

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 9/24/2012 through 10/5/2012**

<b>Securities Law Registration Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ALLIANCEBERNSTEIN MULTI MANAGER ALTERNATIVE FUND	NEW YORK, NY	9/27/2012	00651517-01	Registered
BLUEROCK ENHANCED MULTIFAMILY TR INC FOLLOW ON	NEW YORK, NY	9/25/2012	00650296-01	Pending
<b>Number of Registration Filings: 2</b>				

<b>Securities Law Exemption Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
TEXSTAR20 WELL LP	COLUMBIA, KY	9/25/2012	00650295-08	Not Disallowed
<b>Number of Exemption Filings: 1</b>				

<b>Securities Law Federal Covered Security-Investment Company Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 3779 RICHARD BERNSTEIN ADVISORS QUALITY INCOME PORTFOLIO SERIES 6	WHEATON, IL	10/1/2012	00651714-05	Pending-Cleared
FT 3815 CORE 3 CLOSED END ALLOCATION PORTFOLIO SERIES 2	WHEATON, IL	10/1/2012	00651713-05	Pending-Cleared
FT 3841 SENIOR LOAN & LTD DURATION OPPORTUNITIES CLOSED END PORTFOLIO SERIES 15	WHEATON, IL	10/1/2012	00651715-05	Pending-Cleared
GUGGENHEIM DEFINED PORTFOLIOS SERIES 973 FLAHERTY & CRUMRINE PREFERRED PORTFOLIO SERIES 30	LISLE, IL	10/1/2012	00651709-05	Pending-Cleared
ADVISORS DISCIPLINED TR 903 BALANCED PORTFOLIO SERIES 2012 2	MONUMENT, CO	9/28/2012	00651637-05	Pending-Cleared

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ADVISORS DISCIPLINED TR 910 STRATEGIC HIGH 50/Q 50 DIVIDEND & GROWTH PORTFOLIO SERIES 2012 4Q	MONUMENT, CO	9/28/2012	00651638-05	Pending-Cleared
ADVISORS DISCIPLINED TR 954 HARTFORD GLOBAL DIVIDEND STRATEGY PORTFOLIO SERIES 2012 4Q	MONUMENT, CO	9/28/2012	00651639-05	Pending-Cleared
BLACKROCK FUNDS BLACKROCK REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES	WILMINGTON, DE	9/28/2012	00651580-03	Registered
BLACKROCK FUNDS BLACKROCK REAL ESTATE SECURITIES FUND INVESTOR C SHARES	WILMINGTON, DE	9/28/2012	00651579-03	Registered
BLACKROCK FUNDS BLACKROCK REAL ESTATE SECURITIES FUND INVESTOR A SHARES	WILMINGTON, DE	9/28/2012	00651578-03	Registered
FT 3776 DIVIDEND INCOME PLUS PORTFOLIO SERIES 7	WHEATON, IL	9/28/2012	00651632-05	Pending-Cleared
FT 3777 ENERGY SELELCT PORTFOLIO SERIES 44	WHEATON, IL	9/28/2012	00651635-05	Pending-Cleared
FT 3777 WATER UTILITY & INFRASTRUCTURE SELECT PORTFOLIO SERIES 26	WHEATON, IL	9/28/2012	00651636-05	Pending-Cleared
FT 3778 TACTICAL INCOME PORTFOLIO SERIES 15	WHEATON, IL	9/28/2012	00651622-05	Pending-Cleared
FT 3805 CORPORATE HIGH INCOME PORTFOLIO SERIES 6	WHEATON, IL	9/28/2012	00651633-05	Pending-Cleared
FT 3807 INTERESTRATE HEDGE PORTFOLIO SERIES 55	WHEATON, IL	9/28/2012	00651621-05	Pending-Cleared

