

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/3/2012 through 12/14/2012**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW GLOBAL HIGH YIELD FUND CLASS I	BALTIMORE, MD	12/4/2012	00657516-03	Registered
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW GLOBAL HIGH YIELD FUND CLASS IS	BALTIMORE, MD	12/4/2012	00657517-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI STRUCTURED ALPHA FUND CLASS C	NEW YORK, NY	12/3/2012	00657501-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI STRUCTURED ALPHA FUND CLASS P	NEW YORK, NY	12/3/2012	00657505-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI STRUCTURED ALPHA FUND CLASS A	NEW YORK, NY	12/3/2012	00657503-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI STRUCTURED ALPHA FUND CLASS D	NEW YORK, NY	12/3/2012	00657502-03	Registered

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<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI STRUCTURED ALPHA FUND INSTITUTIONAL CLASS	NEW YORK, NY	12/3/2012	00657504-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI US EQUITY HEDGED FUND INSTITUTIONAL CLASS	NEW YORK, NY	12/3/2012	00657508-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI US EQUITY HEDGED FUND CLASS P	NEW YORK, NY	12/3/2012	00657506-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI US EQUITY HEDGED FUND CLASS A	NEW YORK, NY	12/3/2012	00657510-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI US EQUITY HEDGED FUND CLASS C	NEW YORK, NY	12/3/2012	00657507-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI US EQUITY HEDGED FUND CLASS D	NEW YORK, NY	12/3/2012	00657509-03	Registered
GABELLI EQUITY SERIES FUNDS INC GABELLI FOCUS 5 FUND CLASS A SHARES	RYE, NY	12/3/2012	00657511-03	Registered
<b>Number of Investment Company Filings: 13</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Regulation D Notice Filings: 0</b>				

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 12/3/2012 through 12/14/2012**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
EK Franchising Company / Engineering for Kids	Stafford, VA	12/14/2012	605007	Registered
Sonic Franchising LLC / Sonic Franchising LLC Number 7 License Agreement	Oklahoma City, OK	12/14/2012	605005	Registered
GolfTEC Franchising LP / GolfTEC	Centennial, CO	12/14/2012	605004	Registered
WINDOW WORLD, INC. / WINDOW WORLD	N. Wilkesboro, NC	12/14/2012	605003	Registered
Xtend Holdings, LLC	Boca Raton, FL	12/11/2012	604994	Registered
Learning Express, Inc. / Learning Express	Devens, MA	12/11/2012	604992	Registered
Valvoline Instant Oil Change Franchising Inc.	Lexington, KY	12/11/2012	604991	Registered
1 800 FLOWERS.COM FRANCHISE CO INC / Conversion Program	CARLE PLACE, NY	12/10/2012	604999	Registered
COMPUTER TROUBLESHOOTERS USA INC	Independence, OH	12/6/2012	604998	Registered
The Glass Guru Franchise Systems, Inc.	Roseville, CA	12/4/2012	604985	Registered
<b>Number of Franchise Filings: 10</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	