

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 11/12/2012 through 11/23/2012**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Registration Filings: 0</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Exemption Filings: 0</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
NUVEEN INVESTMENT TR 5 NUVEEN GRESHAM LONG SHORT COMMODITY STRATEGY FUND CLASS A	CHIGACO, IL	11/12/2012	00655432-03	Registered
NUVEEN INVESTMENT TR 5 NUVEEN GRESHAM LONG SHORT COMMODITY STRATEGY FUND CLASS C	CHIGACO, IL	11/12/2012	00655433-03	Registered
NUVEEN INVESTMENT TR 5 NUVEEN GRESHAM LONG SHORT COMMODITY STRATEGY FUND CLASS I	CHIGACO, IL	11/12/2012	00655434-03	Registered
<b>Number of Investment Company Filings: 3</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
<b>Number of Regulation D Notice Filings: 0</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
1-800-GOT-JUNK? LLC / 1-800-GOT-JUNK?	Vancouver, BC	11/21/2012	604975	Registered

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<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
YOU MOVE ME LLC / YOU MOVE ME	Vancouver, BC	11/21/2012	604974	Registered
Cafe2U, Inc. / Cafe2U	Bend, OR	11/21/2012	604973	Registered
Fava World Franchising, Inc.	Appleton, WI	11/16/2012	604970	Registered
SPHERION STAFFING LLC	Atlanta, GA	11/16/2012	604971	Registered
SUPERVALU INC	EDEN PRAIRIED, MN	11/15/2012	604969	Registered
Kona Ice, Inc. / Kona Ice	Florence, KY	11/14/2012	604962	Registered
Windy Chicago 2016, Inc.	Racine, WI	11/14/2012	604961	Refunded
ITEX CORP	BELLEVUE, WA	11/13/2012	604966	Registered
JAZZERCISE INC	CARLSBAD, CA	11/12/2012	604967	Registered
<b>Number of Franchise Filings: 10</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>		
<b>Takeover Filing Reference</b>		<b>Date Received</b>
FROM TAK FILE #	653064	11/19/2012
FROM TAK FILE #	653064	11/20/2012
FROM TAK FILE #	653064	11/21/2012
<b>Number of Takeover Filings: 3</b>		