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FT 3808 SENIOR LOAN & LTD DURATION PLUS CLOSED END PORTFOLIO SERIES 65	WHEATON, IL	9/28/2012	00651628-05	Pending-Cleared
FT 3809 SENIOR LOAN & LTD DURATION CLOSED END PORTFOLIO SERIES 24	WHEATON, IL	9/28/2012	00651631-05	Pending-Cleared
FT 3813 HOMEBUILDERS RECOVERY SELECT PORTFOLIO SERIES	WHEATON, IL	9/28/2012	00651630-05	Pending-Cleared
FT 3816 PREFERRED INCOME PORTFOLIO SERIES 57	WHEATON, IL	9/28/2012	00651629-05	Pending-Cleared
FT 3817 TAX ADVANTAGEED MUNICIPAL CLOSED END PORTFOLIO SERIES 31	WHEATON, IL	9/28/2012	00651634-05	Pending-Cleared
MANAGED PORTFOLIO SERIES GERAT LAKES BOND FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	9/28/2012	00651625-03	Registered
MANAGED PORTFOLIO SERIES GERAT LAKES BOND FUND INVESTOR CLASS SHARES	MILWAUKEE, WI	9/28/2012	00651626-03	Registered
MANAGED PORTFOLIO SERIES GERAT LAKES LARGE CAP VALUE FUND INSTITUTIONAL CLASS SHARES	MILWAUKEE, WI	9/28/2012	00651623-03	Registered
MANAGED PORTFOLIO SERIES GERAT LAKES LARGE CAP VALUE FUND INVESTOR CLASS SHARES	MILWAUKEE, WI	9/28/2012	00651624-03	Registered
MFS SERIES TR X MFS ABSOLUTE RETURN FUND CLASS R5 SHARES	BOSTON, MA	9/28/2012	00651577-03	Registered
MFS SERIES TR X MFS GLOBAL BOND FUND CLASS R5	BOSTON, MA	9/28/2012	00651576-03	Registered

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TR FOR PROFESSIONAL MANAGERS PERFORMANCE TR MUNICIPAL BOND FUND RETAIL CLASS	MILWAUKEE, WI	9/28/2012	00651627-03	Registered
ARBITRAGE FUNDS ARBITRAGE CREDIT OPPORTUNITIES FUND CLASS C	NEW YORK, NY	9/27/2012	00651518-03	Registered
ARBITRAGE FUNDS ARBITRAGE CREDIT OPPORTUNITIES FUND CLASS I	NEW YORK, NY	9/27/2012	00651519-03	Registered
ARBITRAGE FUNDS ARBITRAGE CREDIT OPPORTUNITIES FUND CLASS R	NEW YORK, NY	9/27/2012	00651520-03	Registered
CREDIT SUISSE COMMODITY STRATEGY FUNDS CSC ACCESS STRATEGY FUND CLASS C SHARES	NEW YORK, NY	9/27/2012	00651615-03	Registered
CREDIT SUISSE COMMODITY STRATEGY FUNDS CSC ACCESS STRATEGY FUND CLASS I SHARES	NEW YORK, NY	9/27/2012	00651616-03	Registered
CREDIT SUISSE COMMODITY STRATEGY FUNDS CSC ACCESS STRATEGY FUND CLASS A SHARES	NEW YORK, NY	9/27/2012	00651614-03	Registered
CREDIT SUISSE OPPORTUNITY FUNDS CREDIT SUISSE INCOME FUND CLASS I SHARES	NEW YORK, NY	9/27/2012	00651613-03	Registered
CREDIT SUISSE OPPORTUNITY FUNDS CREDIT SUISSE LIQUID MANAGED FUTURES STRATEGY FUND CLASS A SHARES	NEW YORK, NY	9/27/2012	00651617-03	Registered

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
CREDIT SUISSE OPPORTUNITY FUNDS CREDIT SUISSE LIQUID MANAGED FUTURES STRATEGY FUND CLASS C SHARES	NEW YORK, NY	9/27/2012	00651618-03	Registered
CREDIT SUISSE OPPORTUNITY FUNDS CREDIT SUISSE LIQUID MANAGED FUTURES STRATEGY FUND CLASS I SHARES	NEW YORK, NY	9/27/2012	00651619-03	Registered
CREDIT SUISSE OPPORTUNITY FUNDS CREDIT SUISSE STRATEGIC INCOME FUND CLASS A SHARES	NEW YORK, NY	9/27/2012	00651611-03	Registered
CREDIT SUISSE OPPORTUNITY FUNDS CREDIT SUISSE STRATEGIC INCOME FUND CLASS C SHARES	NEW YORK, NY	9/27/2012	00651612-03	Registered
DE GROUP ADVISER FUNDS DE GLOBAL REAL ESTATE OPPORTUNITIES FUND CLASS A	PHILADELPHIA, PA	9/27/2012	00651526-03	Registered
DE GROUP ADVISER FUNDS DE GLOBAL REAL ESTATE OPPORTUNITIES FUND CLASS R	PHILADELPHIA, PA	9/27/2012	00651529-03	Registered
DE GROUP ADVISER FUNDS DE GLOBAL REAL ESTATE OPPORTUNITIES FUND CLASS C	PHILADELPHIA, PA	9/27/2012	00651528-03	Registered
DE GROUP ADVISER FUNDS DE GLOBAL REAL ESTATE OPPORTUNITIES FUND INSTITUTIONAL CLASS	PHILADELPHIA, PA	9/27/2012	00651527-03	Registered
EATON VANCE SPECIAL INVESTMENT TR EATON VANCE BALANCED FUND CLASS I SHARES	BOSTON, MA	9/27/2012	00651530-03	Registered

