

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/26/2012 through 12/7/2012**

Securities Law Registration Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Registration Filings: 0				

Securities Law Exemption Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Exemption Filings: 0				

Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW GLOBAL HIGH YIELD FUND CLASS I	BALTIMORE, MD	12/4/2012	00657516-03	Registered
LEGG MASON GLOBAL ASSET MANAGEMENT TR LEGG MASON BW GLOBAL HIGH YIELD FUND CLASS IS	BALTIMORE, MD	12/4/2012	00657517-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI STRUCTURED ALPHA FUND CLASS C	NEW YORK, NY	12/3/2012	00657501-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI STRUCTURED ALPHA FUND CLASS P	NEW YORK, NY	12/3/2012	00657505-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI STRUCTURED ALPHA FUND CLASS D	NEW YORK, NY	12/3/2012	00657502-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI STRUCTURED ALPHA FUND INSTITUTIONAL CLASS	NEW YORK, NY	12/3/2012	00657504-03	Registered

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ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI STRUCTURED ALPHA FUND CLASS A	NEW YORK, NY	12/3/2012	00657503-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI US EQUITY HEDGED FUND CLASS C	NEW YORK, NY	12/3/2012	00657507-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI US EQUITY HEDGED FUND INSTITUTIONAL CLASS	NEW YORK, NY	12/3/2012	00657508-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI US EQUITY HEDGED FUND CLASS P	NEW YORK, NY	12/3/2012	00657506-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI US EQUITY HEDGED FUND CLASS A	NEW YORK, NY	12/3/2012	00657510-03	Registered
ALLIANZ FUNDS MULTI STRATEGY TR ALLIANZGI US EQUITY HEDGED FUND CLASS D	NEW YORK, NY	12/3/2012	00657509-03	Registered
GABELLI EQUITY SERIES FUNDS INC GABELLI FOCUS 5 FUND CLASS A SHARES	RYE, NY	12/3/2012	00657511-03	Registered
ADVISORS INNER CIRCLE FUND 2 FROST CINQUE LARGE CAP BUY WRITE EQUITY FUND CLASS A SHARES	BOSTON, MA	11/30/2012	00657660-03	Pending-Cleared
ADVISORS INNER CIRCLE FUND 2 FROST CINQUE LARGE CAP BUY WRITE EQUITY FUND INSTITUTIONAL CLASS SHARES	BOSTON, MA	11/30/2012	00657662-03	Pending-Cleared
ADVISORS INNER CIRCLE FUND 2 FROST CREDIT FUND CLASS A SHARES	BOSTON, MA	11/30/2012	00657661-03	Pending-Cleared

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ADVISORS INNER CIRCLE FUND 2 FROST CREDIT FUND INSTITUTIONAL CLASS SHARES	BOSTON, MA	11/30/2012	00657659-03	Pending-Cleared
MFS SERIES TR 10 MFS EMERGING MARKETS DEBT LOCAL CURRENCY FUND CLASS R5 SHARES	BOSTON, MA	11/30/2012	00657663-03	Registered
NATIXIS FUNDS TR I NATIXIS DIVERSIFIED INCOME FUND CLASS Y	BOSTON, MA	11/30/2012	00657664-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE ABSOLUTE RETURN FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	11/30/2012	00657698-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE ASSET ALLOCATION FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	11/30/2012	00657686-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE CAPITAL GROWTH FUND CLASS R4	SAN FRANCISCO, CA	11/30/2012	00657679-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE CAPITAL GROWTH FUND CLASS R6	SAN FRANCISCO, CA	11/30/2012	00657689-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE CORE BOND FUND CLASS R4	SAN FRANCISCO, CA	11/30/2012	00657672-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE CORE BOND FUND CLASS R6	SAN FRANCISCO, CA	11/30/2012	00657670-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET 2050 FUND CLASS A	SAN FRANCISCO, CA	11/30/2012	00657678-03	Pending-Cleared

