orange, CA	12/28/2012	605037	Registered
Peak Franchising, Inc. / Max Muscle (Unit)	Orange, CA	12/28/2012	605036	Registered
Compass Group USA, Inc. by and through its Vendlink Vending Services division / Vendlink; Vendlink Vending Services	Charlotte, NC	12/28/2012	605035	Registered
Compass Group USA, Inc. by and through its Canteen Vending Services Division / Canteen Vending Services	Charlotte, NC	12/28/2012	605034	Registered
Luby's Fuddruckers Restaurants, LLC / Fuddruckers	Houston, TX	12/28/2012	605051	Registered
SB Acquisition, LLC / Pizza Pit	Madison, WI	12/28/2012	605047	Registered
AMERISOURCEBERGEN DRUG CORP	CHESTERBROOK, PA	12/26/2012	605055	Registered
BUNDY AMERICAN LLC	OWINGS MILLS, MD	12/26/2012	605050	Registered
J N C REal Estate Development, LLC	San Antonio, TX	12/26/2012	605048	Registered

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Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
SEATTLES BEST COFFEE LLC	SEATTLE, WA	12/26/2012	605049	Registered
Number of Franchise Filings: 12				

Corporate Take-Over Law Filings Made with DFI's Securities Division	
Takeover Filing Reference	Date Received
Number of Takeover Filings: 0	