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GUGGENHEIM DEFINED PORTFOLIOS SERIES 951 BLUEPRINT TRIPLE PLAY PORTFOLIO SERIES 2	LISLE, IL	9/27/2012	00651604-05	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 951 GUGGENHEIM INTERNATIONAL DIVIDEND STRATEGY PORTFOLIO SERIES 17	LISLE, IL	9/27/2012	00651605-05	Registered
HATTERAS ALTERNATIVE MUTUAL FUNDS TR HATTERAS MANAGED FUTURES STRATEGY FUND CLASS A	MILWAUKEE, WI	9/27/2012	00651608-03	Registered
HATTERAS ALTERNATIVE MUTUAL FUNDS TR HATTERAS MANAGED FUTURES STRATEGY FUND INSTITUTIONAL SHARES	MILWAUKEE, WI	9/27/2012	00651607-03	Registered
INCAPITAL UNIT TR SERIES 12 INCAPITAL MORNINGSTAR WIDE MOAT PORTFOLIO 4Q 2012	CHICAGO, IL	9/27/2012	00651606-05	Registered
PNC FUNDS PNC RETIREMENT INCOME FUND CLASS I SHARES	BALTIMORE, MD	9/27/2012	00651524-03	Registered
PNC FUNDS PNC TARGET 2020 FUND CLASS I SHARES	BALTIMORE, MD	9/27/2012	00651521-03	Registered
PNC FUNDS PNC TARGET 2030 FUND CLASS I SHARES	BALTIMORE, MD	9/27/2012	00651523-03	Registered
PNC FUNDS PNC TARGET 2040 FUND CLASS I SHARES	BALTIMORE, MD	9/27/2012	00651525-03	Registered
PNC FUNDS PNC TARGET 2050 FUND CLASS I SHARES	BALTIMORE, MD	9/27/2012	00651522-03	Registered
MFS SERIES TR 7 MFS EQUITY INCOME FUND CLASS R1 SHARES	BOSTON, MA	9/26/2012	00650184-03	Pending-Cleared

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MFS SERIES TR 7 MFS EQUITY INCOME FUND CLASS R2 SHARES	BOSTON, MA	9/26/2012	00650186-03	Pending-Cleared
MFS SERIES TR 7 MFS EQUITY INCOME FUND CLASS R3 SHARES	BOSTON, MA	9/26/2012	00650183-03	Pending-Cleared
MFS SERIES TR 7 MFS EQUITY INCOME FUND CLASS R4 SHARES	BOSTON, MA	9/26/2012	00650185-03	Pending-Cleared
MFS SERIES TR 7 MFS EQUITY INCOME FUND CLASS R5 SHARES	BOSTON, MA	9/26/2012	00650187-03	Pending-Cleared
MFS SERIES TR VII MFS EQUITY INCOME FUND CLASS A SHARES	BOSTON, MA	9/26/2012	00650179-03	Registered
MFS SERIES TR VII MFS EQUITY INCOME FUND CLASS B SHARES	BOSTON, MA	9/26/2012	00650180-03	Registered
MFS SERIES TR VII MFS EQUITY INCOME FUND CLASS C SHARES	BOSTON, MA	9/26/2012	00650181-03	Registered
MFS SERIES TR VII MFS EQUITY INCOME FUND CLASS I SHARES	BOSTON, MA	9/26/2012	00650182-03	Registered
PYXIS FUNDS 2 PYXIS CORE VALUE EQUITY FUND CLASS C SHARES	DALLAS, TX	9/26/2012	00650188-03	Registered
AIM INVESTMENT FUNDS INVESCO INVESTMENT FUNDS INVESCO GLOBAL MARKETS STRATEGY FUND CLASS H1 SHARES	HOUSTON, TX	9/25/2012	00650178-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS RETIREMENT PORTFOLIO COMPLETION FUND CLASS A	CHICAGO, IL	9/25/2012	00650173-03	Registered