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WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET 2050 FUND CLASS R4	SAN FRANCISCO, CA	11/30/2012	00657682-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET 2010 FUND CLASS R4	SAN FRANCISCO, CA	11/30/2012	00657666-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET 2055 FUND CLASS A	SAN FRANCISCO, CA	11/30/2012	00657694-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET 2025 FUND CLASS A	SAN FRANCISCO, CA	11/30/2012	00657683-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET 2045 FUND CLASS A	SAN FRANCISCO, CA	11/30/2012	00657687-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET 2025 FUND CLASS R4	SAN FRANCISCO, CA	11/30/2012	00657691-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET 2035 FUND CLASS R4	SAN FRANCISCO, CA	11/30/2012	00657695-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET 2020 FUND CLASS R4	SAN FRANCISCO, CA	11/30/2012	00657667-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET 2040 FUND CLASS R4	SAN FRANCISCO, CA	11/30/2012	00657671-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET 2050 FUND CLASS C	SAN FRANCISCO, CA	11/30/2012	00657680-03	Pending-Cleared

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WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET 2055 FUND CLASS R4	SAN FRANCISCO, CA	11/30/2012	00657696-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET 2015 FUND CLASS R4	SAN FRANCISCO, CA	11/30/2012	00657697-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET 2030 FUND CLASS R4	SAN FRANCISCO, CA	11/30/2012	00657669-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET TODAY FUND CLASS R4	SAN FRANCISCO, CA	11/30/2012	00657673-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET 2045 FUND CLASS R4	SAN FRANCISCO, CA	11/30/2012	00657677-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET 2015 FUND CLASS A	SAN FRANCISCO, CA	11/30/2012	00657681-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE DOW JONES TARGET 2035 FUND CLASS A	SAN FRANCISCO, CA	11/30/2012	00657685-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE INTERNATIONAL BOND FUND CLASS R6	SAN FRANCISCO, CA	11/30/2012	00657688-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE INTRINSIC VALUE FUND CLASS R6	SAN FRANCISCO, CA	11/30/2012	00657692-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE INTRINSIC VALUE FUND CLASS R4	SAN FRANCISCO, CA	11/30/2012	00657690-03	Pending-Cleared

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE LARGE CAP GROWTH FUND CLASS R4	SAN FRANCISCO, CA	11/30/2012	00657675-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE LARGE CAP GROWTH FUND CLASS R6	SAN FRANCISCO, CA	11/30/2012	00657676-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE PREMIER LARGE CO GROWTH FUND CLASS R4	SAN FRANCISCO, CA	11/30/2012	00657665-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE PREMIER LARGE CO GROWTH FUND CLASS R6	SAN FRANCISCO, CA	11/30/2012	00657674-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE SHORT DURATION GOVERNMENT BOND FUND CLASS R6	SAN FRANCISCO, CA	11/30/2012	00657668-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE SHORT TERM HIGH YIELD BOND FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	11/30/2012	00657693-03	Pending-Cleared
WELLS FARGO FUNDS TR WELLS FARGO ADVANTAGE STRATEGIC MUNICIPAL BOND FUND INSTITUTIONAL CLASS	SAN FRANCISCO, CA	11/30/2012	00657684-03	Pending-Cleared
BLACKROCK FUNDS BLACKROCK SHORT OBLIGATIONS FUND BLACKROCK SHARES	WILMINGTON, DE	11/28/2012	00657708-03	Registered
BLACKROCK FUNDS BLACKROCK SHORT TERM TREASURY FUND INSTITUTIONAL SHARES	WILMINGTON, DE	11/28/2012	00657709-03	Registered
BLACKROCK FUNDS BLACKROCK SHORT TERM TREASURY FUND INSTITUTIONAL DAILY SHARES	WILMINGTON, DE	11/28/2012	00657707-03	Registered