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GOLDMAN SACHS TR GOLDMAN SACHS RETIREMENT PORTFOLIO COMPLETION FUND CLASS I	CHICAGO, IL	9/25/2012	00650177-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS RETIREMENT PORTFOLIO COMPLETION FUND CLASS IR	CHICAGO, IL	9/25/2012	00650174-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS RETIREMENT PORTFOLIO COMPLETION FUND CLASS C	CHICAGO, IL	9/25/2012	00650175-03	Registered
GOLDMAN SACHS TR GOLDMAN SACHS RETIREMENT PORTFOLIO COMPLETION FUND CLASS R	CHICAGO, IL	9/25/2012	00650176-03	Registered
GUGGENHEIM DEFINED PORTFOLIOS SERIES 949 DIVERSIFIED & INCOME PORTFOLIO SERIES 16	LISLE, IL	9/25/2012	00650298-05	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CAPITAL INNOVATIONS GLOBAL AGRI TIMBER INFRASTRUCTURE FUND JINSTITUTIONAL CLASS	MILWAUKEE, WI	9/25/2012	00650301-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CAPITAL INNOVATIONS GLOBAL AGRI TIMBER INFRASTRUCTURE FUND CLASS A	MILWAUKEE, WI	9/25/2012	00650299-03	Pending-Cleared
INVESTMENT MANAGERS SERIES TR CAPITAL INNOVATIONS GLOBAL AGRI TIMBER INFRASTRUCTURE FUND CLASS C	MILWAUKEE, WI	9/25/2012	00650300-03	Pending-Cleared
MANAGED PORTFOLIO SERIES AC 1 CHINA FUND INSTITUTIONAL CLASS	MILWAUKEE, WI	9/25/2012	00650302-03	Registered
MANAGED PORTFOLIO SERIES AC 1 CHINA FUND INVESTOR CLASS	MILWAUKEE, WI	9/25/2012	00650303-03	Registered

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ALLIANCEBERNSTEIN CORPORATE SHARES ALLIANCEBERNSTEIN TAXABLE MULTI SECTOR INCOME SHARES	NEW YORK, NY	9/24/2012	00650147-03	Registered
CNI CHARTER FUNDS CNI CHARTER PRIME MONEY MARKET FUND INSTITUTIONAL CLASS	BEVERLY HILLS, CA	9/24/2012	00650146-03	Registered
FRANKLIN INVESTORS SECURITIES TR FRANKLIN LOW DURATION TOTAL RETURN FUND CLASS C	SAN MATEO, CA	9/24/2012	00650293-03	Pending-Cleared
FT 3727 INCOME ALLOCATION CLOSED END OCT 12	WHEATON, IL	9/24/2012	00650252-05	Pending-Cleared
FT 3728 DOW TARGET 10 OCT 12 TERM 11/8/13	WHEATON, IL	9/24/2012	00650270-05	Pending-Cleared
FT 3728 TARGET FOCUS 4 OCT 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650269-05	Pending-Cleared
FT 3728 TARGET TRIAD OCT 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650271-05	Pending-Cleared
FT 3728 TARGET VIP OCT 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650272-05	Pending-Cleared
FT 3729 DOW TARGET 5 4Q 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650274-05	Pending-Cleared
FT 3729 DOW TARGET DVD 4Q 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650275-05	Pending-Cleared
FT 3729 GLOBAL TARGET 15 4Q 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650268-05	Pending-Cleared
FT 3729 NASDAQ TARGET 15 4Q 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650267-05	Pending-Cleared
FT 3729 NYSE INTL TARGET 25 4Q 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650266-05	Pending-Cleared
FT 3729 S&P TARGET 24 4Q 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650264-05	Pending-Cleared

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<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
FT 3729 S&P TARGET DVD MULTI STRAT 4Q 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650263-05	Pending-Cleared
FT 3729 S&P TARGET SMID 60 4Q 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650262-05	Pending-Cleared
FT 3729 TARGET 50 50 4Q 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650265-05	Pending-Cleared
FT 3729 TARGET DBL PLAY 4Q 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650258-05	Pending-Cleared
FT 3729 TARGET DIVSD DVD 4Q 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650261-05	Pending-Cleared
FT 3729 TARGET FOCUS 5 4Q 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650259-05	Pending-Cleared
FT 3729 TARGET GLOBAL DVD LEADERS 4Q 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650273-05	Pending-Cleared
FT 3729 TARGET GROWTH 4Q 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650255-05	Pending-Cleared
FT 3729 TARGET VIP CONS EQTY 4Q 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650260-05	Pending-Cleared
FT 3729 VALUE LINE TARGET 25 4Q 12 TERM 1/9/14	WHEATON, IL	9/24/2012	00650257-05	Pending-Cleared
FT 3730 CAPITAL STRENGTH BUY WRITE PORTFOLIO SERIES	WHEATON, IL	9/24/2012	00650254-05	Pending-Cleared
FT 3746 DIVERSIFIED INCOME OPPORTUNITIES SEPTEMBER 2012 SERIES	WHEATON, IL	9/24/2012	00650251-05	Pending-Cleared
FT 3802 BALANCED INCOME PORTFOLIO SERIES 36	WHEATON, IL	9/24/2012	00650250-05	Pending-Cleared
FT 3803 STRATEGIC INCOME OPPORTUNITIES CLOSED END PORTFOLIO SERIES 11	WHEATON, IL	9/24/2012	00650253-05	Pending-Cleared

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FT 3814 MUNICIPAL INCOME SELECT CLOSED END PORTFOLIO SERIES 45	WHEATON, IL	9/24/2012	00650256-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1117 INVESTMENT GRADE MUNICIPAL TR SERIES 157	OAKBROOK TER, IL	9/24/2012	00650279-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1118 INVESTMENT GRADE MUNICIPAL TR SERIES 158	OAKBROOK TER, IL	9/24/2012	00650280-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1119 INSURED MUNICIPALS INCOME TR SERIES 656	OAKBROOK TER, IL	9/24/2012	00650281-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1120 INSURED MUNICIPALS INCOME TR SERIES 657	OAKBROOK TER, IL	9/24/2012	00650282-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1121 INVESTORS QUALITY TX EX TR SERIES 171	OAKBROOK TER, IL	9/24/2012	00650283-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1122 INVESTORS QUALITY TX EX TR SERIES 172	OAKBROOK TER, IL	9/24/2012	00650284-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1123 QUALITY MUNICIPALS INCOME TR 67TH LTD MATURITY SERIES	OAKBROOK TER, IL	9/24/2012	00650286-05	Pending-Cleared
VAN KAMPEN UNIT TR MUNICIPAL SERIES 1124 QUALITY MUNICIPALS INCOME TR 68TH LTD MATURITY SERIES	OAKBROOK TER, IL	9/24/2012	00650285-05	Pending-Cleared

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VAN KAMPEN UNIT TR SERIES 1256 GLOBAL INFRASTRUCTURE STRATEGY 2012 3	OAKBROOK TER, IL	9/24/2012	00650289-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1256 GLOBAL WATER PORTFOLIO 2012 3	OAKBROOK TER, IL	9/24/2012	00650290-05	Pending-Cleared
VAN KAMPEN UNIT TR SERIES 1270 PRECIOUS METALS & MINING PORTFOLIO 2012 4	OAKBROOK TER, IL	9/24/2012	00650291-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 417 INVESTMENT GRADE INCOME TR 20 PLUS YEAR SERIES 32	OAKBROOK TER, IL	9/24/2012	00650287-05	Pending-Cleared
VAN KAMPEN UNIT TR TAXABLE INCOME SERIES 418 INVESTMENT GRADE INCOME TR 20 PLUS YEAR SERIES 33	OAKBROOK TER, IL	9/24/2012	00650288-05	Pending-Cleared
VANGUARD MALVERN FUNDS VANGUARD SHORT TERM INFLATION PROTECTED SECURITIES INDEX FUND ADMIRAL SHARES	VALLEY FORGE, PA	9/24/2012	00650276-03	Pending-Cleared
VANGUARD MALVERN FUNDS VANGUARD SHORT TERM INFLATION PROTECTED SECURITIES INDEX FUND INVESTOR SHARES	VALLEY FORGE, PA	9/24/2012	00650278-03	Pending-Cleared
VANGUARD MALVERN FUNDS VANGUARD SHORT TERM INFLATION PROTECTED SECURITIES INDEX FUND INSTITUTIONAL SHARES	VALLEY FORGE, PA	9/24/2012	00650277-03	Pending-Cleared
<b>Number of Investment Company Filings: 122</b>				