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Firm Name	Location	Date Received	File Number	Status
BLACKROCK FUNDS BLACKROCK ULTRA SHORT OBLIGATIONS FUND BLACKROCK SHARES	WILMINGTON, DE	11/28/2012	00657710-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS WORLD BOND FUND CLASS A SHARES	CHICAGO, IL	11/28/2012	00657712-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS WORLD BOND FUND CLASS C SHARES	CHICAGO, IL	11/28/2012	00657713-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS WORLD BOND FUND CLASS IR SHARES	CHICAGO, IL	11/28/2012	00657714-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS WORLD BOND FUND CLASS R SHARES	CHICAGO, IL	11/28/2012	00657716-03	Pending-Cleared
GOLDMAN SACHS TR GOLDMAN SACHS WORLD BOND FUND INSTITUTIONAL SHARES	CHICAGO, IL	11/28/2012	00657715-03	Pending-Cleared
LEGG MASON PARTNERS EQUITY TR CLEARBRIDGE SELECT FUND CLASS FI	NEW YORK, NY	11/28/2012	00657719-03	Pending-Cleared
LEGG MASON PARTNERS EQUITY TR CLEARBRIDGE SELECT FUND CLASS IS	NEW YORK, NY	11/28/2012	00657718-03	Pending-Cleared
LEGG MASON PARTNERS EQUITY TR CLEARBRIDGE SELECT FUND CLASS I	NEW YORK, NY	11/28/2012	00657717-03	Pending-Cleared
PIMCO FUNDS PIMCO WORLDWIDE FUNDAMENTAL ADVANTAGE TR STRATEGY FUND INSTITUTIONAL CLASS	NEWPORT BEACH, CA	11/28/2012	00657711-03	Registered

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Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
BLACKROCK BOND ALLOCATION TARGET SHARES SERIES P PORTFOLIO	WILMINGTON, DE	11/26/2012	00655564-03	Registered
BLACKROCK FUNDS 2 LIFEPATH ACTIVE 2015 PORTFOLIO INSTITUTIONAL SHARES	WILMINGTON, DE	11/26/2012	00655570-03	Registered
BLACKROCK FUNDS 2 LIFEPATH ACTIVE 2020 PORTFOLIO INSTITUTIONAL SHARES	WILMINGTON, DE	11/26/2012	00655568-03	Registered
BLACKROCK FUNDS 2 LIFEPATH ACTIVE 2025 PORTFOLIO INSTITUTIONAL SHARES	WILMINGTON, DE	11/26/2012	00655572-03	Registered
BLACKROCK FUNDS 2 LIFEPATH ACTIVE 2030 PORTFOLIO INSTITUTIONAL SHARES	WILMINGTON, DE	11/26/2012	00655566-03	Registered
BLACKROCK FUNDS 2 LIFEPATH ACTIVE 2035 PORTFOLIO INSTITUTIONAL SHARES	WILMINGTON, DE	11/26/2012	00655567-03	Registered
BLACKROCK FUNDS 2 LIFEPATH ACTIVE 2040 PORTFOLIO INSTITUTIONAL SHARES	WILMINGTON, DE	11/26/2012	00655569-03	Registered
BLACKROCK FUNDS 2 LIFEPATH ACTIVE 2045 PORTFOLIO INSTITUTIONAL SHARES	WILMINGTON, DE	11/26/2012	00655571-03	Registered
BLACKROCK FUNDS 2 LIFEPATH ACTIVE 2050 PORTFOLIO INSTITUTIONAL SHARES	WILMINGTON, DE	11/26/2012	00655573-03	Registered
RBC FUNDS TR RBC BLUEBAY ABSOLUTE RETURN FUND CLASS I	MINNEAPOLIS, MN	11/26/2012	00655565-03	Registered
Number of Investment Company Filings: 76				

**All Securities Law, Franchise Law, and Take-Over Law Filings
for 11/26/2012 through 12/7/2012**

Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division				
Firm Name	Location	Date Received	File Number	Status
Number of Regulation D Notice Filings: 0				

Franchise Law Filings Made with DFI's Securities Division				
Legal Name / Trade Name	Location	Date Received	File Number	Status
The Glass Guru Franchise Systems, Inc.	Roseville, CA	12/4/2012	604985	Registered
B3 Franchising LLC / barre3	Portland, OR	11/30/2012	604984	Registered
1-888-WOW-1DAY! LLC / 1-888-WOW-1DAY!	Vancouver, BC	11/28/2012	604978	Registered
The C12 Group, LLC / C12 Group	Greensboro, NC	11/28/2012	604977	Registered
World of Beer Franchising, Inc. / World of Beer	Tampa, FL	11/26/2012	604976	Registered
Number of Franchise Filings: 5				

Corporate Take-Over Law Filings Made with DFI's Securities Division		
Takeover Filing Reference		Date Received
FROM TAK FILE #	653064	11/28/2012
FROM TAK FILE #	653064	11/30/2012
Number of Takeover Filings: 2		