<b>Federal Covered Security-Regulation D Notice Filings Made with DFI's Securities Division</b>				
<b>Firm Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ALTERNATIVE STRATEGIES PRIVATE EQUITY PLATFORM LLC BLACKROCK SPECIAL CREDIT OPPORTUNITIES ASP FUND	CHARLOTTE, NC	10/1/2012	00651711-07	Filed

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CREDIT VALUE PARTNERS DISTRESSED DURATION FUND LP	NEW YORK, NY	9/26/2012	00650430-07	Filed
RJ AMERICAN HOMES 4 RENT 1 LLC	MALIBU, CA	9/26/2012	00650429-07	Filed
VITAL FINANCIAL CD DIAGNOSTICS INVESTORS LLC	BETHESDA, MD	9/25/2012	00650294-07	Filed
MURVIN & MEIER OIL CO 2012 2013	BARRINGTON, IL	9/24/2012	00650292-07	Filed
NOBLE ROYALTY ACCESS FUND 13 LP	ADDISON, TX	9/24/2012	00650308-07	Filed
ZEPPELINN ENERGY LP	SAN ANTONIO, TX	9/24/2012	00650304-07	Filed
<b>Number of Regulation D Notice Filings: 7</b>				

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
ANY TEST FRANCHISING INC / ANY LAB TEST NOW	ALPHARETTA, GA	10/4/2012	604794	Registered
US LAWNS INC	ORLANDO, FL	10/4/2012	604818	Registered
Hofbrauhaus of America LLC	Vassar, MI	10/2/2012	604815	Registered
Renew Crew Franchising Corp	Richmond, VA	10/2/2012	604816	Registered
RPC Acquisition Corp. / PRO- Cuts (Classic)	Minneapolis, MN	10/1/2012	604777	Registered
The Barbers, Hairstyling for Men & Women, Inc. / COST CUTTERS	Minneapolis, MN	10/1/2012	604776	Registered
5 STAR PAINTING INC	Spanish Fork, UT	10/1/2012	604817	Registered
AQUA2 ACQUISITION INC / Drive N Style	CHRLLOTTE, NC	10/1/2012	604789	Registered
COFFEE BEANERY LTD	FLUSHING, MI	10/1/2012	604788	Registered
ECONO LUBE N TUNE INC	CHARLOTTE, NC	10/1/2012	604785	Registered
LINE X FRANCHISING LLC	HUNTSVILLE, AL	10/1/2012	604792	Registered
MAACO Franchising, Inc.	CHARLOTTE, NC	10/1/2012	604786	Registered
MEINEKE CAR CARE CENTERS INC	CHARLOTTE, NC	10/1/2012	604782	Registered

**All Securities Law, Franchise Law, and Take-Over Law Filings  
for 9/24/2012 through 10/5/2012**

<b>Franchise Law Filings Made with DFI's Securities Division</b>				
<b>Legal Name / Trade Name</b>	<b>Location</b>	<b>Date Received</b>	<b>File Number</b>	<b>Status</b>
RPC Acquisition Corp. / Pro-Cuts (Sports)	Minneapolis, MN	9/28/2012	604772	Registered
Roosters MGC International, LLC / Roosters Men's Grooming Center	Minneapolis, MN	9/28/2012	604771	Registered
Supercuts, Inc. / SUPERCUTS	Minneapolis, MN	9/28/2012	604770	Registered
Biolyst LLC / Realief Neuropathy Centers	Minneapolis, MN	9/28/2012	604775	Registered
MEDICAP PHARMACIES INC	EARTH CITY, MO	9/28/2012	604774	Registered
MEDICINE SHOPPE INTERNATIONAL INC	Dublin, OH	9/28/2012	604773	Registered
BRINKER INTERNATIONAL PAYROLL LP / Chili's Grill & Bar	DALLAS, TX	9/27/2012	604766	Registered
MTO CLEAN INC	INDEPENDENCE, OH	9/27/2012	604767	Registered
Dent Doctor, Inc. / Dent Doctor	Little Rock, AR	9/26/2012	604746	Registered
CHYTEN FRANCHISING INC	LEXINGTON, MA	9/26/2012	604760	Registered
The Two Bucks of America LLC / Two Bucks	Westlake, OH	9/25/2012	604740	Registered
ZIEBART CORP	TROY, MI	9/25/2012	604751	Registered
<b>Number of Franchise Filings: 25</b>				

<b>Corporate Take-Over Law Filings Made with DFI's Securities Division</b>	
<b>Takeover Filing Reference</b>	<b>Date Received</b>
<b>Number of Takeover Filings: 0</b>	